

TABLE 52. Mutagenic Cassette: N, A, N

CODON	Represented	AMINO ACID	(Frequency)	CATEGORY	(Frequency)
		GLYCINE	0	NONPOLAR (NPL)	0
		ALANINE	0		
		VALINE	0		
		LEUCINE	0		
		ISOLEUCINE	0		
		METHIONINE	0		
		PHENYLALANINE	0		
		TRYPTOPHAN	0		
		PROLINE	0		
		SERINE	0	POLAR NONIONIZABLE (POL)	6
		CYSTEINE	0		
AAT	YES	ASPARAGINE	2		
AAC	YES				
CAA	YES	GLUTAMINE	2		
CAG	YES				
TAT	YES	TYROSINE	2		
TAC	YES				
		THREONINE	0		
GAT	YES	ASPARTIC ACID	2	IONIZABLE: ACIDIC NEGATIVE CHARGE (NEG)	4
GAC	YES				
GAA	YES	GLUTAMIC ACID	2		
GAG	YES				
AAA	YES	LYSINE	2	IONIZABLE: BASIC POSITIVE CHARGE (POS)	4
AAG	YES				
		ARGININE	0		
CAT	YES	HISTIDINE	2		
CAC	YES				
TAA	YES	STOP CODON	2	STOP SIGNAL (STP)	2
TAG	YES				
TOTAL	16	7 Amino Acids Are Represented		NPL: POL: NEG: POS: STP = 0: 6: 4: 4: 2	

TABLE 53. Mutagenic Cassette: N, C, N

TABLE 33. Mutagenic Cancers: T, C, G, A

CODON	Represented	AMINO ACID	(Frequency)	CATEGORY	(Frequency)		
		GLYCINE	0	NONPOLAR (NPL)	8		
GCT	YES	ALANINE	4				
GCC	YES						
GCA	YES						
GCG	YES						
		VALINE	0				
		LEUCINE	0				
		ISOLEUCINE	0				
		METHIONINE	0				
		PHENYLALANINE	0				
		TRYPTOPHAN	0				
CCT	YES	PROLINE	4	POLAR NONIONIZABLE (POL)	8		
CCC	YES						
CCA	YES						
CCG	YES						
TCT	YES	SERINE	4				
TCC	YES						
TCA	YES						
TCG	YES						
		CYSTEINE	0				
		ASPARAGINE	0				
		GLUTAMINE	0				
		TYROSINE	0	IONIZABLE: ACIDIC NEGATIVE CHARGE (NEG)	0		
ACT	YES	THREONINE	4				
ACC	YES						
ACA	YES						
ACG	YES						
		ASPARTIC ACID	0				
		GLUTAMIC ACID	0				
		LYSINE	0			IONIZABLE: BASIC POSITIVE CHARGE (POS)	0
		ARGININE	0				
		HISTIDINE	0				
		STOP CODON	0				
				STOP SIGNAL (STP)	0		
TOTAL	16	4 Amino Acids Are Represented		NPL: POL: NEG: POS: STP = 8: 8: 0: 0: 0			

TABLE 54. Mutagenic Cassette: N, G, N

CODON	Represented	AMINO ACID	(Frequency)	CATEGORY	(Frequency)
GGT	YES	GLYCINE	4	NONPOLAR (NPL)	5
GGC	YES				
GGA	YES				
GGG	YES				
		ALANINE	0		
		VALINE	0		
		LEUCINE	0		
		ISOLEUCINE	0		
		METHIONINE	0		
		PHENYLALANINE	0		
TGG	YES	TRYPTOPHAN	1		
		PROLINE	0		
AGT	YES	SERINE	2	POLAR NONIONIZABLE (POL)	4
AGC	YES				
TGT	YES	CYSTEINE	2		
TGC	YES				
		ASPARAGINE	0		
		GLUTAMINE	0		
		TYROSINE	0		
		THREONINE	0		
		ASPARTIC ACID	0		
		GLUTAMIC ACID	0		
		LYSINE	0		
CGT	YES	ARGININE	6	IONIZABLE: ACIDIC NEGATIVE CHARGE (NEG)	0
CGC	YES				
CGA	YES				
CGG	YES				
AGA	YES				
AGG	YES				
		HISTIDINE	0		
TGA	YES	STOP CODON	1	IONIZABLE: BASIC POSITIVE CHARGE (POS)	6
				STOP SIGNAL (STP)	1
TOTAL	16.	5 Amino Acids Are Represented		NPL: 5; POL: 4; NEG: 0; POS: 6; STP: 1	

TABLE 55. Mutagenic Cassette: N, T, N

CODON	Represented	AMINO ACID	(Frequency)	CATEGORY	(Frequency)		
		GLYCINE	0	NONPOLAR (NPL)	16		
		ALANINE	0				
GTT	YES	VALINE	4				
GTC	YES						
GTA	YES						
GTG	YES						
TTA	YES	LEUCINE	6				
TTG	YES						
CTT	YES						
CTC	YES						
CTA	YES						
CTG	YES						
ATT	YES	ISOLEUCINE	3				
ATC	YES						
ATA	YES						
ATG	YES	METHIONINE	1				
TTT	YES	PHENYLALANINE	2				
TTC	YES						
		TRYPTOPHAN	0				
		PROLINE	0				
		SERINE	0	POLAR NONIONIZABLE (POL)	0		
		CYSTEINE	0				
		ASPARAGINE	0				
		GLUTAMINE	0				
		TYROSINE	0				
		THREONINE	0				
		ASPARTIC ACID	0	IONIZABLE: ACIDIC NEGATIVE CHARGE (NEG)	0		
		GLUTAMIC ACID	0				
		LYSINE	0	IONIZABLE: BASIC POSITIVE CHARGE (POS)	0		
		ARGININE	0				
		HISTIDINE	0				
		STOP CODON	0	STOP SIGNAL (STP)	0		
TOTAL	16	5 Amino Acids Are Represented		NPL: POL: NEG: POS: STP = 16: 0: 0: 0: 0			

TABLE 56. Mutagenic Cassette: N, A/C, N

CODON	Represented	AMINO ACID	(Frequency)	CATEGORY	(Frequency)
		GLYCINE	0	NONPOLAR (NPL)	8
GCT	YES	ALANINE	4		
GCC	YES				
GCA	YES				
GCG	YES				
		VALINE	0		
		LEUCINE	0		
		ISOLEUCINE	0		
		METHIONINE	0		
		PHENYLALANINE	0		
		TRYPTOPHAN	0		
CCT	YES	PROLINE	4	POLAR NONIONIZABLE (POL)	14
CCC	YES				
CCA	YES				
CCG	YES				
TCT	YES	SERINE	4		
TCC	YES				
TCA	YES				
TCG	YES				
		CYSTEINE	0		
AAT	YES	ASPARAGINE	2		
AAC	YES			IONIZABLE: ACIDIC NEGATIVE CHARGE (NEG)	4
CAA	YES	GLUTAMINE	2		
CAG	YES				
TAT	YES	TYROSINE	2		
TAC	YES			IONIZABLE: BASIC POSITIVE CHARGE (POS)	4
ACT	YES	THREONINE	4		
ACC	YES				
ACA	YES				
ACG	YES			STOP SIGNAL (STP)	2
GAT	YES	ASPARTIC ACID	2		
GAC	YES				
GAA	YES	GLUTAMIC ACID	2		
GAG	YES			STOP SIGNAL (STP)	2
AAA	YES	LYSINE	2		
AAG	YES				
		ARGININE	0		
CAT	YES	HISTIDINE	2	STOP SIGNAL (STP)	2
CAC	YES				
TAA	YES	STOP CODON	2		
TAG	YES				
TOTAL		32	11 Amino Acids Are Represented	NPL: 8: POL: 14: NEG: 4: POS: 4: STP = 2	



TABLE 57. Mutagenic Cassette: N, A/G, N

CODON	Represented	AMINO ACID	(Frequency)	CATEGORY	(Frequency)
GGT	YES	GLYCINE	4	NONPOLAR (NPL)	5
GGC	YES				
GGA	YES				
GGG	YES				
		ALANINE	0		
		VALINE	0		
		LEUCINE	0		
		ISOLEUCINE	0		
		METHIONINE	0		
		PHENYLALANINE	0		
TGG	YES	TRYPTOPHAN	1	POLAR NONIONIZABLE (POL)	10
		PROLINE	0		
AGT	YES	SERINE	2		
AGC	YES				
TGT	YES	CYSTEINE	2		
TGC	YES				
AAT	YES	ASPARAGINE	2		
AAC	YES				
CAA	YES	GLUTAMINE	2		
CAG	YES				
TAT	YES	TYROSINE	2	IONIZABLE: ACIDIC NEGATIVE CHARGE (NEG)	4
TAC	YES				
		THREONINE	0		
GAT	YES	ASPARTIC ACID	2	IONIZABLE: BASIC POSITIVE CHARGE (POS)	10
GAC	YES				
GAA	YES	GLUTAMIC ACID	2		
GAG	YES				
AAA	YES	LYSINE	2	STOP SIGNAL (STP)	3
AAG	YES				
CGT	YES	ARGININE	6		
CGC	YES				
CGA	YES				
CGG	YES				
AGA	YES				
AGG	YES				
CAT	YES	HISTIDINE	2		
CAC	YES				
TAA	YES	STOP CODON	3	TOTAL	32
TAG	YES				
TGA	YES				
TOTAL		12 Amino Acids Are Represented		NPL: 5: POL: 10: NEG: POS: 4: STP: 3	

TABLE 58. Mutagenic Cassette: N, A/T, N

CODON	Represented	CATEGORY	(Frequency)	CATEGORY	(Frequency)
		GLYCINE	0	NONPOLAR (NPL)	16
		ALANINE	0		
GTT	YES	VALINE	4		
GTC	YES				
GTA	YES				
GTG	YES				
TTA	YES	LEUCINE	6		
TTG	YES				
CTT	YES				
CTC	YES				
CTA	YES				
CTG	YES				
ATT	YES	ISOLEUCINE	3		
ATC	YES				
ATA	YES				
ATG	YES	METHIONINE	1		
TTT	YES	PHENYLALANINE	2		
TTC	YES				
		TRYPTOPHAN	0	POLAR NONIONIZABLE (POL)	6
		PROLINE	0		
		SERINE	0		
		CYSTEINE	0		
AAT	YES	ASPARAGINE	2		
AAC	YES				
CAA	YES	GLUTAMINE	2	IONIZABLE: ACIDIC NEGATIVE CHARGE (NEG)	4
CAG	YES				
TAT	YES	TYROSINE	2		
TAC	YES				
		THREONINE	0	IONIZABLE: BASIC POSITIVE CHARGE (POS)	4
GAT	YES	ASPARTIC ACID	2		
GAC	YES				
GAA	YES	GLUTAMIC ACID	2		
GAG	YES			STOP SIGNAL (STP)	2
AAA	YES	LYSINE	2		
AAG	YES				
		ARGININE	0		
CAT	YES	HISTIDINE	2	TOTAL	32
CAC	YES				
TAA	YES	STOP CODON	2		
TAG	YES			12 Amino Acids Are Represented	
TOTAL		32			
				NPL: 16:	POL: 6:
				NEG: 4:	POS: 4:
				STP = 2	

TABLE 59. Mutagenic Cassette: N, C/G, N

CODON		Represented	AMINO ACID	(Frequency)	CATEGORY	(Frequency)
GGT		YES	GLYCINE	4	NONPOLAR (NPL)	13
GGC		YES				
GGA		YES				
GGG		YES				
GCT		YES	ALANINE	4		
GCC		YES				
GCA		YES				
GCG		YES				
			VALINE	0		
			LEUCINE	0		
			ISOLEUCINE	0		
			METHIONINE	0		
			PHENYLALANINE	0		
TGG	YES		TRYPTOPHAN	1		
CCT	YES		PROLINE	4		
CCC	YES					
CCA	YES					
CCG	YES					
TCT	YES		SERINE	6	POLAR NONIONIZABLE (POL)	12
TCC	YES					
TCA	YES					
TCG	YES					
AGT	YES					
AGC	YES					
TGT	YES		CYSTEINE	2		
TGC	YES					
			ASPARAGINE	0		
			GLUTAMINE	0		
			TYROSINE	0		
ACT	YES		THREONINE	4		
ACC	YES					
ACA	YES					
ACG	YES					
			ASPARTIC ACID	0	IONIZABLE: ACIDIC NEGATIVE CHARGE (NEG)	0
			GLUTAMIC ACID	0		
			LYSINE	0	IONIZABLE: BASIC POSITIVE CHARGE (POS)	6
CGT	YES		ARGININE	6		
CGC	YES					
CGA	YES					
CGG	YES					
AGA	YES					
AGG	YES					
			HISTIDINE	0		
TGA	YES		STOP CODON	1	STOP SIGNAL (STP)	1
	32		8 Amino Acids Are Represented		NPL: 13: POL: 12: NEG: POS: STP = 0: 6: 1	

TABLE 60. Mutagenic Cassette: N, C/T, N

CODON	Represented	AMINO ACID	(Frequency)	CATEGORY	(Frequency)
		GLYCINE	0	NONPOLAR (NPL)	24
		ALANINE	4		
GCT	YES				
GCC	YES				
GCA	YES				
GCG	YES				
GTT	YES	VALINE	4		
GTC	YES				
GTA	YES				
GTG	YES				
TTA	YES	LEUCINE	6		
TTG	YES				
CTT	YES				
CTC	YES				
CTA	YES				
CTG	YES				
ATT	YES	ISOLEUCINE	3		
ATC	YES				
ATA	YES				
ATG	YES	METHIONINE	1		
TTT	YES	PHENYLALANINE	2		
TTC	YES				
		TRYPTOPHAN	0		
CCT	YES	PROLINE	4		
CCC	YES				
CCA	YES				
CCG	YES				
TCT	YES	SERINE	4	POLAR NONIONIZABLE (POL)	8
TCC	YES				
TCA	YES				
TCG	YES				
		CYSTEINE	0		
		ASPARAGINE	0		
		GLUTAMINE	0		
		TYROSINE	0		
ACT	YES	THREONINE	4		
ACC	YES				
ACA	YES				
ACG	YES				
		ASPARTIC ACID	0	IONIZABLE: ACIDIC NEGATIVE CHARGE (NEG)	0
		GLUTAMIC ACID	0		
		LYSINE	0	IONIZABLE: BASIC POSITIVE CHARGE (POS)	0
		ARGININE	0		
		HISTIDINE	0		
		STOP CODON	0	STOP SIGNAL (STP)	0
TOTAL	32	9 Amino Acids Are Represented		NPL: 24: POL: 8: NEG: 0: POS: 0: STP: 0	

TABLE 61. Mutagenic Cassette: N, G/T, N

CODON		Represented	AMINO ACID	(Frequency)	CATEGORY	(Frequency)
GGT		YES	GLYCINE	4	NONPOLAR (NPL)	21
GGC		YES				
GGA		YES				
GGG		YES				
			ALANINE	0		
GTT		YES	VALINE	4		
GTC		YES				
GTA		YES				
GTG		YES				
TTA		YES	LEUCINE	6		
TTG		YES				
CTT		YES				
CTC		YES				
CTA		YES				
CTG		YES				
ATT		YES	ISOLEUCINE	3		
ATC		YES				
ATA		YES				
ATG		YES	METHIONINE	1		
TTT		YES	PHENYLALANINE	2		
TTC		YES				
TGG		YES	TRYPTOPHAN	1		
			PROLINE	0		
AGT		YES	SERINE	2	POLAR NONIONIZABLE (POL)	4
AGC		YES				
TGT		YES	CYSTEINE	2		
TGC		YES				
			ASPARAGINE	0		
			GLUTAMINE	0		
			TYROSINE	0		
			THREONINE	0		
			ASPARTIC ACID	0	IONIZABLE: ACIDIC NEGATIVE CHARGE (NEG)	0
			GLUTAMIC ACID	0		
			LYSINE	0	IONIZABLE: BASIC POSITIVE CHARGE (POS)	6
CGT		YES	ARGININE	6		
CGC		YES				
CGA		YES				
CGG		YES				
AGA		YES				
AGG		YES				
			HISTIDINE	0		
TGA		YES	STOP CODON	1	STOP SIGNAL (STP)	1
TOTAL		31	10 Amino Acids Are Represented		NPL: 21: POL: 4: NEG: 0: POS: 6: STP: 1	

TABLE 62. Mutagenic Cassette: N, A/C/G, N

CODON	Represented	AMINO ACID	(Frequency)	CATEGORY	(Frequency)
GGT	YES	GLYCINE	4	NONPOLAR (NPL)	13
GGC	YES				
GGA	YES				
GGG	YES				
GCT	YES	ALANINE	4		
GCC	YES				
GCA	YES				
GCG	YES				
		VALINE	0		
		LEUCINE	0		
		ISOLEUCINE	0		
		METHIONINE	0		
		PHENYLALANINE	0		
TGG	YES	TRYPTOPHAN	1		
CCT	YES	PROLINE	4		
CCC	YES				
CCA	YES				
CCG	YES				
TCT	YES	SERINE	6	POLAR NONIONIZABLE (POL)	18
TCC	YES				
TCA	YES				
TCG	YES				
AGT	YES	CYSTEINE	2		
AGC	YES				
TGT	YES				
TGC	YES				
AAT	YES	ASPARAGINE	2		
AAC	YES				
CAA	YES				
CAG	YES				
TAT	YES	TYROSINE	2		
TAC	YES				
ACT	YES				
ACC	YES				
ACA	YES	THREONINE	4		
ACG	YES				
GAT	YES	ASPARTIC ACID	2	IONIZABLE: ACIDIC NEGATIVE CHARGE (NEG)	4
GAC	YES				
GAA	YES	GLUTAMIC ACID	2		
GAG	YES				
AAA	YES	LYSINE	2	IONIZABLE: BASIC POSITIVE CHARGE (POS)	10
AAG	YES				
CGT	YES	ARGININE	6		
CGC	YES				
CGA	YES				
CGG	YES				
AGA	YES	HISTIDINE	2		
AGG	YES				
CAT	YES				
CAC	YES				
TAA	YES	STOP CODON	3	STOP SIGNAL (STP)	3
TAG	YES				
TGA	YES				
	48	15 Amino Acids Are Represented		NPL: 13: POL: 18: NEG:POS: STP = 4: 10: 3	

TABLE 63. Mutagenic Cassette: N, A/C/T, N

CODON	Represented	AMINO ACID	(Frequency)	CATEGORY	(Frequency)
		GLYCINE	0	NONPOLAR (NPL)	24
GCT	YES	ALANINE	4		
GCC	YES				
GCA	YES				
GCG	YES				
GTT	YES	VALINE	4		
GTC	YES				
GTA	YES				
GTG	YES				
TTA	YES	LEUCINE	6		
TTG	YES				
CTT	YES				
CTC	YES				
CTA	YES				
CTG	YES				
ATT	YES	ISOLEUCINE	3		
ATC	YES				
ATA	YES				
ATG	YES	METHIONINE	1		
TTT	YES	PHENYLALANINE	2		
TTC	YES				
		TRYPTOPHAN	0		
CCT	YES	PROLINE	4		
CCC	YES				
CCA	YES				
CCG	YES				
TCT	YES	SERINE	4	POLAR NONIONIZABLE (POL)	14
TCC	YES				
TCA	YES				
TCG	YES				
		CYSTEINE	0		
AAT	YES	ASPARAGINE	2		
AAC	YES				
CAA	YES	GLUTAMINE	2		
CAG	YES				
TAT	YES	TYROSINE	2		
TAC	YES				
ACT	YES	THREONINE	4		
ACC	YES				
ACA	YES				
ACG	YES				
GAT	YES	ASPARTIC ACID	2	IONIZABLE: ACIDIC NEGATIVE CHARGE (NEG)	4
GAC	YES				
GAA	YES	GLUTAMIC ACID	2		
GAG	YES				
AAA	YES	LYSINE	2	IONIZABLE: BASIC POSITIVE CHARGE (POS)	4
AAG	YES				
		ARGININE	0		
CAT	YES	HISTIDINE	2		
CAC	YES			STOP SIGNAL (STP)	2
TAA	YES	STOP CODON	2		
TAG	YES				
TOTAL	48	16 Amino Acids Are Represented		NPL: 14: POL: 14: NEG: 4: POS: 4: STP: 2	

TABLE 64. Mutagenic Cassette: N, A/G/T, N

Mutagenic Cassette: T, A, G, C, A, T					
CODON	Represented	AMINO ACID	(Frequency)	CATEGORY	(Frequency)
GGT	YES	GLYCINE	4	NONPOLAR (NPL)	21
GGC	YES				
GGA	YES				
GGG	YES				
		ALANINE	0		
GTT	YES	VALINE	4		
GTC	YES				
GTA	YES				
GTG	YES				
TTA	YES	LEUCINE	6		
TTG	YES				
CTT	YES				
CTC	YES				
CTA	YES				
CTG	YES				
ATT	YES	ISOLEUCINE	3		
ATC	YES				
ATA	YES				
ATG	YES	METHIONINE	1		
TTT	YES	PHENYLALANINE	2		
TTC	YES	TRYPTOPHAN	1		
TGG	YES				
		PROLINE	0		
AGT	YES	SERINE	2	POLAR NONIONIZABLE (POL)	10
AGC	YES	CYSTEINE	2		
TGT	YES				
TGC	YES	ASPARAGINE	2		
AAT	YES				
AAC	YES	GLUTAMINE	2		
CAA	YES				
CAG	YES	TYROSINE	2		
TAT	YES				
TAC	YES	THREONINE	0		
GAT	YES	ASPARTIC ACID	2	IONIZABLE: ACIDIC NEGATIVE CHARGE (NEG)	4
GAC	YES	GLUTAMIC ACID	2		
GAA	YES				
GAG	YES				
AAA	YES	LYSINE	2	IONIZABLE: BASIC POSITIVE CHARGE (POS)	10
AAG	YES	ARGININE	6		
CGT	YES				
CGC	YES				
CGA	YES				
CGG	YES				
AGA	YES				
AGG	YES				
CAT	YES	HISTIDINE	2		
CAC	YES				
TAA	YES	STOP CODON	3	STOP SIGNAL (STP)	3
TAG	YES				
TGA	YES				
	48	17 Amino Acids Are Represented		NPL: 21: POL: 10: NEG:POS: 4: STP = 10: 3	



TABLE 65. Mutagenic Cassette: N, C/G/T, N

CODON	Represented	AMINO ACID	(Frequency)	CATEGORY	(Frequency)
GGT	YES	GLYCINE	4	NONPOLAR (NPL)	29
GGC	YES				
GGA	YES				
GGG	YES				
GCT	YES	ALANINE	4		
GCC	YES				
GCA	YES				
GCG	YES				
GTT	YES	VALINE	4		
GTC	YES				
GTA	YES				
GTG	YES				
TTA	YES	LEUCINE	6		
TTG	YES				
CTT	YES				
CTC	YES				
CTA	YES	ISOLEUCINE	3		
CTG	YES				
ATT	YES				
ATC	YES				
ATA	YES	METHIONINE	1		
ATG	YES				
TTT	YES	PHENYLALANINE	2		
TTC	YES				
TGG	YES	TRYPTOPHAN	1		
CCT	YES	PROLINE	4		
CCC	YES				
CCA	YES				
COG	YES				
TCT	YES	SERINE	6	POLAR NONIONIZABLE (POL)	12
TCC	YES				
TCA	YES				
TCG	YES				
AGT	YES	CYSTEINE	2		
AGC	YES				
TGT	YES				
TGC	YES				
		ASPARAGINE	0		
		GLUTAMINE	0		
		TYROSINE	0		
ACT	YES	THREONINE	4		
ACC	YES				
ACA	YES				
ACG	YES				
		ASPARTIC ACID	0	IONIZABLE: ACIDIC NEGATIVE CHARGE (NEG)	0
		GLUTAMIC ACID	0		
		LYSINE	0	IONIZABLE: BASIC POSITIVE CHARGE (POS)	6
CGT	YES	ARGININE	6		
CGC	YES				
CGA	YES				
CGG	YES				
AGA	YES				
AGG	YES	HISTIDINE	0		
TGA	YES	STOP CODON	1	STOP SIGNAL (STP)	1
TOTAL	48	13 Amino Acids Are Represented		NPL: 29; POL: 12; NEG: 0; POS: 6; STP: 1	

TABLE 66. Mutagenic Cassette: C, C, N

CODON	Represented	AMINO ACID	(Frequency)	CATEGORY	(Frequency)
		GLYCINE	0	NONPOLAR (NPL)	4
		ALANINE	0		
		VALINE	0		
		LEUCINE	0		
		ISOLEUCINE	0		
		METHIONINE	0		
		PHENYLALANINE	0		
		TRYPTOPHAN	0		
CCT	YES	PROLINE	4		
CCC	YES				
CCA	YES				
CCG	YES				
		SERINE	0	POLAR NONIONIZABLE (POL)	0
		CYSTEINE	0		
		ASPARAGINE	0		
		GLUTAMINE	0		
		TYROSINE	0		
		THREONINE	0		
		ASPARTIC ACID	0	IONIZABLE: ACIDIC NEGATIVE CHARGE (NEG)	0
		GLUTAMIC ACID	0		
		LYSINE	0	IONIZABLE: BASIC POSITIVE CHARGE (POS)	0
		ARGININE	0		
		HISTIDINE	0		
		STOP CODON	0	STOP SIGNAL (STP)	0
TOTAL	4	1 Amino Acid Is Represented		NPL: POL: NEG:POS: STP = 4: 0: 0: 0: 0	

TABLE 67. Mutagenic Cassette: G, G, N

TABLE 67. Mutagenic Cassette: G, G, N					
CODON	Represented	AMINO ACID	(Frequency)	CATEGORY	(Frequency)
GGT	YES	GLYCINE	4	NONPOLAR (NPL)	4
GGC	YES				
GGA	YES				
GGG	YES				
		ALANINE	0		
		VALINE	0		
		LEUCINE	0		
		ISOLEUCINE	0		
		METHIONINE	0		
		PHENYLALANINE	0		
		TRYPTOPHAN	0		
		PROLINE	0		
		SERINE	0	POLAR NONIONIZABLE (POL)	0
		CYSTEINE	0		
		ASPARAGINE	0		
		GLUTAMINE	0		
		TYROSINE	0		
		THREONINE	0		
		ASPARTIC ACID	0	IONIZABLE: ACIDIC NEGATIVE CHARGE (NEG)	0
		GLUTAMIC ACID	0		
		LYSINE	0	IONIZABLE: BASIC POSITIVE CHARGE (POS)	0
		ARGININE	0		
		HISTIDINE	0		
		STOP CODON	0	STOP SIGNAL (STP)	0
TOTAL	0	4	1 Amino Acid Is Represented		NPL: POL: NEG:POS: STP = 4: 0: 0: 0: 0

TABLE 68. Mutagenic Cassette: G, C, N

CODON	Represented	AMINO ACID	(Frequency)	CATEGORY	(Frequency)
		GLYCINE	0	NONPOLAR (NPL)	4
		ALANINE	4		
GCT	YES				
GCC	YES				
GCA	YES				
GCG	YES				
		VALINE	0		
		LEUCINE	0		
		ISOLEUCINE	0		
		METHIONINE	0		
		PHENYLALANINE	0	POLAR NONIONIZABLE (POL)	0
		TRYPTOPHAN	0		
		PROLINE	0		
		SERINE	0		
		CYSTEINE	0		
		ASPARAGINE	0		
		GLUTAMINE	0		
		TYROSINE	0	IONIZABLE: ACIDIC NEGATIVE CHARGE (NEG)	0
		THREONINE	0		
		ASPARTIC ACID	0	IONIZABLE: BASIC POSITIVE CHARGE (POS)	0
		GLUTAMIC ACID	0		
		LYSINE	0	STOP SIGNAL (STP)	0
		ARGININE	0		
		HISTIDINE	0		
		STOP CODON	0	TOTAL	4
		1 Amino Acid Is Represented			
				NPL: 4: POL: 0: NEG: 0: POS: 0: STP: 0	

TABLE 69. Mutagenic Cassette: G, T, N

CODON	Represented	AMINO ACID	(Frequency)	CATEGORY	(Frequency)
		GLYCINE	0	NONPOLAR (NPL)	4
		ALANINE	0		
GTT	YES	VALINE	4		
GTC	YES				
GTA	YES				
GTG	YES				
		LEUCINE	0		
		ISOLEUCINE	0		
		METHIONINE	0		
		PHENYLALANINE	0	POLAR NONIONIZABLE (POL)	0
		TRYPTOPHAN	0		
		PROLINE	0		
		SERINE	0		
		CYSTEINE	0		
		ASPARAGINE	0		
		GLUTAMINE	0		
		TYROSINE	0	IONIZABLE: ACIDIC NEGATIVE CHARGE (NEG)	0
		THREONINE	0		
		ASPARTIC ACID	0	IONIZABLE: BASIC POSITIVE CHARGE (POS)	0
		GLUTAMIC ACID	0		
		LYSINE	0	STOP SIGNAL (STP)	0
		ARGININE	0		
		HISTIDINE	0		
		STOP CODON	0	TOTAL	4
		1 Amino Acid Is Represented			
				NPL: 4: POL: 0: NEG: 0: POS: 0: STP: 0	

TABLE 70. Mutagenic Cassette: C, G, N

CODON	Represented	AMINO ACID	(Frequency)	CATEGORY	(Frequency)
		GLYCINE	0	NONPOLAR (NPL)	0
		ALANINE	0		
		VALINE	0		
		LEUCINE	0		
		ISOLEUCINE	0		
		METHIONINE	0		
		PHENYLALANINE	0		
		TRYPTOPHAN	0		
		PROLINE	0		
		SERINE	0		
		CYSTEINE	0	POLAR NONIONIZABLE (POL)	0
		ASPARAGINE	0		
		GLUTAMINE	0		
		TYROSINE	0		
		THREONINE	0		
		ASPARTIC ACID	0		
		GLUTAMIC ACID	0	IONIZABLE: ACIDIC NEGATIVE CHARGE (NEG)	0
		LYSINE	0		
CGT	YES	ARGININE	4	IONIZABLE: BASIC POSITIVE CHARGE (POS)	4
CGC	YES				
CGA	YES				
CGG	YES				
		HISTIDINE	0	STOP SIGNAL (STP)	0
		STOP CODON	0		
TOTAL	4	1 Amino Acid Is Represented		NPL: POL: NEG: POS: STP =	0: 0: 0: 4: 0

TABLE 71. Mutagenic Cassette: C, T, N

TABLE 7.1. Mutagenic Cassette C, 1, IV					
CODON	Represented	AMINO ACID	(Frequency)	CATEGORY	(Frequency)
		GLYCINE	0	NONPOLAR (NPL)	4
		ALANINE	0		
		VALINE	0		
CTT	YES	LEUCINE	4		
CTC	YES				
CTA	YES				
CTG	YES				
		ISOLEUCINE	0		
		METHIONINE	0		
		PHENYLALANINE	0		
		TRYPTOPHAN	0		
		PROLINE	0		
		SERINE	0	POLAR NONIONIZABLE (POL)	0
		CYSTEINE	0		
		ASPARAGINE	0		
		GLUTAMINE	0		
		TYROSINE	0		
		THREONINE	0		
		ASPARTIC ACID	0	IONIZABLE: ACIDIC NEGATIVE CHARGE (NEG)	0
		GLUTAMIC ACID	0		
		LYSINE	0	IONIZABLE: BASIC POSITIVE CHARGE (POS)	0
		ARGININE	0		
		HISTIDINE	0		
		STOP CODON	0	STOP SIGNAL (STP)	0
TOTAL	4	1 Amino Acid Is Represented		NPL: POL: NEG: POS: STP = 4: 0: 0: 0: 0	

TABLE 72. Mutagenic Cassette: T, C, N

CODON	Represented	AMINO ACID	(Frequency)	CATEGORY	(Frequency)
		GLYCINE	0	NONPOLAR (NPL)	0
		ALANINE	0		
		VALINE	0		
		LEUCINE	0		
		ISOLEUCINE	0		
		METHIONINE	0		
		PHENYLALANINE	0		
		TRYPTOPHAN	0		
		PROLINE	0		
TCT	YES	SERINE	4	POLAR NONIONIZABLE (POL)	4
TCC	YES				
TCA	YES				
TCC	YES				
		CYSTEINE	0		
		ASPARAGINE	0		
		GLUTAMINE	0		
		TYROSINE	0		
		THREONINE	0		
		ASPARTIC ACID	0	IONIZABLE: ACIDIC NEGATIVE CHARGE (NEG)	0
		GLUTAMIC ACID	0		
		LYSINE	0	IONIZABLE: BASIC POSITIVE CHARGE (POS)	0
		ARGININE	0		
		HISTIDINE	0		
		STOP CODON	0	STOP SIGNAL (STP)	0
TOTAL	4	1 Amino Acid Is Represented		NPL: 0: POL: 4: NEG: 0: POS: 0: STP: 0	

TABLE 73. Mutagenic Cassette: A, C, N

CODON		Represented	AMINO ACID	(Frequency)	CATEGORY	(Frequency)	
			GLYCINE	0	NONPOLAR (NPL)	0	
			ALANINE	0			
			VALINE	0			
			LEUCINE	0			
			ISOLEUCINE	0			
			METHIONINE	0			
			PHENYLALANINE	0			
			TRYPTOPHAN	0			
			PROLINE	0			
			SERINE	0	POLAR NONIONIZABLE (POL)	4	
			CYSTEINE	0			
			ASPARAGINE	0			
			GLUTAMINE	0			
			TYROSINE	0			
ACT	YES	THREONINE	4				
ACC	YES						
ACA	YES						
ACG	YES						
			ASPARTIC ACID	0	IONIZABLE: ACIDIC NEGATIVE CHARGE (NEG)	0	
			GLUTAMIC ACID	0			
			LYSINE	0	IONIZABLE: BASIC POSITIVE CHARGE (POS)	0	
			ARGININE	0			
			HISTIDINE	0			
			STOP CODON	0	STOP SIGNAL (STP)	0	
TOTAL		4	1 Amino Acid Is Represented		NPL: 0: POL: 4: NEG: 0: POS: 0: STP: 0		

TABLE 74. Mutagenic Cassette: G, A, N

CODON	Represented	AMINO ACID	(Frequency)	CATEGORY	(Frequency)
		GLYCINE	0	NONPOLAR (NPL)	0
		ALANINE	0		
		VALINE	0		
		LEUCINE	0		
		ISOLEUCINE	0		
		METHIONINE	0		
		PHENYLALANINE	0		
		TRYPTOPHAN	0		
		PROLINE	0		
		SERINE	0	POLAR NONIONIZABLE (POL)	0
		CYSTEINE	0		
		ASPARAGINE	0		
		GLUTAMINE	0		
		TYROSINE	0		
		THREONINE	0		
GAT	YES	ASPARTIC ACID	2	IONIZABLE: ACIDIC NEGATIVE CHARGE (NEG)	4
GAC	YES				
GAA	YES	GLUTAMIC ACID	2		
GAG	YES				
		LYSINE	0	IONIZABLE: BASIC POSITIVE CHARGE (POS)	0
		ARGININE	0		
		HISTIDINE	0		
		STOP CODON	0	STOP SIGNAL (STP)	0
TOTAL	4	2 Amino Acids Are Represented		NPL: 0: POL: 0: NEG:POS: STP = 4: 0: 0	

TABLE 75. Mutagenic Cassette: A, T, N

CODON	Represented	AMINO ACID	(Frequency)	CATEGORY	(Frequency)
		GLYCINE	0	NONPOLAR (NPL)	4
		ALANINE	0		
		VALINE	0		
		LEUCINE	0		
ATT	YES	ISOLEUCINE	3		
ATC	YES				
ATA	YES				
ATG	YES	METHIONINE	1		
		PHENYLALANINE	0		
		TRYPTOPHAN	0	POLAR NONIONIZABLE (POL)	0
		PROLINE	0		
		SERINE	0		
		CYSTEINE	0		
		ASPARAGINE	0		
		GLUTAMINE	0		
		TYROSINE	0	IONIZABLE: ACIDIC NEGATIVE CHARGE (NEG)	0
		THREONINE	0		
		ASPARTIC ACID	0		
		GLUTAMIC ACID	0	IONIZABLE: BASIC POSITIVE CHARGE (POS)	0
		LYSINE	0		
		ARGININE	0		
		HISTIDINE	0		
		STOP CODON	0	STOP SIGNAL (STP)	0
TOTAL	4	2 Amino Acids Are Represented		NPL: 4: POL: 0: NEG:POS: STP = 0: 0: 0	

TABLE 76. Mutagenic Cassette: C, A, N

CODON	Represented	AMINO ACID	(Frequency)	CATEGORY	(Frequency)
		GLYCINE	0	NONPOLAR (NPL)	0
		ALANINE	0		
		VALINE	0		
		LEUCINE	0		
		ISOLEUCINE	0		
		METHIONINE	0		
		PHENYLALANINE	0		
		TRYPTOPHAN	0		
		PROLINE	0		
		SERINE	0		
		CYSTEINE	0	POLAR NONIONIZABLE (POL)	2
		ASPARAGINE	0		
CAA	YES	GLUTAMINE	2		
CAG	YES	TYROSINE	0		
		THREONINE	0		
		ASPARTIC ACID	0	IONIZABLE: ACIDIC NEGATIVE CHARGE (NEG)	0
		GLUTAMIC ACID	0		
		LYSINE	0	IONIZABLE: BASIC POSITIVE CHARGE (POS)	2
		ARGININE	0		
CAT	YES	HISTIDINE	2		
CAC	YES				
		STOP CODON	0	STOP SIGNAL (STP)	0
TOTAL	4	2 Amino Acids Are Represented		NPL: 0: POL: 2: NEG:POS: STP = 0: 2: 0: 2: 0	

TABLE 77. Mutagenic Cassette: T, T, N

CODON	Represented	AMINO ACID	(Frequency)	CATEGORY	(Frequency)
		GLYCINE	0	NONPOLAR (NPL)	4
		ALANINE	0		
		VALINE	0		
TTA	YES	LEUCINE	2		
TTG	YES				
		ISOLEUCINE	0		
		METHIONINE	0		
TTT	YES	PHENYLALANINE	2		
TTC	YES				
		TRYPTOPHAN	0		
		PROLINE	0	POLAR NONIONIZABLE (POL)	0
		SERINE	0		
		CYSTEINE	0		
		ASPARAGINE	0		
		GLUTAMINE	0		
		TYROSINE	0		
		THREONINE	0		
		ASPARTIC ACID	0	IONIZABLE: ACIDIC NEGATIVE CHARGE (NEG)	0
		GLUTAMIC ACID	0		
		LYSINE	0	IONIZABLE: BASIC POSITIVE CHARGE (POS)	0
		ARGININE	0		
		HISTIDINE	0		
		STOP CODON	0	STOP SIGNAL (STP)	0
TOTAL	4	2 Amino Acids Are Represented		NPL: 4: POL: 0: NEG:POS: STP = 0: 0: 0: 0	

TABLE 78. Mutagenic Cassette: A, A, N

CODON		Represented	AMINO ACID	(Frequency)	CATEGORY	(Frequency)
			GLYCINE	0	NONPOLAR (NPL)	0
			ALANINE	0		
			VALINE	0		
			LEUCINE	0		
			ISOLEUCINE	0		
			METHIONINE	0		
			PHENYLALANINE	0		
			TRYPTOPHAN	0		
			PROLINE	0		
			SERINE	0		
			CYSTEINE	0	POLAR NONIONIZABLE (POL)	2
AAT	YES		ASPARAGINE	2		
AAC	YES					
			GLUTAMINE	0		
			TYROSINE	0		
			THREONINE	0	IONIZABLE: ACIDIC NEGATIVE CHARGE (NEG)	0
			ASPARTIC ACID	0		
			GLUTAMIC ACID	0		
AAA	YES		LYSINE	2	IONIZABLE: BASIC POSITIVE CHARGE (POS)	2
AAG	YES					
			ARGININE	0		
			HISTIDINE	0		
			STOP CODON	0	STOP SIGNAL (STP)	0
TOTAL		4	2 Amino Acids Are Represented		NPL: 0 POL: 2 NEG:POS: STP = 0: 2: 0: 2: 0	

TABLE 79. Mutagenic Cassette: T, A, N

TABLE 19: Mutagenic Character: 374/19					
CODON	Represented	AMINO ACID	(Frequency)	CATEGORY	(Frequency)
		GLYCINE	0	NONPOLAR (NPL)	0
		ALANINE	0		
		VALINE	0		
		LEUCINE	0		
		ISOLEUCINE	0		
		METHIONINE	0		
		PHENYLALANINE	0		
		TRYPTOPHAN	0		
		PROLINE	0		
		SERINE	0		
		CYSTEINE	0	POLAR NONIONIZABLE (POL)	2
		ASPARAGINE	0		
		GLUTAMINE	0		
TAT	YES	TYROSINE	2		
TAC	YES				
		THREONINE	0	IONIZABLE: ACIDIC NEGATIVE CHARGE (NEG)	0
		ASPARTIC ACID	0		
		GLUTAMIC ACID	0		
		LYSINE	0	IONIZABLE: BASIC POSITIVE CHARGE (POS)	0
		ARGININE	0		
		HISTIDINE	0		
TAA	YES	STOP CODON	2	STOP SIGNAL (STP)	2
TAG	YES				
TOTAL	4	1 Amino Acid Is Represented		NPL: 0: POL: 2: NEG:POS: STP = 0: 2: 0: 0: 2	



TABLE 80. Mutagenic Cassette: T, G, N

CODON	Represented	AMINO ACID	(Frequency)	CATEGORY	(Frequency)
		GLYCINE	0	NONPOLAR (NPL)	1
		ALANINE	0		
		VALINE	0		
		LEUCINE	0		
		ISOLEUCINE	0		
		METHIONINE	0		
		PHENYLALANINE	0		
TGG	YES	TRYPTOPHAN	1		
		PROLINE	0		
		SERINE	0		
TGT	YES	CYSTEINE	2	POLAR NONIONIZABLE (POL)	2
TGC	YES				
		TYROSINE	0		
		THREONINE	0		
		ASPARTIC ACID	0		
		GLUTAMIC ACID	0	IONIZABLE: ACIDIC NEGATIVE CHARGE (NEG)	0
		LYSINE	0	IONIZABLE: BASIC POSITIVE CHARGE (POS)	0
		ARGININE	0		
		HISTIDINE	0		
TGA	YES	STOP CODON	1	STOP SIGNAL (STP)	1
TOTAL	4	2 Amino Acids Are Represented		NPL: 1 POL: 2 NEG: 0 POS: 0 STP = 1	

TABLE 81. Mutagenic Cassette: A, G, N

TABLE 01: Mutagenic Cassette: A, G, N					
CODON		Represented	AMINO ACID	(Frequency)	CATEGORY (Frequency)
			GLYCINE	0	NONPOLAR (NPL) 0
			ALANINE	0	
			VALINE	0	
			LEUCINE	0	
			ISOLEUCINE	0	
			METHIONINE	0	
			PHENYLALANINE	0	
			TRYPTOPHAN	0	
			PROLINE	0	
AGT	YES		SERINE	2	POLAR NONIONIZABLE (POL) 2
AGC	YES				
			CYSTEINE	0	
			ASPARAGINE	0	
			GLUTAMINE	0	
			TYROSINE	0	
			THREONINE	0	
			ASPARTIC ACID	0	IONIZABLE: ACIDIC NEGATIVE CHARGE (NEG) 0
			GLUTAMIC ACID	0	
			LYSINE	0	IONIZABLE: BASIC POSITIVE CHARGE (POS) 2
AGA	YES		ARGININE	2	
AGG	YES				
			HISTIDINE	0	
			STOP CODON	0	STOP SIGNAL (STP) 0
TOTAL		4	2 Amino Acids Are Represented		NPL: 0 POL: 2 NEG: 0 POS: 2 STP = 0

TABLE 82. Mutagenic Cassette: G/C, G, N

CODON	Represented	AMINO ACID	(Frequency)	CATEGORY	(Frequency)
GGT	YES	GLYCINE	4	NONPOLAR (NPL)	4
GGC	YES				
GGA	YES				
GGG	YES				
		ALANINE	0		
		VALINE	0		
		LEUCINE	0		
		ISOLEUCINE	0		
		METHIONINE	0		
		PHENYLALANINE	0		
		TRYPTOPHAN	0		
		PROLINE	0		
		SERINE	0	POLAR NONIONIZABLE (POL)	0
		CYSTEINE	0		
		ASPARAGINE	0		
		GLUTAMINE	0		
		TYROSINE	0		
		THREONINE	0		
		ASPARTIC ACID	0	IONIZABLE: ACIDIC NEGATIVE CHARGE (NEG)	0
		GLUTAMIC ACID	0		
		LYSINE	0	IONIZABLE: BASIC POSITIVE CHARGE (POS)	4
CGT	YES	ARGININE	4		
CGC	YES				
CGA	YES				
CGG	YES				
		HISTIDINE	0		
		STOP CODON	0	STOP SIGNAL (STP)	0
TOTAL	8	2 Amino Acids Are Represented		NPL: 4 POL: 0 NEG: 0 POS: 4 STP = 0	

TABLE 83. Mutagenic Cassette: G/C, C, N

CODON		Represented	AMINO ACID	(Frequency)	CATEGORY	(Frequency)
			GLYCINE	0	NONPOLAR (NPL)	8
GCT	YES		ALANINE	4		
GCC	YES					
GCA	YES					
GCG	YES					
			VALINE	0		
			LEUCINE	0		
			ISOLEUCINE	0		
			METHIONINE	0		
			PHENYLALANINE	0		
			TRYPTOPHAN	0		
CCT	YES		PROLINE	4		
CCC	YES					
OCA	YES					
CCG	YES					
			SERINE	0	POLAR NONIONIZABLE (POL)	0
			CYSTEINE	0		
			ASPARAGINE	0		
			GLUTAMINE	0		
			TYROSINE	0		
			THREONINE	0	IONIZABLE: ACIDIC NEGATIVE CHARGE (NEG)	0
			ASPARTIC ACID	0		
			GLUTAMIC ACID	0		
			LYSINE	0	IONIZABLE: BASIC POSITIVE CHARGE (POS)	0
			ARGININE	0		
			HISTIDINE	0		
			STOP CODON	0	STOP SIGNAL (STP)	0
TOTAL		8	2 Amino Acids Are Represented		NPL: 8 POL: 0 NEG: 0 POS: 0 STP: 0	

TABLE 84. Mutagenic Cassette: G/C, A, N

CODON	Represented	AMINO ACID	(Frequency)	CATEGORY	(Frequency)
		GLYCINE	0	NONPOLAR (NPL)	0
		ALANINE	0		
		VALINE	0		
		LEUCINE	0		
		ISOLEUCINE	0		
		METHIONINE	0		
		PHENYLALANINE	0		
		TRYPTOPHAN	0		
		PROLINE	0		
		SERINE	0	POLAR NONIONIZABLE (POL)	2
		CYSTEINE	0		
		ASPARAGINE	0		
CAA	YES	GLUTAMINE	2		
CAG	YES				
		TYROSINE	0	IONIZABLE: ACIDIC NEGATIVE CHARGE (NEG)	4
		THREONINE	0		
GAT	YES	ASPARTIC ACID	2		
GAC	YES				
GAA	YES	GLUTAMIC ACID	2	IONIZABLE: BASIC POSITIVE CHARGE (POS)	2
GAG	YES				
		LYSINE	0		
		ARGININE	0		
CAT	YES	HISTIDINE	2	STOP SIGNAL (STP)	0
CAC	YES				
		STOP CODON	0		
TOTAL	8	4 Amino Acids Are Represented		NPL: POL: NEG: POS: STP = 0: 2: 4: 2: 0	

TABLE 85. Mutagenic Cassette: G/C, T, N

CODON	Represented	AMINO ACID	(Frequency)	CATEGORY	(Frequency)
		GLYCINE	0	NONPOLAR (NPL)	8
		ALANINE	0		
GTT	YES	VALINE	4		
GTC	YES				
GTA	YES				
GTG	YES				
CTT	YES	LEUCINE	4		
CTC	YES				
CTA	YES				
CTG	YES			POLAR NONIONIZABLE (POL)	0
		ISOLEUCINE	0		
		METHIONINE	0		
		PHENYLALANINE	0		
		TRYPTOPHAN	0		
		PROLINE	0		
		SERINE	0		
		CYSTEINE	0		
		ASPARAGINE	0		
		GLUTAMINE	0	IONIZABLE: ACIDIC NEGATIVE CHARGE (NEG)	0
		TYROSINE	0		
		THREONINE	0	IONIZABLE: BASIC POSITIVE CHARGE (POS)	0
		ASPARTIC ACID	0		
		GLUTAMIC ACID	0		
		LYSINE	0		
		ARGININE	0	STOP SIGNAL (STP)	0
		HISTIDINE	0		
		STOP CODON	0		
TOTAL	8	2 Amino Acids Are Represented		NPL: POL: NEG: POS: STP = 8: 0: 0: 0: 0	

## 2.11.2. CHIMERIZATIONS

### 2.11.2.1 "SHUFFLING"

5           Nucleic acid shuffling is a method for *in vitro* or *in vivo* homologous recombination of pools of shorter or smaller polynucleotides to produce a polynucleotide or polynucleotides. Mixtures of related nucleic acid sequences or polynucleotides are subjected to sexual PCR to provide random polynucleotides, and reassembled to yield a library or mixed population of recombinant hybrid nucleic acid molecules or  
10 polynucleotides.

          In contrast to cassette mutagenesis, only shuffling and error-prone PCR allow one to mutate a pool of sequences blindly (without sequence information other than primers).

15           The advantage of the mutagenic shuffling of this invention over error-prone PCR alone for repeated selection can best be explained with an example from antibody engineering. Consider DNA shuffling as compared with error-prone PCR (not sexual PCR). The initial library of selected pooled sequences can consist of related sequences of diverse origin (i.e. antibodies from naive mRNA) or can be derived by any type of  
20 mutagenesis (including shuffling) of a single antibody gene. A collection of selected complementarity determining regions ("CDRs") is obtained after the first round of affinity selection. In the diagram the thick CDRs confer onto the antibody molecule increased affinity for the antigen. Shuffling allows the free combinatorial association of all of the CDR1s with all of the CDR2s with all of the CDR3s, for example.

25           This method differs from error-prone PCR, in that it is an inverse chain reaction. In error-prone PCR, the number of polymerase start sites and the number of molecules grows exponentially. However, the sequence of the polymerase start sites and the sequence of the molecules remains essentially the same. In contrast, in nucleic acid  
30 reassembly or shuffling of random polynucleotides the number of start sites and the

number (but not size) of the random polynucleotides decreases over time. For polynucleotides derived from whole plasmids the theoretical endpoint is a single, large concatemeric molecule.

5           Since cross-overs occur at regions of homology, recombination will primarily occur between members of the same sequence family. This discourages combinations of CDRs that are grossly incompatible (e.g., directed against different epitopes of the same antigen). It is contemplated that multiple families of sequences can be shuffled in the same reaction. Further, shuffling generally conserves the relative order, such that, for  
10       example, CDR1 will not be found in the position of CDR2.

Rare shufflants will contain a large number of the best (eg. highest affinity) CDRs and these rare shufflants may be selected based on their superior affinity.

15           CDRs from a pool of 100 different selected antibody sequences can be permuted in up to 1006 different ways. This large number of permutations cannot be represented in a single library of DNA sequences. Accordingly, it is contemplated that multiple cycles of DNA shuffling and selection may be required depending on the length of the sequence and the sequence diversity desired.

20

Error-prone PCR, in contrast, keeps all the selected CDRs in the same relative sequence, generating a much smaller mutant cloud.

25           The template polynucleotide which may be used in the methods of this invention may be DNA or RNA. It may be of various lengths depending on the size of the gene or shorter or smaller polynucleotide to be recombined or reassembled. Preferably, the template polynucleotide is from 50 bp to 50 kb. It is contemplated that entire vectors containing the nucleic acid encoding the protein of interest can be used in the methods of this invention, and in fact have been successfully used.

The template polynucleotide may be obtained by amplification using the PCR reaction (USPN 4,683,202 and USPN 4,683,195) or other amplification or cloning methods. However, the removal of free primers from the PCR products before subjecting  
5 them to pooling of the PCR products and sexual PCR may provide more efficient results. Failure to adequately remove the primers from the original pool before sexual PCR can lead to a low frequency of crossover clones.

The template polynucleotide often should be double-stranded. A double-stranded  
10 nucleic acid molecule is recommended to ensure that regions of the resulting single-stranded polynucleotides are complementary to each other and thus can hybridize to form a double-stranded molecule.

It is contemplated that single-stranded or double-stranded nucleic acid  
15 polynucleotides having regions of identity to the template polynucleotide and regions of heterology to the template polynucleotide may be added to the template polynucleotide, at this step. It is also contemplated that two different but related polynucleotide templates can be mixed at this step.

20 The double-stranded polynucleotide template and any added double-or single-stranded polynucleotides are subjected to sexual PCR which includes slowing or halting to provide a mixture of from about 5 bp to 5 kb or more. Preferably the size of the random polynucleotides is from about 10 bp to 1000 bp, more preferably the size of the polynucleotides is from about 20 bp to 500 bp.

25

Alternatively, it is also contemplated that double-stranded nucleic acid having multiple nicks may be used in the methods of this invention. A nick is a break in one strand of the double-stranded nucleic acid. The distance between such nicks is preferably 5 bp to 5 kb, more preferably between 10 bp to 1000 bp. This can provide areas of self-

priming to produce shorter or smaller polynucleotides to be included with the polynucleotides resulting from random primers, for example.

5 The concentration of any one specific polynucleotide will not be greater than 1% by weight of the total polynucleotides, more preferably the concentration of any one specific nucleic acid sequence will not be greater than 0.1% by weight of the total nucleic acid.

10 The number of different specific polynucleotides in the mixture will be at least about 100, preferably at least about 500, and more preferably at least about 1000.

At this step single-stranded or double-stranded polynucleotides, either synthetic or natural, may be added to the random double-stranded shorter or smaller polynucleotides in order to increase the heterogeneity of the mixture of polynucleotides.

15

It is also contemplated that populations of double-stranded randomly broken polynucleotides may be mixed or combined at this step with the polynucleotides from the sexual PCR process and optionally subjected to one or more additional sexual PCR cycles.

20

Where insertion of mutations into the template polynucleotide is desired, single-stranded or double-stranded polynucleotides having a region of identity to the template polynucleotide and a region of heterology to the template polynucleotide may be added in a 20 fold excess by weight as compared to the total nucleic acid, more preferably the single-stranded polynucleotides may be added in a 10 fold excess by weight as compared to the total nucleic acid.

25

Where a mixture of different but related template polynucleotides is desired, populations of polynucleotides from each of the templates may be combined at a ratio of

less than about 1:100, more preferably the ratio is less than about 1:40. For example, a backcross of the wild-type polynucleotide with a population of mutated polynucleotide may be desired to eliminate neutral mutations (e.g., mutations yielding an insubstantial alteration in the phenotypic property being selected for). In such an example, the ratio of  
5 randomly provided wild-type polynucleotides which may be added to the randomly provided sexual PCR cycle hybrid polynucleotides is approximately 1:1 to about 100:1, and more preferably from 1:1 to 40:1.

The mixed population of random polynucleotides are denatured to form  
10 single-stranded polynucleotides and then re-annealed. Only those single-stranded polynucleotides having regions of homology with other single-stranded polynucleotides will re-anneal.

The random polynucleotides may be denatured by heating. One skilled in the art  
15 could determine the conditions necessary to completely denature the double-stranded nucleic acid. Preferably the temperature is from 80 °C to 100 °C, more preferably the temperature is from 90 °C to 96 °C. other methods which may be used to denature the polynucleotides include pressure (36) and pH.

20 The polynucleotides may be re-annealed by cooling. Preferably the temperature is from 20 °C to 75 °C, more preferably the temperature is from 40 °C to 65 °C. If a high frequency of crossovers is needed based on an average of only 4 consecutive bases of homology, recombination can be forced by using a low annealing temperature, although the process becomes more difficult. The degree of renaturation which occurs will depend  
25 on the degree of homology between the population of single-stranded polynucleotides.

Renaturation can be accelerated by the addition of polyethylene glycol ("PEG") or salt. The salt concentration is preferably from 0 mM to 200 mM, more preferably the salt



concentration is from 10 mM to 100 mM. The salt may be KCl or NaCl. The concentration of PEG is preferably from 0% to 20%, more preferably from 5% to 10%.

5 The annealed polynucleotides are next incubated in the presence of a nucleic acid polymerase and dNTP's (i.e. dATP, dCTP, dGTP and dTTP). The nucleic acid polymerase may be the Klenow fragment, the Taq polymerase or any other DNA polymerase known in the art.

10 The approach to be used for the assembly depends on the minimum degree of homology that should still yield crossovers. If the areas of identity are large, Taq polymerase can be used with an annealing temperature of between 45-65 °C. If the areas of identity are small, Klenow polymerase can be used with an annealing temperature of between 20-30 °C. One skilled in the art could vary the temperature of annealing to increase the number of cross-overs achieved.

15

The polymerase may be added to the random polynucleotides prior to annealing, simultaneously with annealing or after annealing.

20 The cycle of denaturation, renaturation and incubation in the presence of polymerase is referred to herein as shuffling or reassembly of the nucleic acid. This cycle is repeated for a desired number of times. Preferably the cycle is repeated from 2 to 50 times, more preferably the sequence is repeated from 10 to 40 times.

25 The resulting nucleic acid is a larger double-stranded polynucleotide of from about 50 bp to about 100 kb, preferably the larger polynucleotide is from 500 bp to 50 kb.

This larger polynucleotides may contain a number of copies of a polynucleotide having the same size as the template polynucleotide in tandem. This concatemeric polynucleotide is then denatured into single copies of the template polynucleotide. The

result will be a population of polynucleotides of approximately the same size as the template polynucleotide. The population will be a mixed population where single or double-stranded polynucleotides having an area of identity and an area of heterology have been added to the template polynucleotide prior to shuffling. These polynucleotides are then cloned into the appropriate vector and the ligation mixture used to transform bacteria.

It is contemplated that the single polynucleotides may be obtained from the larger concatemeric polynucleotide by amplification of the single polynucleotide prior to cloning by a variety of methods including PCR (USPN 4,683,195 and USPN 4,683,202), rather than by digestion of the concatemer.

The vector used for cloning is not critical provided that it will accept a polynucleotide of the desired size. If expression of the particular polynucleotide is desired, the cloning vehicle should further comprise transcription and translation signals next to the site of insertion of the polynucleotide to allow expression of the polynucleotide in the host cell. Preferred vectors include the pUC series and the pBR series of plasmids.

The resulting bacterial population will include a number of recombinant polynucleotides having random mutations. This mixed population may be tested to identify the desired recombinant polynucleotides. The method of selection will depend on the polynucleotide desired.

For example, if a polynucleotide which encodes a protein with increased binding efficiency to a ligand is desired, the proteins expressed by each of the portions of the polynucleotides in the population or library may be tested for their ability to bind to the ligand by methods known in the art (i.e. panning, affinity chromatography). If a polynucleotide which encodes for a protein with increased drug resistance is desired, the

proteins expressed by each of the polynucleotides in the population or library may be tested for their ability to confer drug resistance to the host organism. One skilled in the art, given knowledge of the desired protein, could readily test the population to identify polynucleotides which confer the desired properties onto the protein.

5

It is contemplated that one skilled in the art could use a phage display system in which fragments of the protein are expressed as fusion proteins on the phage surface (Pharmacia, Milwaukee WI). The recombinant DNA molecules are cloned into the phage DNA at a site which results in the transcription of a fusion protein a portion of which is encoded by the recombinant DNA molecule. The phage containing the recombinant nucleic acid molecule undergoes replication and transcription in the cell. The leader sequence of the fusion protein directs the transport of the fusion protein to the tip of the phage particle. Thus the fusion protein which is partially encoded by the recombinant DNA molecule is displayed on the phage particle for detection and selection by the methods described above.

10  
15

It is further contemplated that a number of cycles of nucleic acid shuffling may be conducted with polynucleotides from a sub-population of the first population, which sub-population contains DNA encoding the desired recombinant protein. In this manner, proteins with even higher binding affinities or enzymatic activity could be achieved.

20

It is also contemplated that a number of cycles of nucleic acid shuffling may be conducted with a mixture of wild-type polynucleotides and a sub-population of nucleic acid from the first or subsequent rounds of nucleic acid shuffling in order to remove any silent mutations from the sub-population.

25

Any source of nucleic acid, in purified form can be utilized as the starting nucleic acid. Thus the process may employ DNA or RNA including messenger RNA, which DNA or RNA may be single or double stranded. In addition, a DNA-RNA hybrid which

contains one strand of each may be utilized. The nucleic acid sequence may be of various lengths depending on the size of the nucleic acid sequence to be mutated. Preferably the specific nucleic acid sequence is from 50 to 50000 base pairs. It is contemplated that entire vectors containing the nucleic acid encoding the protein of  
5 interest may be used in the methods of this invention.

The nucleic acid may be obtained from any source, for example, from plasmids such a pBR322, from cloned DNA or RNA or from natural DNA or RNA from any source including bacteria, yeast, viruses and higher organisms such as plants or animals.  
10 DNA or RNA may be extracted from blood or tissue material. The template polynucleotide may be obtained by amplification using the polynucleotide chain reaction (PCR, see USPN 4,683,202 and USPN 4,683,195). Alternatively, the polynucleotide may be present in a vector present in a cell and sufficient nucleic acid may be obtained by culturing the cell and extracting the nucleic acid from the cell by methods known in the  
15 art.

Any specific nucleic acid sequence can be used to produce the population of hybrids by the present process. It is only necessary that a small population of hybrid sequences of the specific nucleic acid sequence exist or be created prior to the present  
20 process.

The initial small population of the specific nucleic acid sequences having mutations may be created by a number of different methods. Mutations may be created by error-prone PCR. Error-prone PCR uses low-fidelity polymerization conditions to  
25 introduce a low level of point mutations randomly over a long sequence. Alternatively, mutations can be introduced into the template polynucleotide by oligonucleotide-directed mutagenesis. In oligonucleotide-directed mutagenesis, a short sequence of the polynucleotide is removed from the polynucleotide using restriction enzyme digestion and is replaced with a synthetic polynucleotide in which various bases have been altered

from the original sequence. The polynucleotide sequence can also be altered by chemical mutagenesis. Chemical mutagens include, for example, sodium bisulfite, nitrous acid, hydroxylamine, hydrazine or formic acid. other agents which are analogues of nucleotide precursors include nitrosoguanidine, 5-bromouracil, 2-aminopurine, or acridine.

- 5 Generally, these agents are added to the PCR reaction in place of the nucleotide precursor thereby mutating the sequence. Intercalating agents such as proflavine, acriflavine, quinacrine and the like can also be used. Random mutagenesis of the polynucleotide sequence can also be achieved by irradiation with X-rays or ultraviolet light. Generally, plasmid polynucleotides so mutagenized are introduced into *E. coli* and propagated as a  
10 pool or library of hybrid plasmids.

- Alternatively the small mixed population of specific nucleic acids may be found in nature in that they may consist of different alleles of the same gene or the same gene from different related species (i.e., cognate genes). Alternatively, they may be related  
15 DNA sequences found within one species, for example, the immunoglobulin genes.

Once the mixed population of the specific nucleic acid sequences is generated, the polynucleotides can be used directly or inserted into an appropriate cloning vector, using techniques well-known in the art.

20

- The choice of vector depends on the size of the polynucleotide sequence and the host cell to be employed in the methods of this invention. The templates of this invention may be plasmids, phages, cosmids, phagemids, viruses (e.g., retroviruses, parainfluenzavirus, herpesviruses, reoviruses, paramyxoviruses, and the like), or selected  
25 portions thereof (e.g., coat protein, spike glycoprotein, capsid protein). For example, cosmids and phagemids are preferred where the specific nucleic acid sequence to be mutated is larger because these vectors are able to stably propagate large polynucleotides.

If the mixed population of the specific nucleic acid sequence is cloned into a vector it can be clonally amplified by inserting each vector into a host cell and allowing the host cell to amplify the vector. This is referred to as clonal amplification because while the absolute number of nucleic acid sequences increases, the number of hybrids  
5 does not increase. Utility can be readily determined by screening expressed polypeptides.

The DNA shuffling method of this invention can be performed blindly on a pool of unknown sequences. By adding to the reassembly mixture oligonucleotides (with ends that are homologous to the sequences being reassembled) any sequence mixture can be  
10 incorporated at any specific position into another sequence mixture. Thus, it is contemplated that mixtures of synthetic oligonucleotides, PCR polynucleotides or even whole genes can be mixed into another sequence library at defined positions. The insertion of one sequence (mixture) is independent from the insertion of a sequence in another part of the template. Thus, the degree of recombination, the homology required,  
15 and the diversity of the library can be independently and simultaneously varied along the length of the reassembled DNA.

This approach of mixing two genes may be useful for the humanization of antibodies from murine hybridomas. The approach of mixing two genes or inserting  
20 alternative sequences into genes may be useful for any therapeutically used protein, for example, interleukin I, antibodies, tPA and growth hormone. The approach may also be useful in any nucleic acid for example, promoters or introns or 3' untranslated region or 5' untranslated regions of genes to increase expression or alter specificity of expression of proteins. The approach may also be used to mutate ribozymes or aptamers.

25

Shuffling requires the presence of homologous regions separating regions of diversity. Scaffold-like protein structures may be particularly suitable for shuffling. The conserved scaffold determines the overall folding by self-association, while displaying relatively unrestricted loops that mediate the specific binding. Examples of such

scaffolds are the immunoglobulin beta-barrel, and the four-helix bundle which are well-known in the art. This shuffling can be used to create scaffold-like proteins with various combinations of mutated sequences for binding.

5

### **In vitro Shuffling**

The equivalents of some standard genetic matings may also be performed by shuffling *in vitro*. For example, a "molecular backcross" can be performed by repeatedly  
10 mixing the hybrid's nucleic acid with the wild-type nucleic acid while selecting for the mutations of interest. As in traditional breeding, this approach can be used to combine phenotypes from different sources into a background of choice. It is useful, for example, for the removal of neutral mutations that affect unselected characteristics (i.e. immunogenicity). Thus it can be useful to determine which mutations in a protein are  
15 involved in the enhanced biological activity and which are not, an advantage which cannot be achieved by error-prone mutagenesis or cassette mutagenesis methods.

Large, functional genes can be assembled correctly from a mixture of small random polynucleotides. This reaction may be of use for the reassembly of genes from  
20 the highly fragmented DNA of fossils. In addition random nucleic acid fragments from fossils may be combined with polynucleotides from similar genes from related species.

It is also contemplated that the method of this invention can be used for the *in vitro* amplification of a whole genome from a single cell as is needed for a variety of  
25 research and diagnostic applications. DNA amplification by PCR is in practice limited to a length of about 40 kb. Amplification of a whole genome such as that of *E. coli* (5,000 kb) by PCR would require about 250 primers yielding 125 forty kb polynucleotides. This approach is not practical due to the unavailability of sufficient sequence data. On the other hand, random production of polynucleotides of the genome with sexual PCR cycles,  
30 followed by gel purification of small polynucleotides will provide a multitude of possible

primers. Use of this mix of random small polynucleotides as primers in a PCR reaction alone or with the whole genome as the template should result in an inverse chain reaction with the theoretical endpoint of a single concatamer containing many copies of the genome.

5

100 fold amplification in the copy number and an average polynucleotide size of greater than 50 kb may be obtained when only random polynucleotides are used. It is thought that the larger concatamer is generated by overlap of many smaller polynucleotides. The quality of specific PCR products obtained using synthetic primers will be indistinguishable from the product obtained from unamplified DNA. It is expected that this approach will be useful for the mapping of genomes.

The polynucleotide to be shuffled can be produced as random or non-random polynucleotides, at the discretion of the practitioner. Moreover, this invention provides a method of shuffling that is applicable to a wide range of polynucleotide sizes and types, including the step of generating polynucleotide monomers to be used as building blocks in the reassembly of a larger polynucleotide. For example, the building blocks can be fragments of genes or they can be comprised of entire genes or gene pathways, or any combination thereof.

20

#### In vivo Shuffling

In an embodiment of *in vivo* shuffling, the mixed population of the specific nucleic acid sequence is introduced into bacterial or eukaryotic cells under conditions such that at least two different nucleic acid sequences are present in each host cell. The polynucleotides can be introduced into the host cells by a variety of different methods. The host cells can be transformed with the smaller polynucleotides using methods known in the art, for example treatment with calcium chloride. If the polynucleotides are inserted into a phage genome, the host cell can be transfected with the recombinant phage



genome having the specific nucleic acid sequences. Alternatively, the nucleic acid sequences can be introduced into the host cell using electroporation, transfection, lipofection, biolistics, conjugation, and the like.

5           In general, in this embodiment, the specific nucleic acids sequences will be present in vectors which are capable of stably replicating the sequence in the host cell. In addition, it is contemplated that the vectors will encode a marker gene such that host cells having the vector can be selected. This ensures that the mutated specific nucleic acid sequence can be recovered after introduction into the host cell. However, it is  
10           contemplated that the entire mixed population of the specific nucleic acid sequences need not be present on a vector sequence. Rather only a sufficient number of sequences need be cloned into vectors to ensure that after introduction of the polynucleotides into the host cells each host cell contains one vector having at least one specific nucleic acid sequence present therein. It is also contemplated that rather than having a subset of the population  
15           of the specific nucleic acids sequences cloned into vectors, this subset may be already stably integrated into the host cell.

          It has been found that when two polynucleotides which have regions of identity are inserted into the host cells homologous recombination occurs between the two  
20           polynucleotides. Such recombination between the two mutated specific nucleic acid sequences will result in the production of double or triple hybrids in some situations.

          It has also been found that the frequency of recombination is increased if some of the mutated specific nucleic acid sequences are present on linear nucleic acid molecules.  
25           Therefore, in a preferred embodiment, some of the specific nucleic acid sequences are present on linear polynucleotides.

          After transformation, the host cell transformants are placed under selection to identify those host cell transformants which contain mutated specific nucleic acid

sequences having the qualities desired. For example, if increased resistance to a particular drug is desired then the transformed host cells may be subjected to increased concentrations of the particular drug and those transformants producing mutated proteins able to confer increased drug resistance will be selected. If the enhanced ability of a particular protein to bind to a receptor is desired, then expression of the protein can be induced from the transformants and the resulting protein assayed in a ligand binding assay by methods known in the art to identify that subset of the mutated population which shows enhanced binding to the ligand. Alternatively, the protein can be expressed in another system to ensure proper processing.

10

Once a subset of the first recombined specific nucleic acid sequences (daughter sequences) having the desired characteristics are identified, they are then subject to a second round of recombination.

15

In the second cycle of recombination, the recombined specific nucleic acid sequences may be mixed with the original mutated specific nucleic acid sequences (parent sequences) and the cycle repeated as described above. In this way a set of second recombined specific nucleic acids sequences can be identified which have enhanced characteristics or encode for proteins having enhanced properties. This cycle can be repeated a number of times as desired.

20

It is also contemplated that in the second or subsequent recombination cycle, a backcross can be performed. A molecular backcross can be performed by mixing the desired specific nucleic acid sequences with a large number of the wild-type sequence, such that at least one wild-type nucleic acid sequence and a mutated nucleic acid sequence are present in the same host cell after transformation. Recombination with the wild-type specific nucleic acid sequence will eliminate those neutral mutations that may affect unselected characteristics such as immunogenicity but not the selected characteristics.

25

In another embodiment of this invention, it is contemplated that during the first round a subset of the specific nucleic acid sequences can be generated as smaller polynucleotides by slowing or halting their PCR amplification prior to introduction into the host cell. The size of the polynucleotides must be large enough to contain some regions of identity with the other sequences so as to homologously recombine with the other sequences. The size of the polynucleotides will range from 0.03 kb to 100 kb more preferably from 0.2 kb to 10 kb. It is also contemplated that in subsequent rounds, all of the specific nucleic acid sequences other than the sequences selected from the previous round may be utilized to generate PCR polynucleotides prior to introduction into the host cells.

The shorter polynucleotide sequences can be single-stranded or double-stranded. If the sequences were originally single-stranded and have become double-stranded they can be denatured with heat, chemicals or enzymes prior to insertion into the host cell. The reaction conditions suitable for separating the strands of nucleic acid are well known in the art.

The steps of this process can be repeated indefinitely, being limited only by the number of possible hybrids which can be achieved. After a certain number of cycles, all possible hybrids will have been achieved and further cycles are redundant.

In an embodiment the same mutated template nucleic acid is repeatedly recombined and the resulting recombinants selected for the desired characteristic.

Therefore, the initial pool or population of mutated template nucleic acid is cloned into a vector capable of replicating in a bacteria such as *E. coli*. The particular vector is not essential, so long as it is capable of autonomous replication in *E. coli*. In a preferred embodiment, the vector is designed to allow the expression and production of

any protein encoded by the mutated specific nucleic acid linked to the vector. It is also preferred that the vector contain a gene encoding for a selectable marker.

The population of vectors containing the pool of mutated nucleic acid sequences  
5 is introduced into the *E. coli* host cells. The vector nucleic acid sequences may be introduced by transformation, transfection or infection in the case of phage. The concentration of vectors used to transform the bacteria is such that a number of vectors is introduced into each cell. Once present in the cell, the efficiency of homologous recombination is such that homologous recombination occurs between the various  
10 vectors. This results in the generation of hybrids (daughters) having a combination of mutations which differ from the original parent mutated sequences.

The host cells are then clonally replicated and selected for the marker gene present on the vector. Only those cells having a plasmid will grow under the selection.  
15

The host cells which contain a vector are then tested for the presence of favorable mutations. Such testing may consist of placing the cells under selective pressure, for example, if the gene to be selected is an improved drug resistance gene. If the vector allows expression of the protein encoded by the mutated nucleic acid sequence, then such  
20 selection may include allowing expression of the protein so encoded, isolation of the protein and testing of the protein to determine whether, for example, it binds with increased efficiency to the ligand of interest.

Once a particular daughter mutated nucleic acid sequence has been identified  
25 which confers the desired characteristics, the nucleic acid is isolated either already linked to the vector or separated from the vector. This nucleic acid is then mixed with the first or parent population of nucleic acids and the cycle is repeated.

It has been shown that by this method nucleic acid sequences having enhanced desired properties can be selected.

In an alternate embodiment, the first generation of hybrids are retained in the cells and the parental mutated sequences are added again to the cells. Accordingly, the first  
5 cycle of Embodiment I is conducted as described above. However, after the daughter nucleic acid sequences are identified, the host cells containing these sequences are retained.

The parent mutated specific nucleic acid population, either as polynucleotides or  
10 cloned into the same vector is introduced into the host cells already containing the daughter nucleic acids. Recombination is allowed to occur in the cells and the next generation of recombinants, or granddaughters are selected by the methods described above.

15 This cycle can be repeated a number of times until the nucleic acid or peptide having the desired characteristics is obtained. It is contemplated that in subsequent cycles, the population of mutated sequences which are added to the preferred hybrids may come from the parental hybrids or any subsequent generation.

20 In an alternative embodiment, the invention provides a method of conducting a "molecular" backcross of the obtained recombinant specific nucleic acid in order to eliminate any neutral mutations. Neutral mutations are those mutations which do not confer onto the nucleic acid or peptide the desired properties. Such mutations may however confer on the nucleic acid or peptide undesirable characteristics. Accordingly, it  
25 is desirable to eliminate such neutral mutations. The method of this invention provide a means of doing so.

In this embodiment, after the hybrid nucleic acid, having the desired characteristics, is obtained by the methods of the embodiments, the nucleic acid, the

vector having the nucleic acid or the host cell containing the vector and nucleic acid is isolated.

5 The nucleic acid or vector is then introduced into the host cell with a large excess of the wild-type nucleic acid. The nucleic acid of the hybrid and the nucleic acid of the wild-type sequence are allowed to recombine. The resulting recombinants are placed under the same selection as the hybrid nucleic acid. Only those recombinants which retained the desired characteristics will be selected. Any silent mutations which do not provide the desired characteristics will be lost through recombination with the wild-type  
10 DNA. This cycle can be repeated a number of times until all of the silent mutations are eliminated.

Thus the methods of this invention can be used in a molecular backcross to eliminate unnecessary or silent mutations.

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### **2.11.2.3. EXONUCLEASE-MEDIATED REASSEMBLY**

In a particular embodiment, this invention provides for a method for shuffling,  
20 assembling, reassembling, recombining, &/or concatenating at least two polynucleotides to form a progeny polynucleotide (e.g. a chimeric progeny polynucleotide that can be expressed to produce a polypeptide or a gene pathway). In a particular embodiment, a double stranded polynucleotide end (e.g. two single stranded sequences hybridized to each other as hybridization partners) is treated with an exonuclease to liberate nucleotides  
25 from one of the two strands, leaving the remaining strand free of its original partner so that, if desired, the remaining strand may be used to achieve hybridization to another partner.

In a particular aspect, a double stranded polynucleotide end (that may be part of -  
30 or connected to - a polynucleotide or a nonpolynucleotide sequence) is subjected to a

source of exonuclease activity. Serviceable sources of exonuclease activity may be an enzyme with 3' exonuclease activity, an enzyme with 5' exonuclease activity, an enzyme with both 3' exonuclease activity and 5' exonuclease activity, and any combination thereof. An exonuclease can be used to liberate nucleotides from one or both ends of a linear double stranded polynucleotide, and from one to all ends of a branched polynucleotide having more than two ends. The mechanism of action of this liberation is believed to be comprised of an enzymatically-catalyzed hydrolysis of terminal nucleotides, and can be allowed to proceed in a time-dependent fashion, allowing experimental control of the progression of the enzymatic process.

10

By contrast, a non-enzymatic step may be used to shuffle, assemble, reassemble, recombine, and/or concatenate polynucleotide building blocks that is comprised of subjecting a working sample to denaturing (or "melting") conditions (for example, by changing temperature, pH, and /or salinity conditions) so as to melt a working set of double stranded polynucleotides into single polynucleotide strands. For shuffling, it is desirable that the single polynucleotide strands participate to some extent in annealment with different hybridization partners (i.e. and not merely revert to exclusive reannealment between what were former partners before the denaturation step). The presence of the former hybridization partners in the reaction vessel, however, does not preclude, and may sometimes even favor, reannealment of a single stranded polynucleotide with its former partner, to recreate an original double stranded polynucleotide.

15  
20

In contrast to this non-enzymatic shuffling step comprised of subjecting double stranded polynucleotide building blocks to denaturation, followed by annealment, the instant invention further provides an exonuclease-based approach requiring no denaturation – rather, the avoidance of denaturing conditions and the maintenance of double stranded polynucleotide substrates in annealed (i.e. non-denatured) state are necessary conditions for the action of exonucleases (e.g., exonuclease III and red alpha gene product). Additionally in contrast, the generation of single stranded polynucleotide

25

sequences capable of hybridizing to other single stranded polynucleotide sequences is the result of covalent cleavage – and hence sequence destruction - in one of the hybridization partners. For example, an exonuclease III enzyme may be used to enzymatically liberate 3' terminal nucleotides in one hybridization strand (to achieve covalent hydrolysis in that polynucleotide strand); and this favors hybridization of the remaining single strand to a new partner (since its former partner was subjected to covalent cleavage).

By way of further illustration, a specific exonuclease, namely exonuclease III is provided herein as an example of a 3' exonuclease; however, other exonucleases may also be used, including enzymes with 5' exonuclease activity and enzymes with 3' exonuclease activity, and including enzymes not yet discovered and enzymes not yet developed. It is particularly appreciated that enzymes can be discovered, optimized (e.g. engineered by directed evolution), or both discovered and optimized specifically for the instantly disclosed approach that have more optimal rates &/or more highly specific activities &/or greater lack of unwanted activities. In fact it is expected that the instant invention may encourage the discovery &/or development of such designer enzymes. In sum, this invention may be practiced with a variety of currently available exonuclease enzymes, as well enzymes not yet discovered and enzymes not yet developed.

The exonuclease action of exonuclease III requires a working double stranded polynucleotide end that is either blunt or has a 5' overhang, and the exonuclease action is comprised of enzymatically liberating 3' terminal nucleotides, leaving a single stranded 5' end that becomes longer and longer as the exonuclease action proceeds (see Figure 1). Any 5' overhangs produced by this approach may be used to hybridize to another single stranded polynucleotide sequence (which may also be a single stranded polynucleotide or a terminal overhang of a partially double stranded polynucleotide) that shares enough homology to allow hybridization. The ability of these exonuclease III-generated single stranded sequences (e.g. in 5' overhangs) to hybridize to other single stranded sequences



allows two or more polynucleotides to be shuffled, assembled, reassembled, &/or concatenated.

Furthermore, it is appreciated that one can protect the end of a double stranded polynucleotide or render it susceptible to a desired enzymatic action of a serviceable exonuclease as necessary. For example, a double stranded polynucleotide end having a 3' overhang is not susceptible to the exonuclease action of exonuclease III. However, it may be rendered susceptible to the exonuclease action of exonuclease III by a variety of means; for example, it may be blunted by treatment with a polymerase, cleaved to provide a blunt end or a 5' overhang, joined (ligated or hybridized) to another double stranded polynucleotide to provide a blunt end or a 5' overhang, hybridized to a single stranded polynucleotide to provide a blunt end or a 5' overhang, or modified by any of a variety of means).

According to one aspect, an exonuclease may be allowed to act on one or on both ends of a linear double stranded polynucleotide and proceed to completion, to near completion, or to partial completion. When the exonuclease action is allowed to go to completion, the result will be that the length of each 5' overhang will be extend far towards the middle region of the polynucleotide in the direction of what might be considered a "rendezvous point" (which may be somewhere near the polynucleotide midpoint). Ultimately, this results in the production of single stranded polynucleotides (that can become dissociated) that are each about half the length of the original double stranded polynucleotide (see Figure 1). Alternatively, an exonuclease-mediated reaction can be terminated before proceeding to completion.

25

Thus this exonuclease-mediated approach is serviceable for shuffling, assembling &/or reassembling, recombining, and concatenating polynucleotide building blocks, which polynucleotide building blocks can be up to ten bases long or tens of bases long or

hundreds of bases long or thousands of bases long or tens of thousands of bases long or hundreds of thousands of bases long or millions of bases long or even longer.

This exonuclease-mediated approach is based on the action of double stranded  
5 DNA specific exodeoxyribonuclease activity of *E. coli* exonuclease III. Substrates for exonuclease III may be generated by subjecting a double stranded polynucleotide to fragmentation. Fragmentation may be achieved by mechanical means (e.g., shearing, sonication, etc.), by enzymatic means (e.g. using restriction enzymes), and by any combination thereof. Fragments of a larger polynucleotide may also be generated by  
10 polymerase-mediated synthesis.

Exonuclease III is a 28K monomeric enzyme, product of the *xthA* gene of *E. coli* with four known activities: exodeoxyribonuclease (alternatively referred to as exonuclease herein), RNaseH, DNA-3'-phosphatase, and AP endonuclease. The  
15 exodeoxyribonuclease activity is specific for double stranded DNA. The mechanism of action is thought to involve enzymatic hydrolysis of DNA from a 3' end progressively towards a 5' direction, with formation of nucleoside 5'-phosphates and a residual single strand. The enzyme does not display efficient hydrolysis of single stranded DNA, single-stranded RNA, or double-stranded RNA; however it degrades RNA in an DNA-RNA  
20 hybrid releasing nucleoside 5'-phosphates. The enzyme also releases inorganic phosphate specifically from 3'phosphomonoester groups on DNA, but not from RNA or short oligonucleotides. Removal of these groups converts the terminus into a primer for DNA polymerase action.

25 Additional examples of enzymes with exonuclease activity include red-alpha and venom phosphodiesterases. Red alpha (*redα*) gene product (also referred to as lambda exonuclease) is of bacteriophage λ origin. The *redα* gene is transcribed from the leftward promoter and its product is involved (24 kD) in recombination. Red alpha gene product acts processively from 5'-phosphorylated termini to liberate mononucleotides from

duplex DNA (Takahashi & Kobayashi, 1990). Venom phosphodiesterases (Laskowski, 1980) is capable of rapidly opening supercoiled DNA.

### 5 2.11.2.3. NON-STOCHASTIC LIGATION REASSEMBLY

In one aspect, the present invention provides a non-stochastic method termed synthetic ligation reassembly (SLR), that is somewhat related to stochastic shuffling, save that the nucleic acid building blocks are not shuffled or concatenated or chimerized  
10 randomly, but rather are assembled non-stochastically.

A particularly glaring difference is that the instant SLR method does not depend on the presence of a high level of homology between polynucleotides to be shuffled. In contrast, prior methods, particularly prior stochastic shuffling methods require that  
15 presence of a high level of homology, particularly at coupling sites, between polynucleotides to be shuffled. Accordingly these prior methods favor the regeneration of the original progenitor molecules, and are suboptimal for generating large numbers of novel progeny chimeras, particularly full-length progenies. The instant invention, on the other hand, can be used to non-stochastically generate libraries (or sets) of progeny  
20 molecules comprised of over  $10^{100}$  different chimeras. Conceivably, SLR can even be used to generate libraries comprised of over  $10^{1000}$  different progeny chimeras with (no upper limit in sight).

Thus, in one aspect, the present invention provides a method, which method is  
25 non-stochastic, of producing a set of finalized chimeric nucleic acid molecules having an overall assembly order that is chosen by design, which method is comprised of the steps of generating by design a plurality of specific nucleic acid building blocks having serviceable mutually compatible ligatable ends, and assembling these nucleic acid building blocks, such that a designed overall assembly order is achieved.

The mutually compatible ligatable ends of the nucleic acid building blocks to be assembled are considered to be "serviceable" for this type of ordered assembly if they enable the building blocks to be coupled in predetermined orders. Thus, in one aspect, 5 the overall assembly order in which the nucleic acid building blocks can be coupled is specified by the design of the ligatable ends and, if more than one assembly step is to be used, then the overall assembly order in which the nucleic acid building blocks can be coupled is also specified by the sequential order of the assembly step(s). Figure 4, Panel C illustrates an exemplary assembly process comprised of 2 sequential steps to achieve a 10 designed (non-stochastic) overall assembly order for five nucleic acid building blocks. In a preferred embodiment of this invention, the annealed building pieces are treated with an enzyme, such as a ligase (e.g. T4 DNA ligase), achieve covalent bonding of the building pieces.

15 In a preferred embodiment, the design of nucleic acid building blocks is obtained upon analysis of the sequences of a set of progenitor nucleic acid templates that serve as a basis for producing a progeny set of finalized chimeric nucleic acid molecules. These progenitor nucleic acid templates thus serve as a source of sequence information that aids in the design of the nucleic acid building blocks that are to be mutagenized, i.e. 20 chimerized or shuffled.

In one exemplification, this invention provides for the chimerization of a family of related genes and their encoded family of related products. In a particular exemplification, the encoded products are enzymes. As a representative list of families 25 of enzymes which may be mutagenized in accordance with the aspects of the present invention, there may be mentioned, the following enzymes and their functions:

- 1      **Lipase/Esterase**
- a.      Enantioselective hydrolysis of esters (lipids)/ thioesters
- 1)      Resolution of racemic mixtures
- 2)      Synthesis of optically active acids or alcohols from *meso*-diesters
- 5      b.      Selective syntheses
- 1)      Regiospecific hydrolysis of carbohydrate esters
- 2)      Selective hydrolysis of cyclic secondary alcohols
- c.      Synthesis of optically active esters, lactones, acids, alcohols
- 1)      Transesterification of activated/nonactivated esters
- 10      2)      Interesterification
- 3)      Optically active lactones from hydroxyesters
- 4)      Regio- and enantioselective ring opening of anhydrides
- d.      Detergents
- e.      Fat/Oil conversion
- 15      f.      Cheese ripening
- 2      **Protease**
- a.      Ester/amide synthesis
- b.      Peptide synthesis
- 20      c.      Resolution of racemic mixtures of amino acid esters
- d.      Synthesis of non-natural amino acids
- e.      Detergents/protein hydrolysis
- 3      **Glycosidase/Glycosyl transferase**
- 25      a.      Sugar/polymer synthesis
- b.      Cleavage of glycosidic linkages to form mono, di-and oligosaccharides
- c.      Synthesis of complex oligosaccharides
- d.      Glycoside synthesis using UDP-galactosyl transferase
- e.      Transglycosylation of disaccharides, glycosyl fluorides, aryl galactosides

- f. Glycosyl transfer in oligosaccharide synthesis
  - g. Diastereoselective cleavage of  $\beta$ -glucosylsulfoxides
  - h. Asymmetric glycosylations
  - i. Food processing
  - 5 j. Paper processing
- 4     **Phosphatase/Kinase**
- a. Synthesis/hydrolysis of phosphate esters
    - 1) Regio-, enantioselective phosphorylation
    - 10 2) Introduction of phosphate esters
    - 3) Synthesize phospholipid precursors
    - 4) Controlled polynucleotide synthesis
  - b. Activate biological molecule
  - c. Selective phosphate bond formation without protecting groups
- 15
- 5     **Mono/Dioxygenase**
- a. Direct oxyfunctionalization of unactivated organic substrates
  - b. Hydroxylation of alkane, aromatics, steroids
  - c. Epoxidation of alkenes
  - 20 d. Enantioselective sulfoxidation
  - e. Regio- and stereoselective Bayer-Villiger oxidations
- 6     **Haloperoxidase**
- a. Oxidative addition of halide ion to nucleophilic sites
  - 25 b. Addition of hypohalous acids to olefinic bonds
  - c. Ring cleavage of cyclopropanes
  - d. Activated aromatic substrates converted to *ortho* and *para* derivatives
  - e. 1,3 diketones converted to 2-halo-derivatives

- f. Heteroatom oxidation of sulfur and nitrogen containing substrates
  - g. Oxidation of enol acetates, alkynes and activated aromatic rings
- 7     **Lignin peroxidase/Diarylpropane peroxidase**
- 5     a. Oxidative cleavage of C-C bonds
  - b. Oxidation of benzylic alcohols to aldehydes
  - c. Hydroxylation of benzylic carbons
  - d. Phenol dimerization
  - e. Hydroxylation of double bonds to form diols
  - 10    f. Cleavage of lignin aldehydes
- 8     **Epoxide hydrolase**
- a. Synthesis of enantiomerically pure bioactive compounds
  - b. Regio- and enantioselective hydrolysis of epoxide
  - 15    c. Aromatic and olefinic epoxidation by monooxygenases to form epoxides
  - d. Resolution of racemic epoxides
  - e. Hydrolysis of steroid epoxides
- 9     **Nitrile hydratase/nitrilase**
- 20    a. Hydrolysis of aliphatic nitriles to carboxamides
  - b. Hydrolysis of aromatic, heterocyclic, unsaturated aliphatic nitriles to  
          corresponding acids
  - c. Hydrolysis of acrylonitrile
  - d. Production of aromatic and carboxamides, carboxylic acids (nicotinamide,  
25     picolinamide, isonicotinamide)
  - e. Regioselective hydrolysis of acrylic dinitrile
  - f.  $\alpha$ -amino acids from  $\alpha$ -hydroxynitriles

**10 Transaminase**

- a. Transfer of amino groups into oxo-acids

**5 11 Amidase/Acylase**

- a. Hydrolysis of amides, amidines, and other C-N bonds
- b. Non-natural amino acid resolution and synthesis

These exemplifications, while illustrating certain specific aspects of the invention,  
10 do not portray the limitations or circumscribe the scope of the disclosed invention.

Thus according to one aspect of this invention, the sequences of a plurality of progenitor nucleic acid templates are aligned in order to select one or more demarcation points, which demarcation points can be located at an area of homology, and are  
15 comprised of one or more nucleotides, and which demarcation points are shared by at least two of the progenitor templates. The demarcation points can be used to delineate the boundaries of nucleic acid building blocks to be generated. Thus, the demarcation points identified and selected in the progenitor molecules serve as potential chimerization points in the assembly of the progeny molecules.

20

Preferably a serviceable demarcation point is an area of homology (comprised of at least one homologous nucleotide base) shared by at least two progenitor templates. More preferably a serviceable demarcation point is an area of homology that is shared by at least half of the progenitor templates. More preferably still a serviceable demarcation  
25 point is an area of homology that is shared by at least two thirds of the progenitor templates. Even more preferably a serviceable demarcation points is an area of homology that is shared by at least three fourths of the progenitor templates. Even more preferably still a serviceable demarcation points is an area of homology that is shared by



at almost all of the progenitor templates. Even more preferably still a serviceable demarcation point is an area of homology that is shared by all of the progenitor templates.

5 The process of designing nucleic acid building blocks and of designing the mutually compatible ligatable ends of the nucleic acid building blocks to be assembled is illustrated in Figures 6 and 7. As shown, the alignment of a set of progenitor templates reveals several naturally occurring demarcation points, and the identification of demarcation points shared by these templates helps to non-stochastically determine the building blocks to be generated and used for the generation of the progeny chimeric  
10 molecules.

In a preferred embodiment, this invention provides that the ligation reassembly process is performed exhaustively in order to generate an exhaustive library. In other words, all possible ordered combinations of the nucleic acid building blocks are  
15 represented in the set of finalized chimeric nucleic acid molecules. At the same time, in a particularly preferred embodiment, the assembly order (i.e. the order of assembly of each building block in the 5' to 3' sequence of each finalized chimeric nucleic acid) in each combination is by design (or non-stochastic). Because of the non-stochastic nature of this invention, the possibility of unwanted side products is greatly reduced.

20

In another preferred embodiment, this invention provides that, the ligation reassembly process is performed systematically, for example in order to generate a systematically compartmentalized library, with compartments that can be screened systematically, e.g. one by one. In other words this invention provides that, through the  
25 selective and judicious use of specific nucleic acid building blocks, coupled with the selective and judicious use of sequentially stepped assembly reactions, an experimental design can be achieved where specific sets of progeny products are made in each of several reaction vessels. This allows a systematic examination and screening procedure

to be performed. Thus, it allows a potentially very large number of progeny molecules to be examined systematically in smaller groups.

Because of its ability to perform chimerizations in a manner that is highly flexible  
5 yet exhaustive and systematic as well, particularly when there is a low level of homology  
among the progenitor molecules, the instant invention provides for the generation of a  
library (or set) comprised of a large number of progeny molecules. Because of the non-  
stochastic nature of the instant ligation reassembly invention, the progeny molecules  
generated preferably comprise a library of finalized chimeric nucleic acid molecules  
10 having an overall assembly order that is chosen by design. In a particularly preferred  
embodiment of this invention, such a generated library is comprised of preferably greater  
than  $10^3$  different progeny molecular species, more preferably greater than  $10^5$  different  
progeny molecular species, more preferably still greater than  $10^{10}$  different progeny  
molecular species, more preferably still greater than  $10^{15}$  different progeny molecular  
15 species, more preferably still greater than  $10^{20}$  different progeny molecular species, more  
preferably still greater than  $10^{30}$  different progeny molecular species, more preferably  
still greater than  $10^{40}$  different progeny molecular species, more preferably still greater  
than  $10^{50}$  different progeny molecular species, more preferably still greater than  $10^{60}$   
different progeny molecular species, more preferably still greater than  $10^{70}$  different  
20 progeny molecular species, more preferably still greater than  $10^{80}$  different progeny  
molecular species, more preferably still greater than  $10^{100}$  different progeny molecular  
species, more preferably still greater than  $10^{110}$  different progeny molecular species, more  
preferably still greater than  $10^{120}$  different progeny molecular species, more preferably  
still greater than  $10^{130}$  different progeny molecular species, more preferably still greater  
25 than  $10^{140}$  different progeny molecular species, more preferably still greater than  $10^{150}$   
different progeny molecular species, more preferably still greater than  $10^{175}$  different  
progeny molecular species, more preferably still greater than  $10^{200}$  different progeny  
molecular species, more preferably still greater than  $10^{300}$  different progeny molecular  
species, more preferably still greater than  $10^{400}$  different progeny molecular species, more

preferably still greater than  $10^{500}$  different progeny molecular species, and even more preferably still greater than  $10^{1000}$  different progeny molecular species.

In one aspect, a set of finalized chimeric nucleic acid molecules, produced as  
5 described is comprised of a polynucleotide encoding a polypeptide. According to one preferred embodiment, this polynucleotide is a gene, which may be a man-made gene. According to another preferred embodiment, this polynucleotide is a gene pathway, which may be a man-made gene pathway. This invention provides that one or more man-made genes generated by this invention may be incorporated into a man-made gene  
10 pathway, such as pathway operable in a eukaryotic organism (including a plant).

It is appreciated that the power of this invention is exceptional, as there is much freedom of choice and control regarding the selection of demarcation points, the size and number of the nucleic acid building blocks, and the size and design of the couplings. It is  
15 appreciated, furthermore, that the requirement for intermolecular homology is highly relaxed for the operability of this invention. In fact, demarcation points can even be chosen in areas of little or no intermolecular homology. For example, because of codon wobble, i.e. the degeneracy of codons, nucleotide substitutions can be introduced into nucleic acid building blocks without altering the amino acid originally encoded in the  
20 corresponding progenitor template. Alternatively, a codon can be altered such that the coding for an originally amino acid is altered. This invention provides that such substitutions can be introduced into the nucleic acid building block in order to increase the incidence of intermolecularly homologous demarcation points and thus to allow an increased number of couplings to be achieved among the building blocks, which in turn  
25 allows a greater number of progeny chimeric molecules to be generated.

In another exemplification, the synthetic nature of the step in which the building blocks are generated allows the design and introduction of nucleotides (e.g. one or more nucleotides, which may be, for example, codons or introns or regulatory sequences) that

can later be optionally removed in an in vitro process (e.g. by mutagenesis) or in an in vivo process (e.g. by utilizing the gene splicing ability of a host organism). It is appreciated that in many instances the introduction of these nucleotides may also be desirable for many other reasons in addition to the potential benefit of creating a serviceable demarcation point.

Thus, according to another embodiment, this invention provides that a nucleic acid building block can be used to introduce an intron. Thus, this invention provides that functional introns may be introduced into a man-made gene of this invention. This invention also provides that functional introns may be introduced into a man-made gene pathway of this invention. Accordingly, this invention provides for the generation of a chimeric polynucleotide that is a man-made gene containing one (or more) artificially introduced intron(s).

Accordingly, this invention also provides for the generation of a chimeric polynucleotide that is a man-made gene pathway containing one (or more) artificially introduced intron(s). Preferably, the artificially introduced intron(s) are functional in one or more host cells for gene splicing much in the way that naturally-occurring introns serve functionally in gene splicing. This invention provides a process of producing man-made intron-containing polynucleotides to be introduced into host organisms for recombination and/or splicing.

The ability to achieve chimerizations, using couplings as described herein, in areas of little or no homology among the progenitor molecules, is particularly useful, and in fact critical, for the assembly of novel gene pathways. This invention thus provides for the generation of novel man-made gene pathways using synthetic ligation reassembly. In a particular aspect, this is achieved by the introduction of regulatory sequences, such as promoters, that are operable in an intended host, to confer operability to a novel gene pathway when it is introduced into the intended host. In a particular exemplification, this

invention provides for the generation of novel man-made gene pathways that is operable in a plurality of intended hosts (e.g. in a microbial organism as well as in a plant cell). This can be achieved, for example, by the introduction of a plurality of regulatory sequences, comprised of a regulatory sequence that is operable in a first intended host and a regulatory sequence that is operable in a second intended host. A similar process can be performed to achieve operability of a gene pathway in a third intended host species, etc. The number of intended host species can be each integer from 1 to 10 or alternatively over 10. Alternatively, for example, operability of a gene pathway in a plurality of intended hosts can be achieved by the introduction of a regulatory sequence having intrinsic operability in a plurality of intended hosts.

Thus, according to a particular embodiment, this invention provides that a nucleic acid building block can be used to introduce a regulatory sequence, particularly a regulatory sequence for gene expression. Preferred regulatory sequences include, but are not limited to, those that are man-made, and those found in archeal, bacterial, eukaryotic (including mitochondrial), viral, and prionic or prion-like organisms. Preferred regulatory sequences include but are not limited to, promoters, operators, and activator binding sites. Thus, this invention provides that functional regulatory sequences may be introduced into a man-made gene of this invention. This invention also provides that functional regulatory sequences may be introduced into a man-made gene pathway of this invention.

Accordingly, this invention provides for the generation of a chimeric polynucleotide that is a man-made gene containing one (or more) artificially introduced regulatory sequence(s). Accordingly, this invention also provides for the generation of a chimeric polynucleotide that is a man-made gene pathway containing one (or more) artificially introduced regulatory sequence(s). Preferably, an artificially introduced regulatory sequence(s) is operatively linked to one or more genes in the man-made polynucleotide, and are functional in one or more host cells.

Preferred bacterial promoters that are serviceable for this invention include lacI, lacZ, T3, T7, gpt, lambda P<sub>R</sub>, P<sub>L</sub> and trp. Serviceable eukaryotic promoters include CMV immediate early, HSV thymidine kinase, early and late SV40, LTRs from retrovirus, and mouse metallothionein-I. Particular plant regulatory sequences include promoters active in directing transcription in plants, either constitutively or stage and/or tissue specific, depending on the use of the plant or parts thereof. These promoters include, but are not limited to promoters showing constitutive expression, such as the 35S promoter of Cauliflower Mosaic Virus (CaMV) (Guilley et al., 1982), those for leaf-specific expression, such as the promoter of the ribulose biphosphate carboxylase small subunit gene (Coruzzi et al., 1984), those for root-specific expression, such as the promoter from the glutamin synthase gene (Tingey et al., 1987), those for seed-specific expression, such as the cruciferin A promoter from *Brassica napus* (Ryan et al., 1989), those for tuber-specific expression, such as the class-I patatin promoter from potato (Rocha-Sasa et al., 1989; Wenzler et al., 1989) or those for fruit-specific expression, such as the polygalacturonase (PG) promoter from tomato (Bird et al., 1988).

Other regulatory sequences that are preferred for this invention include terminator sequences and polyadenylation signals and any such sequence functioning as such in plants, the choice of which is within the level of the skilled artisan. An example of such sequences is the 3' flanking region of the nopaline synthase (nos) gene of *Agrobacterium tumefaciens* (Bevan, 1984). The regulatory sequences may also include enhancer sequences, such as found in the 35S promoter of CaMV, and mRNA stabilizing sequences such as the leader sequence of Alfalfa Mosaic Virus (AIMV) RNA4 (Brederode et al., 1980) or any other sequences functioning in a like manner.

A man-made genes produced using this invention can also serve as a substrate for recombination with another nucleic acid. Likewise, a man-made gene pathway produced using this invention can also serve as a substrate for recombination with another nucleic

acid. In a preferred instance, the recombination is facilitated by, or occurs at, areas of homology between the man-made intron-containing gene and a nucleic acid which serves as a recombination partner. In a particularly preferred instance, the recombination partner may also be a nucleic acid generated by this invention, including a man-made gene or a man-made gene pathway. Recombination may be facilitated by or may occur at areas of homology that exist at the one (or more) artificially introduced intron(s) in the man-made gene.

The synthetic ligation reassembly method of this invention utilizes a plurality of nucleic acid building blocks, each of which preferably has two ligatable ends. The two ligatable ends on each nucleic acid building block may be two blunt ends (i.e. each having an overhang of zero nucleotides), or preferably one blunt end and one overhang, or more preferably still two overhangs.

A serviceable overhang for this purpose may be a 3' overhang or a 5' overhang. Thus, a nucleic acid building block may have a 3' overhang or alternatively a 5' overhang or alternatively two 3' overhangs or alternatively two 5' overhangs. The overall order in which the nucleic acid building blocks are assembled to form a finalized chimeric nucleic acid molecule is determined by purposeful experimental design and is not random.

According to one preferred embodiment, a nucleic acid building block is generated by chemical synthesis of two single-stranded nucleic acids (also referred to as single-stranded oligos) and contacting them so as to allow them to anneal to form a double-stranded nucleic acid building block.

A double-stranded nucleic acid building block can be of variable size. The sizes of these building blocks can be small or large depending on the choice of the experimenter. Preferred sizes for building block range from 1 base pair (not including any overhangs) to 100,000 base pairs (not including any overhangs). Other preferred size

ranges are also provided, which have lower limits of from 1 bp to 10,000 bp (including every integer value in between), and upper limits of from 2 bp to 100,000 bp (including every integer value in between).

5           It is appreciated that current methods of polymerase-based amplification can be used to generate double-stranded nucleic acids of up to thousands of base pairs, if not tens of thousands of base pairs, in length with high fidelity. Chemical synthesis (e.g. phosphoramidite-based) can be used to generate nucleic acids of up to hundreds of nucleotides in length with high fidelity; however, these can be assembled, e.g. using  
10   overhangs or sticky ends, to form double-stranded nucleic acids of up to thousands of base pairs, if not tens of thousands of base pairs, in length if so desired.

          A combination of methods (e.g. phosphoramidite-based chemical synthesis and PCR) can also be used according to this invention. Thus, nucleic acid building block  
15   made by different methods can also be used in combination to generate a progeny molecule of this invention.

          The use of chemical synthesis to generate nucleic acid building blocks is particularly preferred in this invention & is advantageous for other reasons as well,  
20   including procedural safety and ease. No cloning or harvesting or actual handling of any biological samples is required. The design of the nucleic acid building blocks can be accomplished on paper. Accordingly, this invention teaches an advance in procedural safety in recombinant technologies.

25           Nonetheless, according to one preferred embodiment, a double-stranded nucleic acid building block according to this invention may also be generated by polymerase-based amplification of a polynucleotide template. In a non-limiting exemplification, as illustrated in Figure 2, a first polymerase-based amplification reaction using a first set of primers,  $F_2$  and  $R_1$ , is used to generate a blunt-ended product (labeled Reaction 1, Product



1), which is essentially identical to Product A. A second polymerase-based amplification reaction using a second set of primers, F<sub>1</sub> and R<sub>2</sub>, is used to generate a blunt-ended product (labeled Reaction 2, Product 2), which is essentially identical to Product B. These two products are mixed and allowed to melt and anneal, generating potentially  
5 useful double-stranded nucleic acid building blocks with two overhangs. In the example of Fig. 2, the product with the 3' overhangs (Product C) is selected by nuclease-based degradation of the other 3 products using a 3' acting exonuclease, such as exonuclease III. It is appreciated that a 5' acting exonuclease (e.g. red alpha) may be also be used, for example to select Product D instead. It is also appreciated that other selection means can  
10 also be used, including hybridization-based means, and that these means can incorporate a further means, such as a magnetic bead-based means, to facilitate separation of the desired product.

Many other methods exist by which a double-stranded nucleic acid building block  
15 can be generated that is serviceable for this invention; and these are known in the art and can be readily performed by the skilled artisan.

According to particularly preferred embodiment, a double-stranded nucleic acid building block that is serviceable for this invention is generated by first generating two  
20 single stranded nucleic acids and allowing them to anneal to form a double-stranded nucleic acid building block. The two strands of a double-stranded nucleic acid building block may be complementary at every nucleotide apart from any that form an overhang; thus containing no mismatches, apart from any overhang(s). According to another embodiment, the two strands of a double-stranded nucleic acid building block are  
25 complementary at fewer than every nucleotide apart from any that form an overhang. Thus, according to this embodiment, a double-stranded nucleic acid building block can be used to introduce codon degeneracy. Preferably the codon degeneracy is introduced using the site-saturation mutagenesis described herein, using one or more N,N,G/T cassettes or alternatively using one or more N,N,N cassettes.

Contained within an exemplary experimental design for achieving an ordered assembly according to this invention are:

- 5           1) The design of specific nucleic acid building blocks.  
          2) The design of specific ligatable ends on each nucleic acid building block.  
          3) The design of a particular order of assembly of the nucleic acid building blocks.

10           An overhang may be a 3' overhang or a 5' overhang. An overhang may also have a terminal phosphate group or alternatively may be devoid of a terminal phosphate group (having, e.g., a hydroxyl group instead). An overhang may be comprised of any number of nucleotides. Preferably an overhang is comprised of 0 nucleotides (as in a blunt end) to 10,000 nucleotides. Thus, a wide range of overhang sizes may be serviceable.

15           Accordingly, the lower limit may be each integer from 1-200 and the upper limit may be each integer from 2-10,000. According to a particular exemplification, an overhang may consist of anywhere from 1 nucleotide to 200 nucleotides (including every integer value in between).

20           The final chimeric nucleic acid molecule may be generated by sequentially assembling 2 or more building blocks at a time until all the designated building blocks have been assembled. A working sample may optionally be subjected to a process for size selection or purification or other selection or enrichment process between the performance of two assembly steps. Alternatively, the final chimeric nucleic acid

25           molecule may be generated by assembling all the designated building blocks at once in one step.

### Utility

The *in vivo* recombination method of this invention can be performed blindly on a pool of unknown hybrids or alleles of a specific polynucleotide or sequence. However, it is not necessary to know the actual DNA or RNA sequence of the specific  
5 polynucleotide.

The approach of using recombination within a mixed population of genes can be useful for the generation of any useful proteins, for example, interleukin I, antibodies, tPA and growth hormone. This approach may be used to generate proteins having altered  
10 specificity or activity. The approach may also be useful for the generation of hybrid nucleic acid sequences, for example, promoter regions, introns, exons, enhancer sequences, 3' untranslated regions or 5' untranslated regions of genes. Thus this approach may be used to generate genes having increased rates of expression. This approach may also be useful in the study of repetitive DNA sequences. Finally, this  
15 approach may be useful to mutate ribozymes or aptamers.

Scaffold-like regions separating regions of diversity in proteins may be particularly suitable for the methods of this invention. The conserved scaffold determines the overall folding by self-association, while displaying relatively unrestricted  
20 loops that mediate the specific binding. Examples of such scaffolds are the immunoglobulin beta barrel, and the four-helix bundle. The methods of this invention can be used to create scaffold-like proteins with various combinations of mutated sequences for binding.

25 The equivalents of some standard genetic matings may also be performed by the methods of this invention. For example, a "molecular" backcross can be performed by repeated mixing of the hybrid's nucleic acid with the wild-type nucleic acid while selecting for the mutations of interest. As in traditional breeding, this approach can be used to combine phenotypes from different sources into a background of choice. It is

useful, for example, for the removal of neutral mutations that affect unselected characteristics (i.e. immunogenicity). Thus it can be useful to determine which mutations in a protein are involved in the enhanced biological activity and which are not.

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#### 2.11.2.4. END-SELECTION

This invention provides a method for selecting a subset of polynucleotides from a starting set of polynucleotides, which method is based on the ability to discriminate one or more selectable features (or selection markers) present anywhere in a working polynucleotide, so as to allow one to perform selection for (positive selection) &/or against (negative selection) each selectable polynucleotide. In a preferred aspect, a method is provided termed end-selection, which method is based on the use of a selection marker located in part or entirely in a terminal region of a selectable polynucleotide, and such a selection marker may be termed an "end-selection marker".

End-selection may be based on detection of naturally occurring sequences or on detection of sequences introduced experimentally (including by any mutagenesis procedure mentioned herein and not mentioned herein) or on both, even within the same polynucleotide. An end-selection marker can be a structural selection marker or a functional selection marker or both a structural and a functional selection marker. An end-selection marker may be comprised of a polynucleotide sequence or of a polypeptide sequence or of any chemical structure or of any biological or biochemical tag, including markers that can be selected using methods based on the detection of radioactivity, of enzymatic activity, of fluorescence, of any optical feature, of a magnetic property (e.g. using magnetic beads), of immunoreactivity, and of hybridization.

End-selection may be applied in combination with any method serviceable for performing mutagenesis. Such mutagenesis methods include, but are not limited to,

methods described herein (supra and infra). Such methods include, by way of non-limiting exemplification, any method that may be referred herein or by others in the art by any of the following terms: "saturation mutagenesis", "shuffling", "recombination", "re-assembly", "error-prone PCR", "assembly PCR", "sexual PCR", "crossover PCR",  
5 "oligonucleotide primer-directed mutagenesis", "recursive (&/or exponential) ensemble mutagenesis (see **Arkin** and **Youvan**, 1992)", "cassette mutagenesis", "in vivo mutagenesis", and "in vitro mutagenesis". Moreover, end-selection may be performed on molecules produced by any mutagenesis &/or amplification method (see, e.g., **Arnold**, 1993; **Caldwell** and **Joyce**, 1992; **Stemmer**, 1994; following which method it is desirable  
10 to select for (including to screen for the presence of) desirable progeny molecules.

In addition, end-selection may be applied to a polynucleotide apart from any mutagenesis method. In a preferred embodiment, end-selection, as provided herein, can be used in order to facilitate a cloning step, such as a step of ligation to another  
15 polynucleotide (including ligation to a vector). This invention thus provides for end-selection as a serviceable means to facilitate library construction, selection &/or enrichment for desirable polynucleotides, and cloning in general.

In a particularly preferred embodiment, end-selection can be based on (positive)  
20 selection for a polynucleotide; alternatively end-selection can be based on (negative) selection against a polynucleotide; and alternatively still, end-selection can be based on both (positive) selection for, and on (negative) selection against, a polynucleotide. End-selection, along with other methods of selection &/or screening, can be performed in an iterative fashion, with any combination of like or unlike selection &/or screening  
25 methods and serviceable mutagenesis methods, all of which can be performed in an iterative fashion and in any order, combination, and permutation.

It is also appreciated that, according to one embodiment of this invention, end-selection may also be used to select a polynucleotide is at least in part: circular (e.g. a

plasmid or any other circular vector or any other polynucleotide that is partly circular), &/or branched, &/or modified or substituted with any chemical group or moiety. In accord with this embodiment, a polynucleotide may be a circular molecule comprised of an intermediate or central region, which region is flanked on a 5' side by a 5' flanking region (which, for the purpose of end-selection, serves in like manner to a 5' terminal region of a non-circular polynucleotide) and on a 3' side by a 3' terminal region (which, for the purpose of end-selection, serves in like manner to a 3' terminal region of a non-circular polynucleotide). As used in this non-limiting exemplification, there may be sequence overlap between any two regions or even among all three regions.

10

In one non-limiting aspect of this invention, end-selection of a linear polynucleotide is performed using a general approach based on the presence of at least one end-selection marker located at or near a polynucleotide end or terminus (that can be either a 5' end or a 3' end). In one particular non-limiting exemplification, end-selection is based on selection for a specific sequence at or near a terminus such as, but not limited to, a sequence recognized by an enzyme that recognizes a polynucleotide sequence. An enzyme that recognizes and catalyzes a chemical modification of a polynucleotide is referred to herein as a polynucleotide-acting enzyme. In a preferred embodiment, serviceable polynucleotide-acting enzymes are exemplified non-exclusively by enzymes with polynucleotide-cleaving activity, enzymes with polynucleotide-methylating activity, enzymes with polynucleotide-ligating activity, and enzymes with a plurality of distinguishable enzymatic activities (including non-exclusively, e.g., both polynucleotide-cleaving activity and polynucleotide-ligating activity).

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Relevant polynucleotide-acting enzymes thus also include any commercially available or non-commercially available polynucleotide endonucleases and their companion methylases including those catalogued at the website <http://www.neb.com/rebase>, and those mentioned in the following cited reference (Roberts and Macelis, 1996). Preferred polynucleotide endonucleases include – but are

not limited to – type II restriction enzymes (including type IIS), and include enzymes that cleave both strands of a double stranded polynucleotide (e.g. *Not* I, which cleaves both strands at 5'...GC/GGCCGC...3') and enzymes that cleave only one strand of a double stranded polynucleotide, i.e. enzymes that have polynucleotide-nicking activity, (e.g. N. *Bst* I, which cleaves only one strand at 5'...GAGTCNNNN/N...3'). Relevant polynucleotide-acting enzymes also include type III restriction enzymes.

It is appreciated that relevant polynucleotide-acting enzymes also include any enzymes that may be developed in the future, though currently unavailable, that are serviceable for generating a ligation compatible end, preferably a sticky end, in a polynucleotide.

In one preferred exemplification, a serviceable selection marker is a restriction site in a polynucleotide that allows a corresponding type II (or type IIS) restriction enzyme to cleave an end of the polynucleotide so as to provide a ligatable end (including a blunt end or alternatively a sticky end with at least a one base overhang) that is serviceable for a desirable ligation reaction without cleaving the polynucleotide internally in a manner that destroys a desired internal sequence in the polynucleotide. Thus it is provided that, among relevant restriction sites, those sites that do not occur internally (i.e. that do not occur apart from the termini) in a specific working polynucleotide are preferred when the use of a corresponding restriction enzyme(s) is not intended to cut the working polynucleotide internally. This allows one to perform restriction digestion reactions to completion or to near completion without incurring unwanted internal cleavage in a working polynucleotide.

According to a preferred aspect, it is thus preferable to use restriction sites that are not contained, or alternatively that are not expected to be contained, or alternatively that unlikely to be contained (e.g. when sequence information regarding a working polynucleotide is incomplete) internally in a polynucleotide to be subjected to end-

selection. In accordance with this aspect, it is appreciated that restriction sites that occur relatively infrequently are usually preferred over those that occur more frequently. On the other hand it is also appreciated that there are occasions where internal cleavage of a polypeptide is desired, e.g. to achieve recombination or other mutagenic procedures along with end-selection.

In accord with this invention, it is also appreciated that methods (e.g. mutagenesis methods) can be used to remove unwanted internal restriction sites. It is also appreciated that a partial digestion reaction (i.e. a digestion reaction that proceeds to partial completion) can be used to achieve digestion at a recognition site in a terminal region while sparing a susceptible restriction site that occurs internally in a polynucleotide and that is recognized by the same enzyme. In one aspect, partial digest are useful because it is appreciated that certain enzymes show preferential cleavage of the same recognition sequence depending on the location and environment in which the recognition sequence occurs. For example, it is appreciated that, while lambda DNA has 5 *EcoR* I sites, cleavage of the site nearest to the right terminus has been reported to occur 10 times faster than the sites in the middle of the molecule. Also, for example, it has been reported that, while *Sac* II has four sites on lambda DNA, the three clustered centrally in lambda are cleaved 50 times faster than the remaining site near the terminus (at nucleotide 40,386). Summarily, site preferences have been reported for various enzymes by many investigators (e.g., Thomas and Davis, 1975; Forsblum et al, 1976; Nath and Azzolina, 1981; Brown and Smith, 1977; Gingeras and Brooks, 1983; Krüger et al, 1988; Conrad and Topal, 1989; Oller et al, 1991; Topal, 1991; and Pein, 1991; to name but a few). It is appreciated that any empirical observations as well as any mechanistic understandings of site preferences by any serviceable polynucleotide-acting enzymes, whether currently available or to be procured in the future, may be serviceable in end-selection according to this invention.



It is also appreciated that protection methods can be used to selectively protect specified restriction sites (e.g. internal sites) against unwanted digestion by enzymes that would otherwise cut a working polypeptide in response to the presence of those sites; and that such protection methods include modifications such as methylations and base  
5 substitutions (e.g. U instead of T) that inhibit an unwanted enzyme activity. It is appreciated that there are limited numbers of available restriction enzymes that are rare enough (e.g. having very long recognition sequences) to create large (e.g. megabase-long) restriction fragments, and that protection approaches (e.g. by methylation) are serviceable for increasing the rarity of enzyme cleavage sites. The use of *M.Fnu* II (mCGCG) to  
10 increase the apparent rarity of *Not* I approximately twofold is but one example among many (Qiang et al, 1990; Nelson et al, 1984; Maxam and Gilbert, 1980; Raleigh and Wilson, 1986).

According to a preferred aspect of this invention, it is provided that, in general,  
15 the use of rare restriction sites is preferred. It is appreciated that, in general, the frequency of occurrence of a restriction site is determined by the number of nucleotides contained therein, as well as by the ambiguity of the base requirements contained therein. Thus, in a non-limiting exemplification, it is appreciated that, in general, a restriction site composed of, for example, 8 specific nucleotides (e.g. the *Not* I site or GC/GGCCGC,  
20 with an estimated relative occurrence of 1 in  $4^8$ , i.e. 1 in 65,536, random 8-mers) is relatively more infrequent than one composed of, for example, 6 nucleotides (e.g. the *Sma* I site or CCC/GGG, having an estimated relative occurrence of 1 in  $4^6$ , i.e. 1 in 4,096, random 6-mers), which in turn is relatively more infrequent than one composed of, for example, 4 nucleotides (e.g. the *Msp* I site or C/CGG, having an estimated relative  
25 occurrence of 1 in  $4^4$ , i.e. 1 in 256, random 4-mers). Moreover, in another non-limiting exemplification, it is appreciated that, in general, a restriction site having no ambiguous (but only specific) base requirements (e.g. the *Fin* I site or GTCCC, having an estimated relative occurrence of 1 in  $4^5$ , i.e. 1 in 1024, random 5-mers) is relatively more infrequent than one having an ambiguous W (where W = A or T) base requirement (e.g. the *Ava* II

site or G/GWCC, having an estimated relative occurrence of 1 in  $4 \times 4 \times 2 \times 4 \times 4$  - i.e. 1 in 512 - random 5-mers), which in turn is relatively more infrequent than one having an ambiguous N (where N = A or C or G or T) base requirement (e.g. the *Asu* I site or G/GNCC, having an estimated relative occurrence of 1 in  $4 \times 4 \times 1 \times 4 \times 4$ , i.e. 1 in 256 - random 5-mers). These relative occurrences are considered general estimates for actual polynucleotides, because it is appreciated that specific nucleotide bases (not to mention specific nucleotide sequences) occur with dissimilar frequencies in specific polynucleotides, in specific species of organisms, and in specific groupings of organisms. For example, it is appreciated that the % G+C contents of different species of organisms are often very different and wide ranging.

The use of relatively more infrequent restriction sites as a selection marker include - in a non-limiting fashion - preferably those sites composed at least a 4 nucleotide sequence, more preferably those composed at least a 5 nucleotide sequence, more preferably still those composed at least a 6 nucleotide sequence (e.g. the *Bam*H I site or G/GATCC, the *Bgl* II site or A/GATCT, the *Pst* I site or CTGCA/G, and the *Xba* I site or T/CTAGA), more preferably still those composed at least a 7 nucleotide sequence, more preferably still those composed of an 8 nucleotide sequence (e.g. the *Asc* I site or GG/CGCGCC, the *Not* I site or GC/GGCCGC, the *Pac* I site or TTAAT/TAA, the *Pme* I site or GTTT/AAAC, the *Srf* I site or GCCC/GGGC, the *Sse*838 I site or CCTGCA/GG, and the *Swa* I site or ATTT/AAAT), more preferably still those composed of a 9 nucleotide sequence, and even more preferably still those composed of at least a 10 nucleotide sequence (e.g. the *Bsp*G I site or CG/CGCTGGAC). It is further appreciated that some restriction sites (e.g. for class IIS enzymes) are comprised of a portion of relatively high specificity (i.e. a portion containing a principal determinant of the frequency of occurrence of the restriction site) and a portion of relatively low specificity; and that a site of cleavage may or may not be contained within a portion of relatively low specificity. For example, in the *Eco*57 I site or CTGAAG(16/14), there is a

portion of relatively high specificity (i.e. the CTGAAG portion) and a portion of relatively low specificity (i.e. the N16 sequence) that contains a site of cleavage.

In another preferred embodiment of this invention, a serviceable end-selection  
5 marker is a terminal sequence that is recognized by a polynucleotide-acting enzyme that recognizes a specific polynucleotide sequence. In a preferred aspect of this invention, serviceable polynucleotide-acting enzymes also include other enzymes in addition to classic type II restriction enzymes. According to this preferred aspect of this invention, serviceable polynucleotide-acting enzymes also include gyrases, helicases, recombinases,  
10 relaxases, and any enzymes related thereto.

Among preferred examples are topoisomerases (which have been categorized by some as a subset of the gyrases) and any other enzymes that have polynucleotide-cleaving activity (including preferably polynucleotide-nicking activity) &/or  
15 polynucleotide-ligating activity. Among preferred topoisomerase enzymes are topoisomerase I enzymes, which is available from many commercial sources (Epicentre Technologies, Madison, WI; Invitrogen, Carlsbad, CA; Life Technologies, Gaithersburg, MD) and conceivably even more private sources. It is appreciated that similar enzymes may be developed in the future that are serviceable for end-selection as provided herein.  
20 A particularly preferred topoisomerase I enzyme is a topoisomerase I enzyme of vaccinia virus origin, that has a specific recognition sequence (e.g. 5'...AAGGG...3') and has both polynucleotide-nicking activity and polynucleotide-ligating activity. Due to the specific nicking-activity of this enzyme (cleavage of one strand), internal recognition sites are not prone to polynucleotide destruction resulting from the nicking activity (but  
25 rather remain annealed) at a temperature that causes denaturation of a terminal site that has been nicked. Thus for use in end-selection, it is preferable that a nicking site for topoisomerase-based end-selection be no more than 100 nucleotides from a terminus, more preferably no more than 50 nucleotides from a terminus, more preferably still no more than 25 nucleotides from a terminus, even more preferably still no more than 20

nucleotides from a terminus, even more preferably still no more than 15 nucleotides from a terminus, even more preferably still no more than 10 nucleotides from a terminus, even more preferably still no more than 8 nucleotides from a terminus, even more preferably still no more than 6 nucleotides from a terminus, and even more preferably still no more than 4 nucleotides from a terminus.

In a particularly preferred exemplification that is non-limiting yet clearly illustrative, it is appreciated that when a nicking site for topoisomerase-based end-selection is 4 nucleotides from a terminus, nicking produces a single stranded oligo of 4 bases (in a terminal region) that can be denatured from its complementary strand in an end-selectable polynucleotide; this provides a sticky end (comprised of 4 bases) in a polynucleotide that is serviceable for an ensuing ligation reaction. To accomplish ligation to a cloning vector (preferably an expression vector), compatible sticky ends can be generated in a cloning vector by any means including by restriction enzyme-based means. The terminal nucleotides (comprised of 4 terminal bases in this specific example) in an end-selectable polynucleotide terminus are thus wisely chosen to provide compatibility with a sticky end generated in a cloning vector to which the polynucleotide is to be ligated.

On the other hand, internal nicking of an end-selectable polynucleotide, e.g. 500 bases from a terminus, produces a single stranded oligo of 500 bases that is not easily denatured from its complementary strand, but rather is serviceable for repair (e.g. by the same topoisomerase enzyme that produced the nick).

This invention thus provides a method - e.g. that is vaccinia topoisomerase-based &/or type II (or IIS) restriction endonuclease-based &/or type III restriction endonuclease-based &/or nicking enzyme-based (e.g. using N. *Bst* I) – for producing a sticky end in a working polynucleotide, which end is ligation compatible, and which end can be comprised of at least a 1 base overhang. Preferably such a sticky end is

comprised of at least a 2-base overhang, more preferably such a sticky end is comprised of at least a 3-base overhang, more preferably still such a sticky end is comprised of at least a 4-base overhang, even more preferably still such a sticky end is comprised of at least a 5-base overhang, even more preferably still such a sticky end is comprised of at least a 6-base overhang. Such a sticky end may also be comprised of at least a 7-base overhang, or at least an 8-base overhang, or at least a 9-base overhang, or at least a 10-base overhang, or at least a 15-base overhang, or at least a 20-base overhang, or at least a 25-base overhang, or at least a 30-base overhang. These overhangs can be comprised of any bases, including A, C, G, or T.

It is appreciated that sticky end overhangs introduced using topoisomerase or a nicking enzyme (e.g. using *N. Bst* NB I) can be designed to be unique in a ligation environment, so as to prevent unwanted fragment reassemblies, such as self-dimerizations and other unwanted concatamerizations.

According to one aspect of this invention, a plurality of sequences (which may but do not necessarily overlap) can be introduced into a terminal region of an end-selectable polynucleotide by the use of an oligo in a polymerase-based reaction. In a relevant, but by no means limiting example, such an oligo can be used to provide a preferred 5' terminal region that is serviceable for topoisomerase I-based end-selection, which oligo is comprised of: a 1-10 base sequence that is convertible into a sticky end (preferably by a vaccinia topoisomerase I), a ribosome binding site (i.e. and "RBS", that is preferably serviceable for expression cloning), and optional linker sequence followed by an ATG start site and a template-specific sequence of 0-100 bases (to facilitate annealment to the template in the a polymerase-based reaction). Thus, according to this example, a serviceable oligo (which may be termed a forward primer) can have the sequence:  
 5'[terminal sequence = (N)<sub>1-10</sub>][topoisomerase I site & RBS = AAGGGAGGAG][linker = (N)<sub>1-100</sub>][start codon and template-specific sequence = ATG(N)<sub>0-100</sub>]3'.

Analogously, in a relevant, but by no means limiting example, an oligo can be used to provide a preferred 3' terminal region that is serviceable for topoisomerase I-based end-selection, which oligo is comprised of: a 1-10 base sequence that is convertible into a sticky end (preferably by a vaccinia topoisomerase I), and optional linker sequence  
5 followed by a template-specific sequence of 0-100 bases (to facilitate annealment to the template in the a polymerase-based reaction). Thus, according to this example, a serviceable oligo (which may be termed a reverse primer) can have the sequence:  
5'[terminal sequence = (N)<sub>1-10</sub>][topoisomerase I site = AAGGG][linker = (N)<sub>1-100</sub>][template-specific sequence = (N)<sub>0-100</sub>]3'.

10

It is appreciated that, end-selection can be used to distinguish and separate parental template molecules (e.g. to be subjected to mutagenesis) from progeny molecules (e.g. generated by mutagenesis). For example, a first set of primers, lacking in a topoisomerase I recognition site, can be used to modify the terminal regions of the parental molecules (e.g. in  
15 polymerase-based amplification). A different second set of primers (e.g. having a topoisomerase I recognition site) can then be used to generate mutated progeny molecules (e.g. using any polynucleotide chimerization method, such as interrupted synthesis, template-switching polymerase-based amplification, or interrupted synthesis; or using saturation mutagenesis; or using any other method for introducing a topoisomerase I recognition site into  
20 a mutagenized progeny molecule as disclosed herein) from the amplified template molecules. The use of topoisomerase I-based end-selection can then facilitate, not only discernment, but selective topoisomerase I-based ligation of the desired progeny molecules.

Annealment of a second set of primers to thusly amplified parental molecules can be  
25 facilitated by including sequences in a first set of primers (i.e. primers used for amplifying a set parental molecules) that are similar to a topoisomerase I recognition site, yet different enough to prevent functional topoisomerase I enzyme recognition. For example, sequences that diverge from the AAGGG site by anywhere from 1 base to all 5 bases can be incorporated into a first set of primers (to be used for amplifying the parental templates prior to subsection

to mutagenesis). In a specific, but non-limiting aspect, it is thus provided that a parental molecule can be amplified using the following exemplary – but by no means limiting – set of forward and reverse primers:

- 5 Forward Primer: 5' CTAGAAGAGAGGAGAAAACCATG(N)<sub>10-100</sub> 3', and  
Reverse Primer: 5' GATCAAAGGCGCGCCTGCAGG(N)<sub>10-100</sub> 3'

According to this specific example of a first set of primers, (N)<sub>10-100</sub> represents preferably a 10 to 100 nucleotide-long template-specific sequence, more preferably a 10 to 50  
10 nucleotide-long template-specific sequence, more preferably still a 10 to 30 nucleotide-long template-specific sequence, and even more preferably still a 15 to 25 nucleotide-long template-specific sequence.

According to a specific, but non-limiting aspect, it is thus provided that, after this  
15 amplification (using a disclosed first set of primers lacking in a true topoisomerase I recognition site), amplified parental molecules can then be subjected to mutagenesis using one or more sets of forward and reverse primers that do have a true topoisomerase I recognition site. In a specific, but non-limiting aspect, it is thus provided that a parental molecule can be used as templates for the generation of a mutagenized progeny molecule using the following  
20 exemplary – but by no means limiting – second set of forward and reverse primers:

Forward Primer: 5' CTAGAAGGGAGGAGAAAACCATG 3'

Reverse Primer: 5' GATCAAAGGCGCGCCTGCAGG 3' (contains *Asc* I  
recognition sequence)

25

It is appreciated that any number of different primers sets not specifically mentioned can be used as first, second, or subsequent sets of primers for end-selection consistent with this invention. Notice that type II restriction enzyme sites can be incorporated (e.g. an *Asc* I site in the above example). It is provided that, in addition to the other sequences mentioned,

the experimentalist can incorporate one or more N,N,G/T triplets into a serviceable primer in order to subject a working polynucleotide to saturation mutagenesis. Summarily, use of a second and/or subsequent set of primers can achieve dual goals of introducing a topoisomerase I site and of generating mutations in a progeny polynucleotide.

5

Thus, according to one use provided, a serviceable end-selection marker is an enzyme recognition site that allows an enzyme to cleave (including nick) a polynucleotide at a specified site, to produce a ligation-compatible end upon denaturation of a generated single stranded oligo. Ligation of the produced polynucleotide end can then be accomplished by the same enzyme (e.g. in the case of vaccinia virus topoisomerase I), or alternatively with the use of a different enzyme. According to one aspect of this invention, any serviceable end-selection markers, whether like (e.g. two vaccinia virus topoisomerase I recognition sites) or unlike (e.g. a class II restriction enzyme recognition site and a vaccinia virus topoisomerase I recognition site) can be used in combination to select a polynucleotide. Each selectable polynucleotide can thus have one or more end-selection markers, and they can be like or unlike end-selection markers. In a particular aspect, a plurality of end-selection markers can be located on one end of a polynucleotide and can have overlapping sequences with each other.

It is important to emphasize that any number of enzymes, whether currently in existence or to be developed, can be serviceable in end-selection according to this invention. For example, in a particular aspect of this invention, a nicking enzyme (e.g. *N. Bst*NI, which cleaves only one strand at 5'...GAGTCNNNN/N...3') can be used in conjunction with a source of polynucleotide-ligating activity in order to achieve end-selection. According to this embodiment, a recognition site for *N. Bst*NI – instead of a recognition site for topoisomerase I – should be incorporated into an end-selectable polynucleotide (whether end-selection is used for selection of a mutagenized progeny molecule or whether end-selection is used apart from any mutagenesis procedure).



It is appreciated that the instantly disclosed end-selection approach using topoisomerase-based nicking and ligation has several advantages over previously available selection methods. In sum, this approach allows one to achieve direction cloning (including expression cloning). Specifically, this approach can be used for the achievement of:

5 direct ligation (i.e. without subjection to a classic restriction-purification-ligation reaction, that is susceptible to a multitude of potential problems from an initial restriction reaction to a ligation reaction dependent on the use of T4 DNA ligase); separation of progeny molecules from original template molecules (e.g. original template molecules lack topoisomerase I sites that not introduced until after mutagenesis), obviation of the

10 need for size separation steps (e.g. by gel chromatography or by other electrophoretic means or by the use of size-exclusion membranes), preservation of internal sequences (even when topoisomerase I sites are present), obviation of concerns about unsuccessful ligation reactions (e.g. dependent on the use of T4 DNA ligase, particularly in the presence of unwanted residual restriction enzyme activity), and facilitated expression

15 cloning (including obviation of frame shift concerns). Concerns about unwanted restriction enzyme-based cleavages – especially at internal restriction sites (or even at often unpredictable sites of unwanted star activity) in a working polynucleotide – that are potential sites of destruction of a working polynucleotide can also be obviated by the instantly disclosed end-selection approach using topoisomerase-based nicking and

20 ligation.

### 2.11.3. ADDITIONAL SCREENING METHODS

#### 25 Peptide Display Methods

The present method can be used to shuffle, by *in vitro* and/or *in vivo* recombination by any of the disclosed methods, and in any combination, polynucleotide sequences selected by peptide display methods, wherein an associated polynucleotide encodes a displayed peptide which is screened for a phenotype (e.g., for affinity for a

30 predetermined receptor (ligand)).

An increasingly important aspect of bio-pharmaceutical drug development and molecular biology is the identification of peptide structures, including the primary amino acid sequences, of peptides or peptidomimetics that interact with biological  
5 macromolecules. one method of identifying peptides that possess a desired structure or functional property, such as binding to a predetermined biological macromolecule (e.g., a receptor), involves the screening of a large library or peptides for individual library members which possess the desired structure or functional property conferred by the amino acid sequence of the peptide.

10

In addition to direct chemical synthesis methods for generating peptide libraries, several recombinant DNA methods also have been reported. One type involves the display of a peptide sequence, antibody, or other protein on the surface of a bacteriophage particle or cell. Generally, in these methods each bacteriophage particle or cell serves as  
15 an individual library member displaying a single species of displayed peptide in addition to the natural bacteriophage or cell protein sequences. Each bacteriophage or cell contains the nucleotide sequence information encoding the particular displayed peptide sequence; thus, the displayed peptide sequence can be ascertained by nucleotide sequence determination of an isolated library member.

20

A well-known peptide display method involves the presentation of a peptide sequence on the surface of a filamentous bacteriophage, typically as a fusion with a bacteriophage coat protein. The bacteriophage library can be incubated with an immobilized, predetermined macromolecule or small molecule (e.g., a receptor) so that  
25 bacteriophage particles which present a peptide sequence that binds to the immobilized macromolecule can be differentially partitioned from those that do not present peptide sequences that bind to the predetermined macromolecule. The bacteriophage particles (i.e., library members) which are bound to the immobilized macromolecule are then recovered and replicated to amplify the selected bacteriophage sub-population for a

subsequent round of affinity enrichment and phage replication. After several rounds of affinity enrichment and phage replication, the bacteriophage library members that are thus selected are isolated and the nucleotide sequence encoding the displayed peptide sequence is determined, thereby identifying the sequence(s) of peptides that bind to the predetermined macromolecule (e.g., receptor). Such methods are further described in PCT patent publications WO 91/17271, WO 91/18980, WO 91/19818 and WO 93/08278.

The latter PCT publication describes a recombinant DNA method for the display of peptide ligands that involves the production of a library of fusion proteins with each fusion protein composed of a first polypeptide portion, typically comprising a variable sequence, that is available for potential binding to a predetermined macromolecule, and a second polypeptide portion that binds to DNA, such as the DNA vector encoding the individual fusion protein. When transformed host cells are cultured under conditions that allow for expression of the fusion protein, the fusion protein binds to the DNA vector encoding it. Upon lysis of the host cell, the fusion protein/vector DNA complexes can be screened against a predetermined macromolecule in much the same way as bacteriophage particles are screened in the phage-based display system, with the replication and sequencing of the DNA vectors in the selected fusion protein/vector DNA complexes serving as the basis for identification of the selected library peptide sequence(s).

Other systems for generating libraries of peptides and like polymers have aspects of both the recombinant and *in vitro* chemical synthesis methods. In these hybrid methods, cell-free enzymatic machinery is employed to accomplish the *in vitro* synthesis of the library members (i.e., peptides or polynucleotides). In one type of method, RNA molecules with the ability to bind a predetermined protein or a predetermined dye molecule were selected by alternate rounds of selection and PCR amplification (Tuerk and Gold, 1990; Ellington and Szostak, 1990). A similar technique was used to identify DNA sequences which bind a predetermined human transcription factor

(Thiesen and Bach, 1990; Beaudry and Joyce, 1992; PCT patent publications WO 92/05258 and WO 92/14843). In a similar fashion, the technique of *in vitro* translation has been used to synthesize proteins of interest and has been proposed as a method for generating large libraries of peptides. These methods which rely upon *in vitro* translation, generally comprising stabilized polysome complexes, are described further in PCT patent publications WO 88/08453, WO 90/05785, WO 90/07003, WO 91/02076, WO 91/05058, and WO 92/02536. Applicants have described methods in which library members comprise a fusion protein having a first polypeptide portion with DNA binding activity and a second polypeptide portion having the library member unique peptide sequence; such methods are suitable for use in cell-free *in vitro* selection formats, among others.

The displayed peptide sequences can be of varying lengths, typically from 3-5000 amino acids long or longer, frequently from 5-100 amino acids long, and often from about 8-15 amino acids long. A library can comprise library members having varying lengths of displayed peptide sequence, or may comprise library members having a fixed length of displayed peptide sequence. Portions or all of the displayed peptide sequence(s) can be random, pseudorandom, defined set kernel, fixed, or the like. The present display methods include methods for *in vitro* and *in vivo* display of single-chain antibodies, such as nascent scFv on polysomes or scfv displayed on phage, which enable large-scale screening of scfv libraries having broad diversity of variable region sequences and binding specificities.

The present invention also provides random, pseudorandom, and defined sequence framework peptide libraries and methods for generating and screening those libraries to identify useful compounds (e.g., peptides, including single-chain antibodies) that bind to receptor molecules or epitopes of interest or gene products that modify peptides or RNA in a desired fashion. The random, pseudorandom, and defined sequence framework peptides are produced from libraries of peptide library members that comprise

displayed peptides or displayed single-chain antibodies attached to a polynucleotide template from which the displayed peptide was synthesized. The mode of attachment may vary according to the specific embodiment of the invention selected, and can include encapsulation in a phage particle or incorporation in a cell.

5

A method of affinity enrichment allows a very large library of peptides and single-chain antibodies to be screened and the polynucleotide sequence encoding the desired peptide(s) or single-chain antibodies to be selected. The polynucleotide can then be isolated and shuffled to recombine combinatorially the amino acid sequence of the  
10 selected peptide(s) (or predetermined portions thereof) or single-chain antibodies (or just VHI, VLI or CDR portions thereof). Using these methods, one can identify a peptide or single-chain antibody as having a desired binding affinity for a molecule and can exploit the process of shuffling to converge rapidly to a desired high-affinity peptide or scfv. The peptide or antibody can then be synthesized in bulk by conventional means for any  
15 suitable use (e.g., as a therapeutic or diagnostic agent).

A significant advantage of the present invention is that no prior information regarding an expected ligand structure is required to isolate peptide ligands or antibodies of interest. The peptide identified can have biological activity, which is meant to include  
20 at least specific binding affinity for a selected receptor molecule and, in some instances, will further include the ability to block the binding of other compounds, to stimulate or inhibit metabolic pathways, to act as a signal or messenger, to stimulate or inhibit cellular activity, and the like.

25 The present invention also provides a method for shuffling a pool of polynucleotide sequences selected by affinity screening a library of polysomes displaying nascent peptides (including single-chain antibodies) for library members which bind to a predetermined receptor (e.g., a mammalian proteinaceous receptor such as, for example, a peptidergic hormone receptor, a cell surface receptor, an intracellular protein which binds

to other protein(s) to form intracellular protein complexes such as hetero-dimers and the like) or epitope (e.g., an immobilized protein, glycoprotein, oligosaccharide, and the like).

- 5 Polynucleotide sequences selected in a first selection round (typically by affinity selection for binding to a receptor (e.g., a ligand)) by any of these methods are pooled and the pool(s) is/are shuffled by *in vitro* and/or *in vivo* recombination to produce a shuffled pool comprising a population of recombined selected polynucleotide sequences. The recombined selected polynucleotide sequences are subjected to at least one
- 10 subsequent selection round. The polynucleotide sequences selected in the subsequent selection round(s) can be used directly, sequenced, and/or subjected to one or more additional rounds of shuffling and subsequent selection. Selected sequences can also be back-crossed with polynucleotide sequences encoding neutral sequences (i.e., having insubstantial functional effect on binding), such as for example by back-crossing with a
- 15 wild-type or naturally-occurring sequence substantially identical to a selected sequence to produce native-like functional peptides, which may be less immunogenic. Generally, during back-crossing subsequent selection is applied to retain the property of binding to the predetermined receptor (ligand).
- 20 Prior to or concomitant with the shuffling of selected sequences, the sequences can be mutagenized. In one embodiment, selected library members are cloned in a prokaryotic vector (e.g., plasmid, phagemid, or bacteriophage) wherein a collection of individual colonies (or plaques) representing discrete library members are produced. Individual selected library members can then be manipulated (e.g., by site-directed
- 25 mutagenesis, cassette mutagenesis, chemical mutagenesis, PCR mutagenesis, and the like) to generate a collection of library members representing a kernel of sequence diversity based on the sequence of the selected library member. The sequence of an individual selected library member or pool can be manipulated to incorporate random mutation, pseudorandom mutation, defined kernel mutation (i.e., comprising variant and

invariant residue positions and/or comprising variant residue positions which can comprise a residue selected from a defined subset of amino acid residues), codon-based mutation, and the like, either segmentally or over the entire length of the individual selected library member sequence. The mutagenized selected library members are then  
5 shuffled by *in vitro* and/or *in vivo* recombinatorial shuffling as disclosed herein.

The invention also provides peptide libraries comprising a plurality of individual library members of the invention, wherein (1) each individual library member of said plurality comprises a sequence produced by shuffling of a pool of selected sequences, and  
10 (2) each individual library member comprises a variable peptide segment sequence or single-chain antibody segment sequence which is distinct from the variable peptide segment sequences or single-chain antibody sequences of other individual library members in said plurality (although some library members may be present in more than one copy per library due to uneven amplification, stochastic probability, or the like).

15

The invention also provides a product-by-process, wherein selected polynucleotide sequences having (or encoding a peptide having) a predetermined binding specificity are formed by the process of: (1) screening a displayed peptide or displayed single-chain antibody library against a predetermined receptor (e.g., ligand) or epitope  
20 (e.g., antigen macromolecule) and identifying and/or enriching library members which bind to the predetermined receptor or epitope to produce a pool of selected library members, (2) shuffling by recombination the selected library members (or amplified or cloned copies thereof) which binds the predetermined epitope and has been thereby isolated and/or enriched from the library to generate a shuffled library, and (3) screening  
25 the shuffled library against the predetermined receptor (e.g., ligand) or epitope (e.g., antigen macromolecule) and identifying and/or enriching shuffled library members which bind to the predetermined receptor or epitope to produce a pool of selected shuffled library members.

### Antibody Display and Screening Methods

The present method can be used to shuffle, by *in vitro* and/or *in vivo* recombination by any of the disclosed methods, and in any combination, polynucleotide sequences selected by antibody display methods, wherein an associated polynucleotide encodes a displayed antibody which is screened for a phenotype (e.g., for affinity for binding a predetermined antigen (ligand)).

Various molecular genetic approaches have been devised to capture the vast immunological repertoire represented by the extremely large number of distinct variable regions which can be present in immunoglobulin chains. The naturally-occurring germ line immunoglobulin heavy chain locus is composed of separate tandem arrays of variable segment genes located upstream of a tandem array of diversity segment genes, which are themselves located upstream of a tandem array of joining (i) region genes, which are located upstream of the constant region genes. During B lymphocyte development, V-D-J rearrangement occurs wherein a heavy chain variable region gene (VH) is formed by rearrangement to form a fused D segment followed by rearrangement with a V segment to form a V-D-J joined product gene which, if productively rearranged, encodes a functional variable region (VH) of a heavy chain. Similarly, light chain loci rearrange one of several V segments with one of several J segments to form a gene encoding the variable region (VL) of a light chain.

The vast repertoire of variable regions possible in immunoglobulins derives in part from the numerous combinatorial possibilities of joining V and i segments (and, in the case of heavy chain loci, D segments) during rearrangement in B cell development. Additional sequence diversity in the heavy chain variable regions arises from non-uniform rearrangements of the D segments during V-D-J joining and from N region addition. Further, antigen-selection of specific B cell clones selects for higher affinity variants having non-germline mutations in one or both of the heavy and light chain



variable regions; a phenomenon referred to as "affinity maturation" or "affinity sharpening". Typically, these "affinity sharpening" mutations cluster in specific areas of the variable region, most commonly in the complementarity-determining regions (CDRs).

5 In order to overcome many of the limitations in producing and identifying high-affinity immunoglobulins through antigen-stimulated  $\beta$  cell development (i.e., immunization), various prokaryotic expression systems have been developed that can be manipulated to produce combinatorial antibody libraries which may be screened for high-affinity antibodies to specific antigens. Recent advances in the expression of  
10 antibodies in *Escherichia coli* and bacteriophage systems (see "alternative peptide display methods", *infra*) have raised the possibility that virtually any specificity can be obtained by either cloning antibody genes from characterized hybridomas or by de novo selection using antibody gene libraries (e.g., from Ig cDNA).

15 Combinatorial libraries of antibodies have been generated in bacteriophage lambda expression systems which may be screened as bacteriophage plaques or as colonies of lysogens (Huse et al, 1989); Caton and Koprowski, 1990; Mullinax et al, 1990; Persson et al, 1991). Various embodiments of bacteriophage antibody display libraries and lambda phage expression libraries have been described (Kang et al, 1991;  
20 Clackson et al, 1991; McCafferty et al, 1990; Burton et al, 1991; Hoogenboom et al, 1991; Chang et al, 1991; Breitling et al, 1991; Marks et al, 1991, p. 581; Barbas et al, 1992; Hawkins and Winter, 1992; Marks et al, 1992, p. 779; Marks et al, 1992, p. 16007; and Lowman et al, 1991; Lerner et al, 1992; all incorporated herein by reference). Typically, a bacteriophage antibody display library is screened with a receptor  
25 (e.g., polypeptide, carbohydrate, glycoprotein, nucleic acid) that is immobilized (e.g., by covalent linkage to a chromatography resin to enrich for reactive phage by affinity chromatography) and/or labeled (e.g., to screen plaque or colony lifts).

One particularly advantageous approach has been the use of so-called single-chain fragment variable (scfv) libraries (Marks et al, 1992, p. 779; Winter and Milstein, 1991; Clackson et al, 1991; Marks et al, 1991, p. 581; Chaudhary et al, 1990; Chiswell et al, 1992; McCafferty et al, 1990; and Huston et al, 1988). Various embodiments of scfv  
5 libraries displayed on bacteriophage coat proteins have been described.

Beginning in 1988, single-chain analogues of Fv fragments and their fusion proteins have been reliably generated by antibody engineering methods. The first step generally involves obtaining the genes encoding VH and VL domains with desired  
10 binding properties; these V genes may be isolated from a specific hybridoma cell line, selected from a combinatorial V-gene library, or made by V gene synthesis. The single-chain Fv is formed by connecting the component V genes with an oligonucleotide that encodes an appropriately designed linker peptide, such as (Gly-Gly-Gly-Gly-Ser)<sub>3</sub> or equivalent linker peptide(s). The linker bridges the C-terminus of the first V region and  
15 N-terminus of the second, ordered as either VH-linker-VL or VL-linker-VH. In principle, the scfv binding site can faithfully replicate both the affinity and specificity of its parent antibody combining site.

Thus, scfv fragments are comprised of VH and VL domains linked into a single  
20 polypeptide chain by a flexible linker peptide. After the scfv genes are assembled, they are cloned into a phagemid and expressed at the tip of the M13 phage (or similar filamentous bacteriophage) as fusion proteins with the bacteriophage PIII (gene 3) coat protein. Enriching for phage expressing an antibody of interest is accomplished by panning the recombinant phage displaying a population scfv for binding to a  
25 predetermined epitope (e.g., target antigen, receptor).

The linked polynucleotide of a library member provides the basis for replication of the library member after a screening or selection procedure, and also provides the basis for the determination, by nucleotide sequencing, of the identity of the displayed peptide

sequence or VH and VL amino acid sequence. The displayed peptide (s) or single-chain antibody (e. g., scfv) and/or its VH and VL domains or their CDRs can be cloned and expressed in a suitable expression system. Often polynucleotides encoding the isolated VH and VL domains will be ligated to polynucleotides encoding constant regions (CH and CL) to form polynucleotides encoding complete antibodies (e.g., chimeric or fully-human), antibody fragments, and the like. Often polynucleotides encoding the isolated CDRs will be grafted into polynucleotides encoding a suitable variable region framework (and optionally constant regions) to form polynucleotides encoding complete antibodies (e.g., humanized or fully-human), antibody fragments, and the like.

10 Antibodies can be used to isolate preparative quantities of the antigen by immunoaffinity chromatography. Various other uses of such antibodies are to diagnose and/or stage disease (e.g., neoplasia) and for therapeutic application to treat disease, such as for example: neoplasia, autoimmune disease, AIDS, cardiovascular disease, infections, and the like.

15

Various methods have been reported for increasing the combinatorial diversity of a scfv library to broaden the repertoire of binding species (idiotype spectrum) The use of PCR has permitted the variable regions to be rapidly cloned either from a specific hybridoma source or as a gene library from non-immunized cells, affording combinatorial diversity in the assortment of VH and VL cassettes which can be combined.

20 Furthermore, the VH and VL cassettes can themselves be diversified, such as by random, pseudorandom, or directed mutagenesis. Typically, VH and VL cassettes are diversified in or near the complementarity-determining regions (CDRS), often the third CDR, CDR3. Enzymatic inverse PCR mutagenesis has been shown to be a simple and reliable method for constructing relatively large libraries of scfv site-directed hybrids (Stemmer et al, 1993), as has error-prone PCR and chemical mutagenesis (Deng et al, 1994). Riechmann (Riechmann et al, 1993) showed semi-rational design of an antibody scfv fragment using site-directed randomization by degenerate oligonucleotide PCR and subsequent phage display of the resultant scfv hybrids. Barbas (Barbas et al, 1992) attempted to circumvent

the problem of limited repertoire sizes resulting from using biased variable region sequences by randomizing the sequence in a synthetic CDR region of a human tetanus toxoid-binding Fab.

5 CDR randomization has the potential to create approximately  $1 \times 10^{20}$  CDRs for the heavy chain CDR3 alone, and a roughly similar number of variants of the heavy chain CDR1 and CDR2, and light chain CDR1-3 variants. Taken individually or together, the combination possibilities of CDR randomization of heavy and/or light chains requires generating a prohibitive number of bacteriophage clones to produce a clone library  
10 representing all possible combinations, the vast majority of which will be non-binding. Generation of such large numbers of primary transformants is not feasible with current transformation technology and bacteriophage display systems. For example, Barbas (Barbas et al, 1992) only generated  $5 \times 10^7$  transformants, which represents only a tiny fraction of the potential diversity of a library of thoroughly randomized CDRS.

15 Despite these substantial limitations, bacteriophage display of scfv have already yielded a variety of useful antibodies and antibody fusion proteins. A bispecific single chain antibody has been shown to mediate efficient tumor cell lysis (Gruber et al, 1994). Intracellular expression of an anti-Rev scfv has been shown to inhibit HIV-1 virus  
20 replication *in vitro* (Duan et al, 1994), and intracellular expression of an anti-p21<sup>ras</sup> scfv has been shown to inhibit meiotic maturation of *Xenopus* oocytes (Biocca et al, 1993). Recombinant scfv which can be used to diagnose HIV infection have also been reported, demonstrating the diagnostic utility of scfv (Lilley et al, 1994). Fusion proteins wherein an scFv is linked to a second polypeptide, such as a toxin or fibrinolytic activator protein,  
25 have also been reported (Holvost et al, 1992; Nicholls et al, 1993).

If it were possible to generate scfv libraries having broader antibody diversity and overcoming many of the limitations of conventional CDR mutagenesis and randomization methods which can cover only a very tiny fraction of the potential

sequence combinations, the number and quality of scfv antibodies suitable for therapeutic and diagnostic use could be vastly improved. To address this, the *in vitro* and *in vivo* shuffling methods of the invention are used to recombine CDRs which have been obtained (typically via PCR amplification or cloning) from nucleic acids obtained from  
5 selected displayed antibodies. Such displayed antibodies can be displayed on cells, on bacteriophage particles, on polysomes, or any suitable antibody display system wherein the antibody is associated with its encoding nucleic acid(s). In a variation, the CDRs are initially obtained from mRNA (or cDNA) from antibody-producing cells (e.g., plasma cells/splenocytes from an immunized wild-type mouse, a human, or a transgenic mouse  
10 capable of making a human antibody as in WO 92/03918, WO 93/12227, and WO 94/25585), including hybridomas derived therefrom.

Polynucleotide sequences selected in a first selection round (typically by affinity selection for displayed antibody binding to an antigen (e.g., a ligand) by any of these  
15 methods are pooled and the pool(s) is/are shuffled by *in vitro* and/or *in vivo* recombination, especially shuffling of CDRs (typically shuffling heavy chain CDRs with other heavy chain CDRs and light chain CDRs with other light chain CDRs) to produce a shuffled pool comprising a population of recombined selected polynucleotide sequences. The recombined selected polynucleotide sequences are expressed in a selection format as  
20 a displayed antibody and subjected to at least one subsequent selection round. The polynucleotide sequences selected in the subsequent selection round(s) can be used directly, sequenced, and/or subjected to one or more additional rounds of shuffling and subsequent selection until an antibody of the desired binding affinity is obtained. Selected sequences can also be back-crossed with polynucleotide sequences encoding  
25 neutral antibody framework sequences (i.e., having insubstantial functional effect on antigen binding), such as for example by back-crossing with a human variable region framework to produce human-like sequence antibodies. Generally, during back-crossing subsequent selection is applied to retain the property of binding to the predetermined antigen.

Alternatively, or in combination with the noted variations, the valency of the target epitope may be varied to control the average binding affinity of selected scfv library members. The target epitope can be bound to a surface or substrate at varying  
5 densities, such as by including a competitor epitope, by dilution, or by other method known to those in the art. A high density (valency) of predetermined epitope can be used to enrich for scfv library members which have relatively low affinity, whereas a low density (valency) can preferentially enrich for higher affinity scfv library members.

10 For generating diverse variable segments, a collection of synthetic oligonucleotides encoding random, pseudorandom, or a defined sequence kernel set of peptide sequences can be inserted by ligation into a predetermined site (e.g., a CDR). Similarly, the sequence diversity of one or more CDRs of the single-chain antibody cassette(s) can be expanded by mutating the CDR(s) with site-directed mutagenesis,  
15 CDR-replacement, and the like. The resultant DNA molecules can be propagated in a host for cloning and amplification prior to shuffling, or can be used directly (i.e., may avoid loss of diversity which may occur upon propagation in a host cell) and the selected library members subsequently shuffled.

20 Displayed peptide/polynucleotide complexes (library members) which encode a variable segment peptide sequence of interest or a single-chain antibody of interest are selected from the library by an affinity enrichment technique. This is accomplished by means of a immobilized macromolecule or epitope specific for the peptide sequence of interest, such as a receptor, other macromolecule, or other epitope species. Repeating the  
25 affinity selection procedure provides an enrichment of library members encoding the desired sequences, which may then be isolated for pooling and shuffling, for sequencing, and/or for further propagation and affinity enrichment.

The library members without the desired specificity are removed by washing. The degree and stringency of washing required will be determined for each peptide sequence or single-chain antibody of interest and the immobilized predetermined macromolecule or epitope. A certain degree of control can be exerted over the binding characteristics of the nascent peptide/DNA complexes recovered by adjusting the conditions of the binding incubation and the subsequent washing. The temperature, pH, ionic strength, divalent cations concentration, and the volume and duration of the washing will select for nascent peptide/DNA complexes within particular ranges of affinity for the immobilized macromolecule. Selection based on slow dissociation rate, which is usually predictive of high affinity, is often the most practical route. This may be done either by continued incubation in the presence of a saturating amount of free predetermined macromolecule, or by increasing the volume, number, and length of the washes. In each case, the rebinding of dissociated nascent peptide/DNA or peptide/RNA complex is prevented, and with increasing time, nascent peptide/DNA or peptide/RNA complexes of higher and higher affinity are recovered.

Additional modifications of the binding and washing procedures may be applied to find peptides with special characteristics. The affinities of some peptides are dependent on ionic strength or cation concentration. This is a useful characteristic for peptides that will be used in affinity purification of various proteins when gentle conditions for removing the protein from the peptides are required.

One variation involves the use of multiple binding targets (multiple epitope species, multiple receptor species), such that a scfv library can be simultaneously screened for a multiplicity of scfv which have different binding specificities. Given that the size of a scfv library often limits the diversity of potential scfv sequences, it is typically desirable to use scfv libraries of as large a size as possible. The time and economic considerations of generating a number of very large polysome scFv-display libraries can become prohibitive. To avoid this substantial problem, multiple

predetermined epitope species (receptor species) can be concomitantly screened in a single library, or sequential screening against a number of epitope species can be used. In one variation, multiple target epitope species, each encoded on a separate bead (or subset of beads), can be mixed and incubated with a polysome-display scfv library under  
5 suitable binding conditions. The collection of beads, comprising multiple epitope species, can then be used to isolate, by affinity selection, scfv library members. Generally, subsequent affinity screening rounds can include the same mixture of beads, subsets thereof, or beads containing only one or two individual epitope species. This approach affords efficient screening, and is compatible with laboratory automation, batch  
10 processing, and high throughput screening methods.

A variety of techniques can be used in the present invention to diversify a peptide library or single-chain antibody library, or to diversify, prior to or concomitant with shuffling, around variable segment peptides found in early rounds of panning to have  
15 sufficient binding activity to the predetermined macromolecule or epitope. In one approach, the positive selected peptide/polynucleotide complexes (those identified in an early round of affinity enrichment) are sequenced to determine the identity of the active peptides. Oligonucleotides are then synthesized based on these active peptide sequences, employing a low level of all bases incorporated at each step to produce slight variations  
20 of the primary oligonucleotide sequences. This mixture of (slightly) degenerate oligonucleotides is then cloned into the variable segment sequences at the appropriate locations. This method produces systematic, controlled variations of the starting peptide sequences, which can then be shuffled. It requires, however, that individual positive nascent peptide/polynucleotide complexes be sequenced before mutagenesis, and thus is  
25 useful for expanding the diversity of small numbers of recovered complexes and selecting variants having higher binding affinity and/or higher binding specificity. In a variation, mutagenic PCR amplification of positive selected peptide/polynucleotide complexes (especially of the variable region sequences, the amplification products of which are shuffled *in vitro* and/or *in vivo* and one or more additional rounds of screening is done



prior to sequencing. The same general approach can be employed with single-chain antibodies in order to expand the diversity and enhance the binding affinity/specificity, typically by diversifying CDRs or adjacent framework regions prior to or concomitant with shuffling. If desired, shuffling reactions can be spiked with mutagenic  
5 oligonucleotides capable of *in vitro* recombination with the selected library members can be included. Thus, mixtures of synthetic oligonucleotides and PCR produced polynucleotides (synthesized by error-prone or high-fidelity methods) can be added to the *in vitro* shuffling mix and be incorporated into resulting shuffled library members (shufflants).

10

The present invention of shuffling enables the generation of a vast library of CDR-variant single-chain antibodies. One way to generate such antibodies is to insert synthetic CDRs into the single-chain antibody and/or CDR randomization prior to or concomitant with shuffling. The sequences of the synthetic CDR cassettes are selected  
15 by referring to known sequence data of human CDR and are selected in the discretion of the practitioner according to the following guidelines: synthetic CDRs will have at least 40 percent positional sequence identity to known CDR sequences, and preferably will have at least 50 to 70 percent positional sequence identity to known CDR sequences. For example, a collection of synthetic CDR sequences can be generated by synthesizing a  
20 collection of oligonucleotide sequences on the basis of naturally-occurring human CDR sequences listed in Kabat (Kabat et al, 1991); the pool (s) of synthetic CDR sequences are calculated to encode CDR peptide sequences having at least 40 percent sequence identity to at least one known naturally-occurring human CDR sequence. Alternatively, a collection of naturally-occurring CDR sequences may be compared to generate consensus  
25 sequences so that amino acids used at a residue position frequently (i.e., in at least 5 percent of known CDR sequences) are incorporated into the synthetic CDRs at the corresponding position(s). Typically, several (e.g., 3 to about 50) known CDR sequences are compared and observed natural sequence variations between the known CDRs are tabulated, and a collection of oligonucleotides encoding CDR peptide sequences

encompassing all or most permutations of the observed natural sequence variations is synthesized. For example but not for limitation, if a collection of human VH CDR sequences have carboxy-terminal amino acids which are either Tyr, Val, Phe, or Asp, then the pool(s) of synthetic CDR oligonucleotide sequences are designed to allow the  
5 carboxy-terminal CDR residue to be any of these amino acids. In some embodiments, residues other than those which naturally-occur at a residue position in the collection of CDR sequences are incorporated: conservative amino acid substitutions are frequently incorporated and up to 5 residue positions may be varied to incorporate non-conservative amino acid substitutions as compared to known naturally-occurring CDR sequences.  
10 Such CDR sequences can be used in primary library members (prior to first round screening) and/or can be used to spike *in vitro* shuffling reactions of selected library member sequences. Construction of such pools of defined and/or degenerate sequences will be readily accomplished by those of ordinary skill in the art.

15 The collection of synthetic CDR sequences comprises at least one member that is not known to be a naturally-occurring CDR sequence. It is within the discretion of the practitioner to include or not include a portion of random or pseudorandom sequence corresponding to N region addition in the heavy chain CDR; the N region sequence ranges from 1 nucleotide to about 4 nucleotides occurring at V-D and D-J junctions. A  
20 collection of synthetic heavy chain CDR sequences comprises at least about 100 unique CDR sequences, typically at least about 1,000 unique CDR sequences, preferably at least about 10,000 unique CDR sequences, frequently more than 50,000 unique CDR sequences; however, usually not more than about  $1 \times 10^6$  unique CDR sequences are included in the collection, although occasionally  $1 \times 10^7$  to  $1 \times 10^8$  unique CDR  
25 sequences are present, especially if conservative amino acid substitutions are permitted at positions where the conservative amino acid substituent is not present or is rare (i.e., less than 0.1 percent) in that position in naturally-occurring human CDRS. In general, the number of unique CDR sequences included in a library should not exceed the expected number of primary transformants in the library by more than a factor of 10. Such

single-chain antibodies generally bind of about at least  $1 \times 10^{-8}$  M, preferably with an affinity of about at least  $5 \times 10^{-7}$  M<sup>-1</sup>, more preferably with an affinity of at least  $1 \times 10^{-8}$  M<sup>-1</sup> to  $1 \times 10^{-9}$  M<sup>-1</sup> or more, sometimes up to  $1 \times 10^{-10}$  M<sup>-1</sup> or more. Frequently, the predetermined antigen is a human protein, such as for example a human cell surface antigen (e. g., CD4, CD8, IL-2 receptor, EGF receptor, PDGF receptor), other human biological macromolecule (e.g., thrombomodulin, protein C, carbohydrate antigen, sialyl Lewis antigen, Lselectin), or nonhuman disease associated macromolecule (e.g., bacterial LPS, virion capsid protein or envelope glycoprotein) and the like.

High affinity single-chain antibodies of the desired specificity can be engineered and expressed in a variety of systems. For example, scfv have been produced in plants (Firek et al, 1993) and can be readily made in prokaryotic systems (Owens and Young, 1994; Johnson and Bird, 1991). Furthermore, the single-chain antibodies can be used as a basis for constructing whole antibodies or various fragments thereof (Kettleborough et al, 1994). The variable region encoding sequence may be isolated (e.g., by PCR amplification or subcloning) and spliced to a sequence encoding a desired human constant region to encode a human sequence antibody more suitable for human therapeutic uses where immunogenicity is preferably minimized. The polynucleotide(s) having the resultant fully human encoding sequence(s) can be expressed in a host cell (e.g., from an expression vector in a mammalian cell) and purified for pharmaceutical formulation.

The DNA expression constructs will typically include an expression control DNA sequence operably linked to the coding sequences, including naturally-associated or heterologous promoter regions. Preferably, the expression control sequences will be eukaryotic promoter systems in vectors capable of transforming or transfecting eukaryotic host cells. Once the vector has been incorporated into the appropriate host, the host is maintained under conditions suitable for high level expression of the

nucleotide sequences, and the collection and purification of the mutant "engineered" antibodies.

As stated previously, the DNA sequences will be expressed in hosts after the sequences have been operably linked to an expression control sequence (i.e., positioned to ensure the transcription and translation of the structural gene). These expression vectors are typically replicable in the host organisms either as episomes or as an integral part of the host chromosomal DNA. Commonly, expression vectors will contain selection markers, e.g., tetracycline or neomycin, to permit detection of those cells transformed with the desired DNA sequences (see, e.g., USPN 4,704,362, which is incorporated herein by reference).

In addition to eukaryotic microorganisms such as yeast, mammalian tissue cell culture may also be used to produce the polypeptides of the present invention (see Winnacker, 1987), which is incorporated herein by reference). Eukaryotic cells are actually preferred, because a number of suitable host cell lines capable of secreting intact immunoglobulins have been developed in the art, and include the CHO cell lines, various COS cell lines, HeLa cells, and myeloma cell lines, but preferably transformed Bcells or hybridomas. Expression vectors for these cells can include expression control sequences, such as an origin of replication, a promoter, an enhancer (Queen et al, 1986), and necessary processing information sites, such as ribosome binding sites, RNA splice sites, polyadenylation sites, and transcriptional terminator sequences. Preferred expression control sequences are promoters derived from immunoglobulin genes, cytomegalovirus, SV40, Adenovirus, Bovine Papilloma Virus, and the like.

25

Eukaryotic DNA transcription can be increased by inserting an enhancer sequence into the vector. Enhancers are cis-acting sequences of between 10 to 300 bp that increase transcription by a promoter. Enhancers can effectively increase transcription when either 5' or 3' to the transcription unit. They are also effective if

located within an intron or within the coding sequence itself. Typically, viral enhancers are used, including SV40 enhancers, cytomegalovirus enhancers, polyoma enhancers, and adenovirus enhancers. Enhancer sequences from mammalian systems are also commonly used, such as the mouse immunoglobulin heavy chain enhancer.

5

Mammalian expression vector systems will also typically include a selectable marker gene. Examples of suitable markers include, the dihydrofolate reductase gene (DHFR), the thymidine kinase gene (TK), or prokaryotic genes conferring drug resistance. The first two marker genes prefer the use of mutant cell lines that lack the ability to grow without the addition of thymidine to the growth medium. Transformed cells can then be identified by their ability to grow on non-supplemented media. Examples of prokaryotic drug resistance genes useful as markers include genes conferring resistance to G418, mycophenolic acid and hygromycin.

15 The vectors containing the DNA segments of interest can be transferred into the host cell by well-known methods, depending on the type of cellular host. For example, calcium chloride transfection is commonly utilized for prokaryotic cells, whereas calcium phosphate treatment, lipofection, or electroporation may be used for other cellular hosts. Other methods used to transform mammalian cells include the use of Polybrene, 20 protoplast fusion, liposomes, electroporation, and micro-injection (see, generally, Sambrook et al, 1982 and 1989).

Once expressed, the antibodies, individual mutated immunoglobulin chains, mutated antibody fragments, and other immunoglobulin polypeptides of the invention can 25 be purified according to standard procedures of the art, including ammonium sulfate precipitation, fraction column chromatography, gel electrophoresis and the like (see, generally, Scopes, 1982). Once purified, partially or to homogeneity as desired, the polypeptides may then be used therapeutically or in developing and performing assay

procedures, immunofluorescent stainings, and the like (see, generally, Lefkovits and Pernis, 1979 and 1981; Lefkovits, 1997).

5 The antibodies generated by the method of the present invention can be used for diagnosis and therapy. By way of illustration and not limitation, they can be used to treat cancer, autoimmune diseases, or viral infections. For treatment of cancer, the antibodies will typically bind to an antigen expressed preferentially on cancer cells, such as erbB-2, CEA, CD33, and many other antigens and binding members well known to those skilled in the art.

10

#### Two-Hybrid Based Screening Assays

Shuffling can also be used to recombinatorially diversify a pool of selected library members obtained by screening a two-hybrid screening system to identify library members which bind a predetermined polypeptide sequence. The selected library members are pooled and shuffled by *in vitro* and/or *in vivo* recombination. The shuffled pool can then be screened in a yeast two hybrid system to select library members which bind said predetermined polypeptide sequence (e. g., and SH2 domain) or which bind an alternate predetermined polypeptide sequence (e.g., an SH2 domain from another protein species).

25 An approach to identifying polypeptide sequences which bind to a predetermined polypeptide sequence has been to use a so-called "two-hybrid" system wherein the predetermined polypeptide sequence is present in a fusion protein (Chien et al, 1991). This approach identifies protein-protein interactions *in vivo* through reconstitution of a transcriptional activator (Fields and Song, 1989), the yeast Gal4 transcription protein. Typically, the method is based on the properties of the yeast Gal4 protein, which consists of separable domains responsible for DNA-binding and transcriptional activation.

Polynucleotides encoding two hybrid proteins, one consisting of the yeast Gal4 DNA-binding domain fused to a polypeptide sequence of a known protein and the other consisting of the Gal4 activation domain fused to a polypeptide sequence of a second protein, are constructed and introduced into a yeast host cell. Intermolecular binding  
5 between the two fusion proteins reconstitutes the Gal4 DNA-binding domain with the Gal4 activation domain, which leads to the transcriptional activation of a reporter gene (e.g., *lacZ*, *HIS3*) which is operably linked to a Gal4 binding site. Typically, the two-hybrid method is used to identify novel polypeptide sequences which interact with a known protein (Silver and Hunt, 1993; Durfee et al, 1993; Yang et al, 1992; Luban et  
10 al, 1993; Hardy et al, 1992; Bartel et al, 1993; and Vojtek et al, 1993). However, variations of the two-hybrid method have been used to identify mutations of a known protein that affect its binding to a second known protein (Li and Fields, 1993; Lalo et al, 1993; Jackson et al, 1993; and Madura et al, 1993). Two-hybrid systems have also been used to identify interacting structural domains of two known proteins (Bardwell et al,  
15 1993; Chakrabarty et al, 1992; Staudinger et al, 1993; and Milne and Weaver 1993) or domains responsible for oligomerization of a single protein (Iwabuchi et al, 1993; Bogerd et al, 1993). Variations of two-hybrid systems have been used to study the *in vivo* activity of a proteolytic enzyme (Dasmahapatra et al, 1992). Alternatively, an *E. coli*/BCCP interactive screening system (Germino et al, 1993; Guarente, 1993) can be  
20 used to identify interacting protein sequences (i.e., protein sequences which heterodimerize or form higher order heteromultimers). Sequences selected by a two-hybrid system can be pooled and shuffled and introduced into a two-hybrid system for one or more subsequent rounds of screening to identify polypeptide sequences which bind to the hybrid containing the predetermined binding sequence. The sequences thus  
25 identified can be compared to identify consensus sequence(s) and consensus sequence kernels.

In general, standard techniques of recombination DNA technology are described in various publications (e.g. Sambrook et al, 1989; Ausubel et al, 1987; and Berger and

Kimmel, 1987; each of which is incorporated herein in its entirety by reference. Polynucleotide modifying enzymes were used according to the manufacturer's recommendations. Oligonucleotides were synthesized on an Applied Biosystems Inc. Model 394 DNA synthesizer using ABI chemicals. If desired, PCR amplimers for  
5 amplifying a predetermined DNA sequence may be selected at the discretion of the practitioner.

One microgram samples of template DNA are obtained and treated with U.V. light to cause the formation of dimers, including TT dimers, particularly purine dimers. U.V.  
10 exposure is limited so that only a few photoproducts are generated per gene on the template DNA sample. Multiple samples are treated with U.V. light for varying periods of time to obtain template DNA samples with varying numbers of dimers from U.V. exposure.

15 A random priming kit which utilizes a non-proofreading polymease (for example, Prime-It II Random Primer Labeling kit by Stratagene Cloning Systems) is utilized to generate different size polynucleotides by priming at random sites on templates which are prepared by U.V. light (as described above) and extending along the templates. The priming protocols such as described in the Prime-It II Random Primer Labeling kit may  
20 be utilized to extend the primers. The dimers formed by U.V. exposure serve as a roadblock for the extension by the non-proofreading polymerase. Thus, a pool of random size polynucleotides is present after extension with the random primers is finished.

The present invention is further directed to a method for generating a selected  
25 mutant polynucleotide sequence (or a population of selected polynucleotide sequences) typically in the form of amplified and/or cloned polynucleotides, whereby the selected polynucleotide sequences(s) possess at least one desired phenotypic characteristic (e.g., encodes a polypeptide, promotes transcription of linked polynucleotides, binds a protein, and the like) which can be selected for. One method for identifying hybrid polypeptides



that possess a desired structure or functional property, such as binding to a predetermined biological macromolecule (e.g., a receptor), involves the screening of a large library of polypeptides for individual library members which possess the desired structure or functional property conferred by the amino acid sequence of the polypeptide.

5

In one embodiment, the present invention provides a method for generating libraries of displayed polypeptides or displayed antibodies suitable for affinity interaction screening or phenotypic screening. The method comprises (1) obtaining a first plurality of selected library members comprising a displayed polypeptide or displayed antibody  
10 and an associated polynucleotide encoding said displayed polypeptide or displayed antibody, and obtaining said associated polynucleotides or copies thereof wherein said associated polynucleotides comprise a region of substantially identical sequences, optimally introducing mutations into said polynucleotides or copies, (2) pooling the polynucleotides or copies, (3) producing smaller or shorter polynucleotides by  
15 interrupting a random or particularized priming and synthesis process or an amplification process, and (4) performing amplification, preferably PCR amplification, and optionally mutagenesis to homologously recombine the newly synthesized polynucleotides.

It is a particularly preferred object of the invention to provide a process for  
20 producing hybrid polynucleotides which express a useful hybrid polypeptide by a series of steps comprising:

(a) producing polynucleotides by interrupting a polynucleotide amplification or synthesis process with a means for blocking or interrupting the amplification or synthesis process and thus providing a plurality of smaller or shorter polynucleotides due  
25 to the replication of the polynucleotide being in various stages of completion;

(b) adding to the resultant population of single- or double-stranded polynucleotides one or more single- or double-stranded oligonucleotides, wherein said added oligonucleotides comprise an area of identity in an area of heterology to one or more of the single- or double-stranded polynucleotides of the population;

(c) denaturing the resulting single- or double-stranded oligonucleotides to produce a mixture of single-stranded polynucleotides, optionally separating the shorter or smaller polynucleotides into pools of polynucleotides having various lengths and further optionally subjecting said polynucleotides to a PCR procedure to amplify one or more  
5 oligonucleotides comprised by at least one of said polynucleotide pools;

(d) incubating a plurality of said polynucleotides or at least one pool of said polynucleotides with a polymerase under conditions which result in annealing of said single-stranded polynucleotides at regions of identity between the single-stranded polynucleotides and thus forming of a mutagenized double-stranded polynucleotide  
10 chain;

(e) optionally repeating steps (c) and (d);

(f) expressing at least one hybrid polypeptide from said polynucleotide chain, or chains; and

(g) screening said at least one hybrid polypeptide for a useful activity.

15 In a preferred aspect of the invention, the means for blocking or interrupting the amplification or synthesis process is by utilization of uv light, DNA adducts, DNA binding proteins.

In one embodiment of the invention, the DNA adducts, or polynucleotides  
20 comprising the DNA adducts, are removed from the polynucleotides or polynucleotide pool, such as by a process including heating the solution comprising the DNA fragments prior to further processing.

Having thus disclosed exemplary embodiments of the present invention, it should  
25 be noted by those skilled in the art that the disclosures are exemplary only and that various other alternatives, adaptations and modifications may be made within the scope of the present invention. Accordingly, the present invention is not limited to the specific embodiments as illustrated herein.

Without further elaboration, it is believed that one skilled in the art can, using the preceding description, utilize the present invention to its fullest extent. The following examples are to be considered illustrative and thus are not limiting of the remainder of the disclosure in any way whatsoever.

5

### **Example 1**

#### **Generation of Random Size Polynucleotides Using U.V. Induced Photoproducts**

One microgram samples of template DNA are obtained and treated with U.V. light to cause the formation of dimers, including TT dimers, particularly purine dimers. U.V. exposure is limited so that only a few photoproducts are generated per gene on the template DNA sample. Multiple samples are treated with U.V. light for varying periods of time to obtain template DNA samples with varying numbers of dimers from U.V. exposure.

15 A random priming kit which utilizes a non-proofreading polymerase (for example, Prime-It II Random Primer Labeling kit by Stratagene Cloning Systems) is utilized to generate different size polynucleotides by priming at random sites on templates which are prepared by U.V. light (as described above) and extending along the templates. The priming protocols such as described in the Prime-It II Random Primer  
20 Labeling kit may be utilized to extend the primers. The dimers formed by U.V. exposure serve as a roadblock for the extension by the non-proofreading polymerase. Thus, a pool of random size polynucleotides is present after extension with the random primers is finished.

**Example 2****Isolation of Random Size Polynucleotides**

Polynucleotides of interest which are generated according to Example 1 are gel isolated on a 1.5% agarose gel. Polynucleotides in the 100-300 bp range are cut out of the gel and 3 volumes of 6 M NaI is added to the gel slice. The mixture is incubated at 50 °C for 10 minutes and 10 µl of glass milk (Bio 101) is added. The mixture is spun for 1 minute and the supernatant is decanted. The pellet is washed with 500 µl of Column Wash (Column Wash is 50% ethanol, 10mM Tris-HCl pH 7.5, 100 mM NaCl and 2.5 mM EDTA) and spin for 1 minute, after which the supernatant is decanted. The washing, spinning and decanting steps are then repeated. The glass milk pellet is resuspended in 20µl of H<sub>2</sub>O and spun for 1 minute. DNA remains in the aqueous phase.

### **Example 3**

#### **Shuffling of Isolated Random Size 100-300bp Polynucleotides**

The 100-300 bp polynucleotides obtained in Example 2 are recombined in an annealing mixture (0.2 mM each dNTP, 2.2 mM MgCl<sub>2</sub>, 50 mM KCl, 10 mM Tris-HCl  
5 ph 8.8, 0.1% Triton X-100, 0.3 µ; Taq DNA polymerase, 50 µl total volume) without adding primers. A Robocycler by Stratagene was used for the annealing step with the following program: 95 °C for 30 seconds, 25-50 cycles of [95 °C for 30 seconds, 50 - 60 °C (preferably 58 °C) for 30 seconds, and 72 °C for 30 seconds] and 5 minutes at 72 °C. Thus, the 100-300 bp polynucleotides combine to yield double-stranded polynucleotides  
10 having a longer sequence. After separating out the reassembled double-stranded polynucleotides and denaturing them to form single stranded polynucleotides, the cycling is optionally again repeated with some samples utilizing the single strands as template and primer DNA and other samples utilizing random primers in addition to the single strands.

15

**Example 4****Screening of Polypeptides from Shuffled Polynucleotides**

The polynucleotides of Example 3 are separated and polypeptides are expressed therefrom. The original template DNA is utilized as a comparative control by obtaining  
5 comparative polypeptides therefrom. The polypeptides obtained from the shuffled polynucleotides of Example 3 are screened for the activity of the polypeptides obtained from the original template and compared with the activity levels of the control. The shuffled polynucleotides coding for interesting polypeptides discovered during screening are compared further for secondary desirable traits. Some shuffled polynucleotides  
10 corresponding to less interesting screened polypeptides are subjected to reshuffling.

**Example 5****Directed Evolution an Enzyme by Saturation Mutagenesis**

Site-Saturation Mutagenesis: To accomplish site-saturation mutagenesis every residue  
5 (316) of a dehalogenase enzyme was converted into all 20 amino acids by site directed  
mutagenesis using 32-fold degenerate oligonucleotide primers, as follows:

1. A culture of the dehalogenase expression construct was grown and a preparation of  
the plasmid was made
- 10 2. Primers were made to randomize each codon - they have the common structure  
 $X_{20}NN(G/T)X_{20}$
3. A reaction mix of 25 ul was prepared containing ~50 ng of plasmid template, 125 ng  
of each primer, 1X native Pfu buffer, 200 uM each dNTP and 2.5 U native Pfu DNA  
polymerase
- 15 4. The reaction was cycled in a Robo96 Gradient Cycler as follows:  
Initial denaturation at 95°C for 1 min  
20 cycles of 95°C for 45 sec, 53°C for 1 min and 72°C for 11 min  
Final elongation step of 72°C for 10 min
5. The reaction mix was digested with 10 U of DpnI at 37°C for 1 hour to digest the  
20 methylated template DNA
6. Two ul of the reaction mix were used to transform 50 ul of XL1-Blue MRF' cells and  
the entire transformation mix was plated on a large LB-Amp-Met plate yielding 200-  
1000 colonies
7. Individual colonies were toothpicked into the wells of 96-well microtiter plates  
25 containing LB-Amp-IPTG and grown overnight
8. The clones on these plates were assayed the following day

**Screening:** Approximately 200 clones of mutants for each position were grown in liquid media (384 well microtiter plates) and screened as follows:

5

1. Overnight cultures in 384-well plates were centrifuged and the media removed. To each well was added 0.06 mL 1 mM Tris/SO<sub>4</sub><sup>2-</sup> pH 7.8.
2. Made 2 assay plates from each parent growth plate consisting of 0.02 mL cell suspension.
- 10 3. One assay plate was placed at room temperature and the other at elevated temperature (initial screen used 55°C) for a period of time (initially 30 minutes).
4. After the prescribed time 0.08 mL room temperature substrate (TCP saturated 1 mM Tris/SO<sub>4</sub><sup>2-</sup> pH 7.8 with 1.5 mM NaN<sub>3</sub> and 0.1 mM bromothymol blue) was added to each well.
- 15 5. Measurements at 620 nm were taken at various time points to generate a progress curve for each well.
6. Data were analyzed and the kinetics of the cells heated to those not heated were compared. Each plate contained 1-2 columns (24 wells) of unmutated 20F12 controls.
- 20 7. Wells that appeared to have improved stability were re-grown and tested under the same conditions.

Following this procedure nine single site mutations appeared to confer increased thermal stability on the enzyme. Sequence analysis was performed to determine of the  
25 exact amino acid changes at each position that were specifically responsible for the improvement. In sum, the improvement was conferred at 7 sites by one amino acid change alone, at an eighth site by each of two amino acid changes, and at a ninth site by each of three amino acid changes. Several mutants were then made each having a



plurality of these nine beneficial site mutations in combination; of these two mutants proved superior to all the other mutants, including those with single point mutations.

### Example 6

#### Direct expression cloning using end-selection

An esterase gene was amplified using 5' phosphorylated primers in a standard  
5 PCR reaction (10 ng template; PCR conditions: 3' 94 C; [1' 94 C; 1' 50 C; 1'30" 68 C] x  
30; 10' 68 C.

Forward Primer = 9511TopF

(CTAGAAGGGAGGAGAATTACATGAAGCGGCTTTTAGCCC)

10 Reverse Primer = 9511TopR (AGCTAAGGGTCAAGGCCGCACCCGAGG)

The resulting PCR product (ca.1000 bp) was gel purified and quantified.

A vector for expression cloning, pASK3 (Institut fuer Bioanalytik, Goettingen,  
Germany), was cut with *Xba* I and *Bgl* II and dephosphorylated with CIP.

15

0.5 pmoles Vaccina Topoisomerase I (Invitrogen, Carlsbad, CA) was added to 60  
ng (ca. 0.1 pmole) purified PCR product for 5' 37 C in buffer NEB I (New England  
Biolabs, Beverly, MA) in 5 µl total volume.

The topogated PCR product was cloned into the vector pASK3 (5 µl, ca. 200 ng in NEB  
20 I) for 5' at room temperature.

This mixture was dialyzed against H<sub>2</sub>O for 30'.

2 µl were used for electroporation of DH10B cells (Gibco BRL, Gaithersburg, MD).

Efficiency: Based on the actual clone numbers this method can produce  $2 \times 10^6$   
25 clones per µg vector. All tested recombinants showed esterase activity after induction  
with anhydrotetracycline.

### Example 7

#### Dehalogenase Thermal Stability

This invention provides that a desirable property to be generated by directed evolution is exemplified in a limiting fashion by an improved residual activity (e.g. an enzymatic activity, an immunoreactivity, an antibiotic activity, etc.) of a molecule upon subjection to altered environment, including what may be considered a harsh environment, for a specified time. Such a harsh environment may comprise any combination of the following (iteratively or not, and in any order or permutation): an elevated temperature (including a temperature that may cause denaturation of a working enzyme), a decreased temperature, an elevated salinity, a decreased salinity, an elevated pH, a decreased pH, an elevated pressure, a decreased pressure, and an change in exposure to a radiation source (including uv radiation, visible light, as well as the entire electromagnetic spectrum).

The following example shows an application of directed evolution to evolve the ability of an enzyme to regain &/or retain activity upon exposure to an elevated temperature.

Every residue (316) of a dehalogenase enzyme was converted into all 20 amino acids by site directed mutagenesis using 32-fold degenerate oligonucleotide primers. These mutations were introduced into the already rate-improved variant Dhla 20F12. Approximately 200 clones of each position were grown in liquid media (384 well microtiter plates) to be screened. The screening procedure was as follows:

1. Overnight cultures in 384-well plates were centrifuged and the media removed.  
25 To each well was added 0.06 mL 1 mM Tris/SO<sub>4</sub><sup>2-</sup> pH 7.8.
2. The robot made 2 assay plates from each parent growth plate consisting of 0.02 mL cell suspension.
3. One assay plate was placed at room temperature and the other at elevated temperature (initial screen used 55°C) for a period of time (initially 30 minutes).

4. After the prescribed time 0.08 mL room temperature substrate (TCP saturated 1 mM Tris/SO<sub>4</sub><sup>2-</sup> pH 7.8 with 1.5 mM NaN<sub>3</sub> and 0.1 mM bromothymol blue) was added to each well. TCP = trichloropropane.
5. Measurements at 620 nm were taken at various time points to generate a progress curve for each well.
6. Data were analyzed and the kinetics of the cells heated to those not heated were compared. Each plate contained 1-2 columns (24 wells) of un-mutated 20F12 controls.
7. Wells that appeared to have improved stability were regrown and tested under the same conditions.

Following this procedure nine single site mutations appeared to confer increased thermal stability on Dhla-20F12. Sequence analysis showed that the following changes were beneficial:

15

D89G

F91S

T159L

G189Q, G189V

20

I220L

N238T

W251Y

P302A, P302L, P302S, P302K

P302R/S306R

25

Only two sites (189 and 302) had more than one substitution. The first 5 on the list were combined (using G189Q) into a single gene (this mutant is referred to as "Dhla5"). All changes but S306R were incorporated into another variant referred to as Dhla8.

Thermal stability was assessed by incubating the enzyme at the elevated temperature (55°C and 80°C) for some period of time and activity assay at 30°C. Initial rates were plotted vs. time at the higher temperature. The enzyme was in 50 mM Tris/SO<sub>4</sub> pH 7.8 for both the incubation and the assay. Product (Cl<sup>-</sup>) was detected by a standard method  
5 using Fe(NO<sub>3</sub>)<sub>3</sub> and HgSCN. Dhla 20F12 was used as the *de facto* wild type. The apparent half-life ( $T_{1/2}$ ) was calculated by fitting the data to an exponential decay function.

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### 3. CLAIMS

1. A method for obtaining an immunomodulatory polynucleotide that has an optimized modulatory effect on an immune response, or encodes a polypeptide that has an optimized modulatory effect on an immune response, the method comprising:

creating a library of non-stochastically generated progeny polynucleotides from a parental polynucleotide set;

wherein optimization can thus be achieved using one or more of the directed evolution methods as described herein in any combination, permutation and iterative manner;

whereby these directed evolution methods include the introduction of mutations by non-stochastic methods, including by "gene site saturation mutagenesis" as described herein;

and whereby these directed evolution methods also include the introduction mutations by non-stochastic polynucleotide reassembly methods as described herein; including by synthetic ligation polynucleotide reassembly as described herein.

2. The method of claim 1, wherein said optimized modulatory effect on an immune response is induced by a genetic vaccine vector.

3. A method for obtaining an immunomodulatory polynucleotide that has an optimized modulatory effect on an immune response, or encodes a polypeptide that has an optimized modulatory effect on an immune response, the method comprising:

screening a library of non-stochastically generated progeny polynucleotides to identify an optimized non-stochastically generated progeny polynucleotide that has, or

encodes a polypeptide that has, a modulatory effect on an immune response; wherein the optimized non-stochastically generated polynucleotide or the polypeptide encoded by the non-stochastically generated polynucleotide exhibits an enhanced ability to modulate an immune response compared to a parental polynucleotide from which the library was created.

4. The method of claim 3, wherein said optimized modulatory effect on an immune response is induced by a genetic vaccine vector.

5. A method for obtaining an immunomodulatory polynucleotide that has an optimized modulatory effect on an immune response, or encodes a polypeptide that has an optimized modulatory effect on an immune response, the method comprising:

a) creating a library of non-stochastically generated progeny polynucleotides from a parental polynucleotide set; and

b) screening the library to identify an optimized non-stochastically generated progeny polynucleotide that has, or encodes a polypeptide that has, a modulatory effect on an immune response induced by a genetic vaccine vector; wherein the optimized non-stochastically generated polynucleotide or the polypeptide encoded by the non-stochastically generated polynucleotide exhibits an enhanced ability to modulate an immune response compared to a parental polynucleotide from which the library was created;

whereby optimization can thus be achieved using one or more of the directed evolution methods as described herein in any combination, permutation, and iterative manner;

whereby these directed evolution methods include the introduction of point mutations by non-stochastic methods, including by "gene site saturation mutagenesis" as described herein;

and whereby these directed evolution methods also include the introduction mutations by non-stochastic polynucleotide reassembly methods as described herein; including by synthetic ligation polynucleotide reassembly as described herein.

6. The method of claim 5, wherein said optimized modulatory effect on an immune response is induced by a genetic vaccine vector.

7. The method of any of claims 1-6, wherein the optimized non-stochastically generated polynucleotide is incorporated into a genetic vaccine vector.

8. The method of any of claims 1-6, wherein the optimized non-stochastically generated polynucleotide, or a polypeptide encoded by the optimized non-stochastically generated polynucleotide, is administered in conjunction with a genetic vaccine vector.

9. The method of any of claims 1-6, wherein the library of non-stochastically generated progeny polynucleotides is created by a process selected from the group consisting of gene reassembly, oligonucleotide-directed saturation mutagenesis, and any combination, permutation and iterative manner.

10. The method of any of claims 1-6, wherein the optimized non-stochastically generated polynucleotide that has a modulatory effect on an immune response is obtained by:

a) non-stochastically reassembling at least two parental template polynucleotide, each of which is, or encodes a molecule that is, involved in modulating an immune response;

wherein the first and second parental templates differ from each other in two or more nucleotides, to produce a library of non-stochastically generated polynucleotides; and

b) screening the library to identify at least one optimized non-stochastically generated polynucleotide that exhibits, either by itself or through the encoded molecule, an enhanced ability to modulate an immune response in comparison to a parental polynucleotide from which the library was created.

11. The method of claim 10, wherein the method further comprises the steps of:

c) subjecting a working optimized non-stochastically generated polynucleotide to a further round of non-stochastic reassembly with at least one additional polynucleotide, which is the same or different from the first and second polynucleotides, to produce a further working library of recombinant polynucleotides;

d) screening the further working library to identify at least one further optimized non-stochastically generated polynucleotide that exhibits an enhanced ability to modulate an immune response in comparison to a parental polynucleotide from which the library was created; and

e) optionally repeating c) and d) as necessary, until a desirable further optimized non-stochastically generated polynucleotide that exhibits an enhanced ability to modulate an immune response than a form of the nucleic acid from which the library was created.

12. The method of any of claims 1-6, wherein the optimized non-stochastically generated polynucleotide encodes a polypeptide that can interact with a cellular receptor involved in mediating an immune response; wherein the polypeptide acts as an agonist or antagonist of the receptor.

13. The method of claim 12, wherein the cellular receptor is a macrophage scavenger receptor.

14. The method of claim 12, wherein the cellular receptor is selected from the group consisting of a cytokine receptor and a chemokine receptor.

15. The method of claim 14, wherein the chemokine receptor is CCR6.

16. The method of claim 12, wherein the polypeptide mimics the activity of a natural ligand for the receptor but does not induce immune reactivity to said natural ligand.

17. The method of claim 12, wherein the library is screened by:

i) expressing the non-stochastically generated progeny polynucleotides so that the encoded polypeptides are produced as fusions with a protein displayed on the surface of a replicable genetic package;

- ii) contacting the replicable genetic packages with a plurality of cells that display the receptor; and
- iii) identifying cells that exhibit a modulation of an immune response mediated by the receptor.

18. The method of claim 17, wherein the replicable genetic package is selected from the group consisting of a bacteriophage, a cell, a spore, and a virus.

19. The method of claim 18, wherein the replicable genetic package is an M13 bacteriophage and the protein is encoded by geneIII or geneVIII.

20. The method of claim 12, which method further comprises introducing the optimized non-stochastically generated polynucleotide into a genetic vaccine vector and administering the vector to a mammal, wherein the peptide or polypeptide is expressed and acts as an agonist or antagonist of the receptor.

21. The method of claim 12, which method further comprises producing the polypeptide encoded by the optimized non-stochastically generated polynucleotide and introducing the polypeptide into a mammal in conjunction with a genetic vaccine vector.

22. The method of claim 12, wherein the optimized non-stochastically generated polynucleotide is inserted into an antigen-encoding nucleotide sequence of a genetic vaccine vector.

23. The method of claim 22, wherein the optimized non-stochastically generated polypeptide is introduced into a nucleotide sequence that encodes an M- loop of an HBsAg polypeptide.

24. The method of any of claims 1-6, wherein the optimized non-stochastically generated polynucleotide comprises a nucleotide sequence rich in unmethylated CpG.

25. The method of any of claims 1-6, wherein the optimized non-stochastically generated polynucleotide encodes a polypeptide that inhibits an allergic reaction.

26. The method of claim 25, wherein the polypeptide is selected from the group consisting of interferon- $\alpha$ , interferon- $\beta$ , IL-10, IL-12, an antagonist of IL-4, an antagonist of IL-5, and an antagonist of IL-13.

27. The method of 1, wherein the optimized recombinant polynucleotide encodes an antagonist of IL-10.

28. The method of claim 27, wherein the antagonist of IL-10 is soluble or defective IL-10 receptor or IL-20/MDA-7.



29. The method of any of claims 1-6, wherein the optimized non-stochastically generated polynucleotide encodes a co-stimulator.

30. The method of claim 29, wherein the co-stimulator is B7-1 (CD80) or B7-2 (CD86) and the screening step involves selecting variants with altered activity through CD28 or CTLA-4.

31. The method of claim 29, wherein the co-stimulator is CD1, CD40, CD154 (ligand for CD40) or CD150 (SLAM).

32. The method of claim 29, wherein the co-stimulator is a cytokine.

33. The method of claim 32, wherein the cytokine is selected from the group consisting of IL-1, IL-2, IL-3, IL-4, IL-5, IL-6, IL-7, IL-8, IL-9, IL-10, IL-11, IL-12, IL-13, IL-14, IL-15, IL-16, IL-17, IL-18, GM-CSF, G-CSF, TNF-, IFN-, IFN-, and IL-20 (MDA-7).

34. The method of 33, wherein the library of non-stochastically generated polynucleotides is screened by testing the ability of cytokines encoded by the non-stochastically generated polynucleotides to activate cells which contain a receptor for the cytokine.

35. The method of claim 34, wherein the cells contain a heterologous nucleic acid that encodes the receptor for the cytokine.

36. The method of 33, wherein the cytokine is interleukin-12 and the screening is performed by: growing mammalian cells which contain the genetic vaccine vector in a culture medium; and detecting whether T cell proliferation or T cell differentiation is induced by contact with the culture medium.

37. The method of 33, wherein the cytokine is interferon- and the screening is performed by:

- i) expressing the non-stochastically generated polynucleotides so that the encoded polypeptides are produced as fusions with a protein displayed on the surface of a replicable genetic package;
- ii) contacting the replicable genetic packages with a plurality of B cells; and
- iii) identifying phage library members that are capable of inhibiting proliferation of the B cells.

38. The method of claim 33, wherein the immune response of interest is differentiation of T cells to T<sub>H</sub>1 cells and the screening is performed by contacting a population of T cells with the cytokines encoded by the members of the library of recombinant polynucleotides and identifying library members that encode a cytokine that induces the T cells to produce IL-2 and interferon- .

39. The method of claim 32, wherein the cytokine encoded by the optimized non-stochastically generated polynucleotide exhibits reduced immunogenicity compared to a

cytokine encoded by a non-optimized polynucleotide, and the reduced immunogenicity is detected by introducing a cytokine encoded by the non-stochastically generated polynucleotide into a mammal and determining whether an immune response is induced against the cytokine.

40. The method of claim 29, wherein the co-stimulator is B7-1 (CD80) or B7-2 (CD86) and the cell is tested for ability to costimulate an immune response.

41. The method of any of claims 1-6, wherein the optimized recombinant polynucleotide encodes a cytokine antagonist.

42. The method of claim 41, wherein the cytokine antagonist is selected from the group consisting of a soluble cytokine receptor and a transmembrane cytokine receptor having a defective signal sequence.

43. The method of claim 41, wherein the cytokine antagonist is selected from the group consisting of IL-1 OR and IL-4R.

44. The method of any of claims 1-6, wherein the optimized non-stochastically generated polynucleotide encodes a polypeptide capable of inducing a predominantly T<sub>H</sub>1 immune response.

45. The method of any of claims 1-6, wherein the optimized non-stochastically generated polynucleotide encodes a polypeptide capable of inducing a predominantly  $T_H2$  immune response.

46. The method of any of claims 1-6, wherein said optimized modulatory effect on an immune response is a decrease in an unwanted modulatory effect on an immune response;

whereby application of the method can be used to generate a molecule having a decreased ability to elicit an immune response from a host recipient of said molecule, where said recipient can be a human or an animal host;

and whereby application of the method can thus be used to generate a molecule having decreased antigenicity with respect to at least one host recipient of said molecule.

47. The method of any of claims 1-6, wherein said optimized modulatory effect on an immune response is an increase in a desirable modulatory effect on an immune response;

whereby application of the method can be used to generate a molecule having an increased ability to elicit an immune response from a host recipient of said molecule, where said recipient can be a human or an animal host;

and whereby application of the method can thus be used to generate a molecule having increased antigenicity with respect to at least one host recipient of said molecule.

48. The method of any of claims 1-6, wherein said optimized modulatory effect on an immune response is both a decrease in a first unwanted modulatory effect on an immune response as well as an increase in a second desirable modulatory effect on an immune response;

whereby application of the method can be used to generate a molecule having both a decreased ability to elicit a first immune response from a first host recipient of said molecule as well as a an increased ability to elicit a second immune response from a second host recipient of said molecule;

whereby the first and the second recipient hosts can be the same or different;

whereby each of the first and the second recipient hosts can be a human or an animal host;

and whereby application of the method can thus be used to generate a molecule having both a first decreased antigenicity with respect to at least one host recipient of said molecule and a second decreased antigenicity with respect to at least one host recipient of said molecule.

49. The method of claim 48, wherein said first and said second modulatory effect on an immune response are evolved for respectively a first and a second module on the same multimodule vaccine vector;

whereby a module is exemplified by the following modules, as well as by a fragment derivative or analog thereof: an antigen coding sequence, a polyadenylation sequence, a sequence coding for a co-stimulatory molecule, a sequence coding for an inducible repressor or transactivator, a eukaryotic origin or replication, a prokaryotic origin of replication, a sequence coding for a prokaryotic marker, , and enhancer, a promoter, and operator, and an intron.

50. The method of any of claims 1-6, wherein the optimized modulatory effect on an immune response is comprised of an increase in the stability of the immunomodulatory (IM) polynucleotide or polypeptide encoded thereby;

whereby application of the method can be used to generate a molecule having an increased stability ex vivo, thus, for example, increasing shelf-life and/or ease of storage and/or length of time before expiration of activity upon storage;

and whereby application of the method can also be used to generate a molecule having an increased stability in vivo upon administration to a host recipient, thus, for example, increasing resistance to digestive acids and/or increasing stability in the circulation and/or any other method of elimination or destruction by the host recipient.

51. The method of any of claims 1-6, wherein the immunomodulatory (IM) polynucleotide or polypeptide encoded thereby; has an optimized modulatory effect on an immune response in a human host recipient;

whereby application of the method can thus be used to generate an optimized genetic vaccine for human recipients.

52. The method of any of claims 1-6, wherein the immunomodulatory (IM) polynucleotide or polypeptide encoded thereby; has an optimized modulatory effect on an immune response in an animal host recipient;

whereby application of the method can thus be used to generate an optimized genetic vaccine for animal recipients, including animals that are farmed or raised by man, animals that are not farmed or raised by man, domesticated animals, and non-domesticated animals.

53. A method for obtaining an optimized polynucleotide that encodes an accessory molecule that improves the transport or presentation of antigens by a cell, the method comprising:

- a) creating a library of non-stochastically generated polynucleotides by subjecting to optimization by non-stochastic directed evolution a parental polynucleotide set in which is encoded all or part of the accessory molecule; and
- b) screening the library to identify an optimized non-stochastically generated progeny polynucleotide that encodes a recombinant molecule that confers upon a cell an increased or decreased ability to transport or present an antigen on a surface of the cell compared to an accessory molecule encoded by template polynucleotides not subjected to the non-stochastic reassembly;

whereby application of the method can thus be used to generate an optimized molecule for human recipients &/or animal recipients, including animals that are farmed or raised by man, animals that are not farmed or raised by man, domesticated animals, and non-domesticated animals;

whereby optimization can thus be achieved using one or more of the directed evolution methods as described herein in any combination, permutation, and iterative manner;

whereby these directed evolution methods include the introduction of point mutations by non-stochastic methods, including by "gene site saturation mutagenesis" as described herein;

and whereby these directed evolution methods also include the introduction mutations by non-stochastic polynucleotide reassembly methods as described herein; including by synthetic ligation polynucleotide reassembly as described herein.

54. The method of claim 53, wherein the screening involves:

- i) introducing the library of non-stochastically generated polynucleotides into a genetic vaccine vector that encodes an antigen to form a library of vectors; introducing the library of vectors into mammalian cells; and
- ii) identifying mammalian cells that exhibit increased or decreased immunogenicity to the antigen.

55. The method of claim 53, wherein the accessory molecule comprises a proteasome or a TAP polypeptide.

56. The method of claim 53, wherein the accessory molecule comprises a cytotoxic T-cell inducing sequence.

57. The method of claim 56, wherein the cytotoxic T-cell inducing sequence is obtained from a hepatitis B surface antigen.

58. The method of claim 53, wherein the accessory molecule comprises an immunogenic agonist sequence.



59. A method for obtaining an immunomodulatory polynucleotide that has, an optimized expression in a recombinant expression host, the method comprising:

creating a library of non-stochastically generated progeny polynucleotides from a parental polynucleotide set;

whereby optimization can thus be achieved using one or more of the directed evolution methods as described herein in any combination, permutation and iterative manner;

whereby these directed evolution methods include the introduction of mutations by non-stochastic methods, including by "gene site saturation mutagenesis" as described herein;

and whereby these directed evolution methods also include the introduction mutations by non-stochastic polynucleotide reassembly methods as described herein; including by synthetic ligation polynucleotide reassembly as described herein.

60. A method for obtaining an immunomodulatory polynucleotide that has an optimized expression in a recombinant expression host, the method comprising:

screening a library of non-stochastically generated progeny polynucleotides to identify an optimized non-stochastically generated progeny polynucleotide that has an optimized expression in a recombinant expression host when compared to the expression of a parental polynucleotide from which the library was created.

61. A method for obtaining an immunomodulatory polynucleotide that has an optimized expression in a recombinant expression host, the method comprising:

a) creating a library of non-stochastically generated progeny polynucleotides from a parental polynucleotide set; and

b) screening a library of non-stochastically generated progeny polynucleotides to identify an optimized non-stochastically generated progeny polynucleotide that has an optimized expression in a recombinant expression host when compared to the expression of a parental polynucleotide from which the library was created;

whereby optimization can thus be achieved using one or more of the directed evolution methods as described herein in any combination, permutation, and iterative manner;

whereby these directed evolution methods include the introduction of point mutations by non-stochastic methods, including by "gene site saturation mutagenesis" as described herein;

and whereby these directed evolution methods also include the introduction mutations by non-stochastic polynucleotide reassembly methods as described herein; including by synthetic ligation polynucleotide reassembly as described herein.

62. The method of any of claims 59-61, wherein the recombinant expression host is a prokaryote.

63. The method of any of claims 59-61, wherein the recombinant expression host is a eukaryote.

64. The method of claim 63, wherein the recombinant expression host is a plant.

65. The method of any of claims 64, wherein the recombinant expression host is a monocot.

66. The method of any of claims 64, wherein the recombinant expression host is a dicot.

67. The method of any of claims 1-6, 53, or 59-61, wherein creating a library of non-stochastically generated progeny polynucleotides from a parental polynucleotide set is comprised of subjecting the parental polynucleotide set to "gene site saturation mutagenesis" as described herein.

68. The method of any of claims 1-6, 53, or 59-61, wherein creating a library of non-stochastically generated progeny polynucleotides from a parental polynucleotide set is comprised of subjecting the parental polynucleotide set to "synthetic ligation polynucleotide reassembly" as described herein.

69. The method of any of claims 1-6, 53, or 59-61, wherein creating a library of non-stochastically generated progeny polynucleotides from a parental polynucleotide set is comprised of subjecting the parental polynucleotide set to both "gene site saturation mutagenesis" as described herein, and to "synthetic ligation polynucleotide reassembly" as described herein.

70. A method of producing a progeny polynucleotide set by subjecting a double-stranded circular parental polynucleotide molecule to mutagenesis, said method comprising the steps of:

a) annealing a first primer and a second primer to said parental polynucleotide molecule;

wherein said first primer is comprised of a first primer sequence that is complementary to a first annealment region of the parental polynucleotide molecule,

wherein said second primer is comprised of a second primer sequence that is complementary to a second annealment region of the parental polynucleotide molecule,

wherein said first annealment region and said second annealment region are non-overlapping and therefore staggered,

and wherein at least one of said first and second primers contains a non-stochastic mutagenic cassette with respect to the parental polynucleotide molecule; and

b) synthesizing by means of a polymerase-catalyzed amplification reaction a first progeny polynucleotide strand comprised of said first primer and a second progeny polynucleotide strand comprised of said second primer;

wherein the first progeny polynucleotide strand and the second progeny polynucleotide strand may form a double-stranded mutagenized circular polynucleotide product.

71. A method of producing a progeny polynucleotide set by subjecting a double-stranded circular parental polynucleotide molecule to mutagenesis, said method comprising the steps of:

a) annealing a first primer and a second primer to said parental polynucleotide molecule;

wherein said first primer is comprised of a first primer sequence that is complementary to a first annealment region of the parental polynucleotide molecule,  
wherein said second primer is comprised of a second primer sequence that is complementary to a second annealment region of the parental polynucleotide molecule,  
wherein said first annealment region and said second annealment region are non-overlapping and therefore staggered,  
wherein at least one of said first and second primers contains a non-stochastic mutagenic cassette with respect to the parental polynucleotide molecule, and  
wherein said non-stochastic mutagenic cassette contained in said at least one primer is degenerate in nature; and

b) synthesizing by means of a polymerase-catalyzed amplification reaction a first progeny polynucleotide strand comprised of said first primer and a second progeny polynucleotide strand comprised of said second primer;

wherein the first progeny polynucleotide strand and the second progeny polynucleotide strand may form a double-stranded mutagenized circular polynucleotide product;

whereby the generation of a degenerate progeny polynucleotide set may be achieved by applying said method.

72. A method for producing from a template polypeptide a set of progeny polypeptides in which a non-stochastic range of single amino acid substitutions is represented at each amino acid position, comprising the steps of:

a) subjecting a codon-containing template polynucleotide to polymerase-based amplification using a degenerate oligonucleotide for each codon to be mutagenized, wherein each of said degenerate oligonucleotides is comprised of a

first homologous sequence and a degenerate trinucleotide cassette, so as to generate a set of progeny polynucleotides; and

b) subjecting said set of progeny polynucleotides to clonal amplification such that polypeptides encoded by the progeny polynucleotides are expressed;

whereby, said method provides a means for generating a predetermined number of amino acids to be represented at each amino acid site along a parental polypeptide template, up to as many as all 20 amino acids at each of said amino acid sites.

73. The method of claim 72, wherein said degenerate oligonucleotide is comprised of a first homologous sequence, a degenerate trinucleotide cassette, and a second homologous sequence.

74. The method of claim 72, wherein said degenerate trinucleotide cassette is comprised of a first mononucleotide cassette selected from the group consisting of:

- a degenerate A/C mononucleotide cassette,
- a degenerate A/G mononucleotide cassette,
- a degenerate A/T mononucleotide cassette,
- a degenerate C/G mononucleotide cassette,
- a degenerate C/T mononucleotide cassette,
- a degenerate G/T mononucleotide cassette,
- a degenerate C/G/T mononucleotide cassette,
- a degenerate A/G/T mononucleotide cassette,
- a degenerate A/C/T mononucleotide cassette,
- a degenerate A/C/G mononucleotide cassette,
- and a degenerate N or A/C/G/T mononucleotide cassette;

and wherein said degenerate trinucleotide cassette is further comprised of a second and a third mononucleotide cassette, each selected from the group consisting of:

- a degenerate A/C mononucleotide cassette,
- a degenerate A/G mononucleotide cassette,
- a degenerate A/T mononucleotide cassette,
- a degenerate C/G mononucleotide cassette,
- a degenerate C/T mononucleotide cassette,
- a degenerate G/T mononucleotide cassette,
- a degenerate C/G/T mononucleotide cassette
- a degenerate A/G/T mononucleotide cassette,
- a degenerate A/C/T mononucleotide cassette,
- a degenerate A/C/G mononucleotide cassette,
- a degenerate N or A/C/G/T mononucleotide cassette,
- a non-degenerate A mononucleotide cassette,
- a non-degenerate C mononucleotide cassette,
- a non-degenerate G mononucleotide cassette,
- and a non-degenerate T mononucleotide cassette.

75. The method of claim 72, where said degenerate trinucleotide cassette is selected from the group consisting of:

- a degenerate N,N,N trinucleotide cassette,
- a degenerate N,N,G/T trinucleotide cassette,
- a degenerate N,N,G/C trinucleotide cassette,
- a degenerate N,N,A/C/G trinucleotide cassette,
- a degenerate N,N,A/G/T trinucleotide cassette,
- and a degenerate N,N,C/G/T trinucleotide cassette;

whereby, said method provides a means for generating all 20 amino acid changes at each amino acid site along a parental polypeptide template, because the degeneracy of the specified trinucleotide cassette sequences includes codons for all 20 amino acids.

76. The method of claim 72, wherein said degenerate oligonucleotide is comprised of a first homologous sequence and a plurality of trinucleotide cassettes;

whereby, said method provides a means for generating a progeny polypeptide having a plurality of concurrent single amino acid changes with respect to a parental polypeptide template.

77. The method of claim 76, wherein each of said degenerate trinucleotide cassettes is comprised of a first mononucleotide cassette selected from the group consisting of:

- a degenerate A/C mononucleotide cassette,
- a degenerate A/G mononucleotide cassette,
- a degenerate A/T mononucleotide cassette,
- a degenerate C/G mononucleotide cassette,
- a degenerate C/T mononucleotide cassette,
- a degenerate G/T mononucleotide cassette,
- a degenerate C/G/T mononucleotide cassette,
- a degenerate A/G/T mononucleotide cassette,
- a degenerate A/C/T mononucleotide cassette,
- a degenerate A/C/G mononucleotide cassette,



and a degenerate N or A/C/G/T mononucleotide cassette;

and wherein each of said degenerate trinucleotide cassettes is further comprised of a second and a third mononucleotide cassette, each selected from the group of consisting of:

- a degenerate A/C mononucleotide cassette,
- a degenerate A/G mononucleotide cassette,
- a degenerate A/T mononucleotide cassette,
- a degenerate C/G mononucleotide cassette,
- a degenerate C/T mononucleotide cassette,
- a degenerate G/T mononucleotide cassette,
- a degenerate C/G/T mononucleotide cassette
- a degenerate A/G/T mononucleotide cassette,
- a degenerate A/C/T mononucleotide cassette,
- a degenerate A/C/G mononucleotide cassette,
- a degenerate N or A/C/G/T mononucleotide cassette,
- a non-degenerate A mononucleotide cassette,
- a non-degenerate C mononucleotide cassette,
- a non-degenerate G mononucleotide cassette,
- and a non-degenerate T mononucleotide cassette.

78. The method of claim 76, where said degenerate trinucleotide cassette is selected from the group consisting of:

- a degenerate N,N,N trinucleotide cassette,
- a degenerate N,N,G/T trinucleotide cassette,
- a degenerate N,N,G/C trinucleotide cassette,
- a degenerate N,N,A/C/G trinucleotide cassette,

a degenerate N,N,A/G/T trinucleotide cassette,  
and a degenerate N,N,C/G/T trinucleotide cassette;

whereby, said method provides a means for generating all 20 amino acid changes at each amino acid site along a parental polypeptide template, because the degeneracy of the specified trinucleotide cassette sequences includes codons for all 20 amino acids.

79. The method of claim 72, wherein said degenerate oligonucleotide is comprised of a first homologous sequence, and a plurality of trinucleotide cassettes, and a second homologous sequence.

80. A method for producing from a template polypeptide a set of progeny polypeptides in which a non-stochastic range of single amino acid substitutions is represented at each amino acid position, and for identifying desirable amino acid substitutions and combinations thereof among the progeny molecules, comprising the steps of:

- a) subjecting a codon-containing template polynucleotide to polymerase-based amplification using a degenerate oligonucleotide cassette for each codon to be mutagenized, wherein each of said degenerate oligonucleotides is comprised of a first homologous sequence and a degenerate trinucleotide cassette, so as to generate a set of progeny polynucleotides; and
- b) subjecting said set of progeny polynucleotides to clonal amplification such that polypeptides encoded by the progeny polynucleotides are expressed; and

c) subjecting said expressed progeny polypeptides to screening in order to compare them to the parental polynucleotide with respect to at least one molecular property of interest;

whereby, said method provides a means for generating a predetermined number of amino acids to be represented at each amino acid site along a parental polypeptide template, up to as many as all 20 amino acids at each of said amino acid sites; and

whereby, said method provides a means for identifying among said progeny polypeptides those that display a desirable change with respect to at least one molecular property when compared with its parental polypeptide.

81. The method of claim 80, wherein said degenerate trinucleotide cassette is comprised of a first nucleotide selected from the group consisting of:

- a degenerate A/C mononucleotide cassette,
- a degenerate A/G mononucleotide cassette,
- a degenerate A/T mononucleotide cassette,
- a degenerate C/G mononucleotide cassette,
- a degenerate C/T mononucleotide cassette,
- a degenerate G/T mononucleotide cassette,
- a degenerate C/G/T mononucleotide cassette,
- a degenerate A/G/T mononucleotide cassette,
- a degenerate A/C/T mononucleotide cassette,
- a degenerate A/C/G mononucleotide cassette,
- and a degenerate N or A/C/G/T mononucleotide cassette;

and wherein said degenerate trinucleotide cassette is further comprised of a second and a third mononucleotide cassette, each selected from the group consisting of:

a degenerate A/C mononucleotide cassette,  
a degenerate A/G mononucleotide cassette,  
a degenerate A/T mononucleotide cassette,  
a degenerate C/G mononucleotide cassette,  
a degenerate C/T mononucleotide cassette,  
a degenerate G/T mononucleotide cassette,  
a degenerate C/G/T mononucleotide cassette  
a degenerate A/G/T mononucleotide cassette,  
a degenerate A/C/T mononucleotide cassette,  
a degenerate A/C/G mononucleotide cassette,  
a degenerate N or A/C/G/T mononucleotide cassette,  
a non-degenerate A mononucleotide cassette,  
a non-degenerate C mononucleotide cassette,  
a non-degenerate G mononucleotide cassette,  
and a non-degenerate T mononucleotide cassette.

82. The method of claim 80, where said degenerate trinucleotide cassette is selected from the group consisting of:

a degenerate N,N,N trinucleotide cassette,  
a degenerate N,N,G/T trinucleotide cassette,  
a degenerate N,N,G/C trinucleotide cassette,  
a degenerate N,N,A/C/G trinucleotide cassette,  
a degenerate N,N,A/G/T trinucleotide cassette,  
and a degenerate N,N,C/G/T trinucleotide cassette;

whereby, said method provides a means for generating all 20 amino acid changes at each amino acid site along a parental polypeptide template, because the

degeneracy of the specified trinucleotide cassette sequences includes codons for all 20 amino acids.

83. The method of claim 80, wherein said degenerate oligonucleotide is comprised of a first homologous sequence and a plurality of trinucleotide cassettes;

whereby, said method provides a means for generating a progeny polypeptide having a plurality of concurrent single amino acid changes with respect to a parental polypeptide template.

84. The method of claim 80, wherein each of said degenerate trinucleotide cassettes is comprised of a first mononucleotide cassette selected from the group consisting of:

- a degenerate A/C mononucleotide cassette,
- a degenerate A/G mononucleotide cassette,
- a degenerate A/T mononucleotide cassette,
- a degenerate C/G mononucleotide cassette,
- a degenerate C/T mononucleotide cassette,
- a degenerate G/T mononucleotide cassette,
- a degenerate C/G/T mononucleotide cassette,
- a degenerate A/G/T mononucleotide cassette,
- a degenerate A/C/T mononucleotide cassette,
- a degenerate A/C/G mononucleotide cassette,
- and a degenerate N or A/C/G/T mononucleotide cassette;

and wherein each of said degenerate trinucleotide cassettes is further comprised of a second and a third mononucleotide cassette, each selected from the group consisting of:

- a degenerate A/C mononucleotide cassette,
- a degenerate A/G mononucleotide cassette,
- a degenerate A/T mononucleotide cassette,
- a degenerate C/G mononucleotide cassette,
- a degenerate C/T mononucleotide cassette,
- a degenerate G/T mononucleotide cassette,
- a degenerate C/G/T mononucleotide cassette
- a degenerate A/G/T mononucleotide cassette,
- a degenerate A/C/T mononucleotide cassette,
- a degenerate A/C/G mononucleotide cassette,
- a degenerate N or A/C/G/T mononucleotide cassette,
- a non-degenerate A mononucleotide cassette,
- a non-degenerate C mononucleotide cassette,
- a non-degenerate G mononucleotide cassette,
- and a non-degenerate T mononucleotide cassette.

85. The method of claim 80, where said degenerate trinucleotide cassette is selected from the group consisting of:

- a degenerate N,N,N trinucleotide cassette,
- a degenerate N,N,G/T trinucleotide cassette,
- a degenerate N,N,G/C trinucleotide cassette,
- a degenerate N,N,A/C/G trinucleotide cassette,
- a degenerate N,N,A/G/T trinucleotide cassette,
- and a degenerate N,N,C/G/T trinucleotide cassette;

whereby, said method provides a means for generating all 20 amino acid changes at each amino acid site along a parental polypeptide template, because the degeneracy of the specified trinucleotide cassette sequences includes codons for all 20 amino acids.

# Exo III Generated Structures

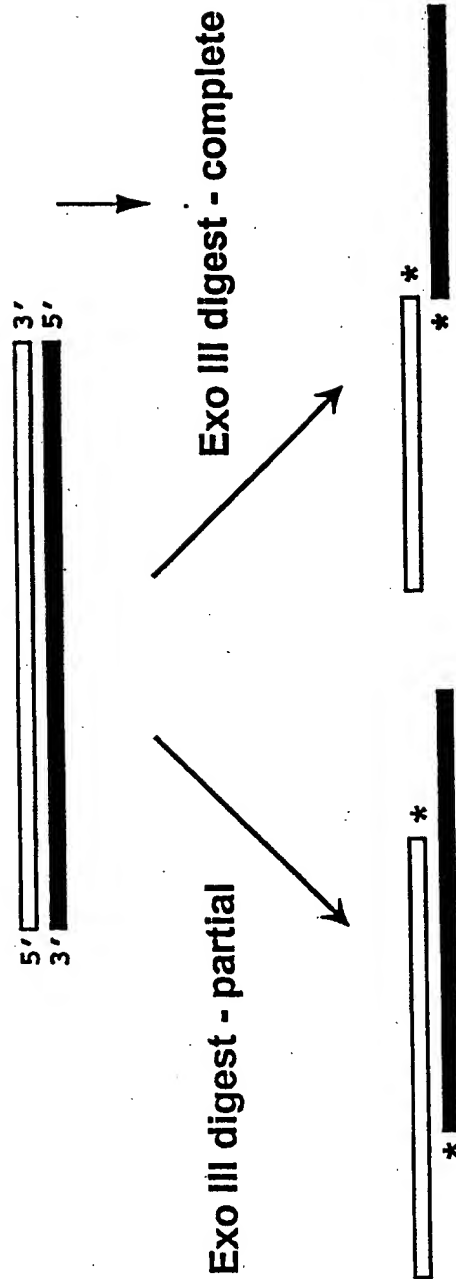
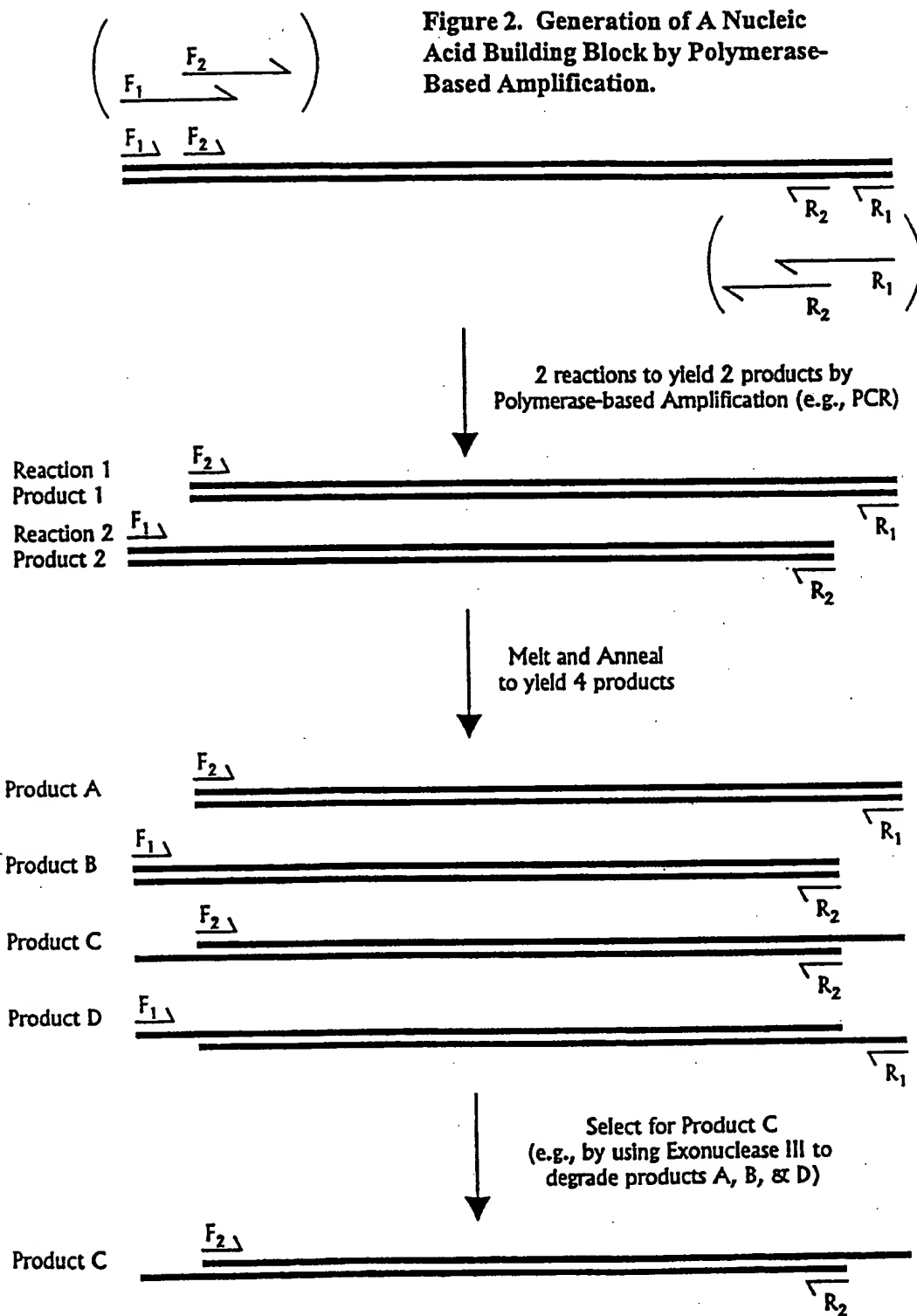


Figure 1

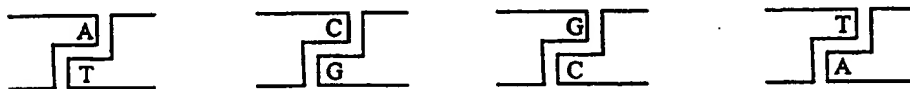




### FIGURE 3. Unique Overhangs And Unique Couplings.

The number of unique overhangs of each size (e.g. the total number of unique overhangs composed of 1 or 2 or 3, etc. nucleotides) exceeds the number of unique couplings that can result from the use of all the unique overhangs of that size. For example, the total number of unique couplings that can be made using all the 8 unique single-nucleotide 3' overhangs and single-nucleotide 5' overhangs is 4.

**PANEL A.** 4 unique single-nucleotide 3' overhangs are possible (i.e., A, C, G, & T). For each of these there is a complementary 3' overhang with which it can pair (i.e., T, G, C, & A, respectively), as shown.



**PANEL B.** However, the number of unique single-nucleotide 3' overhangs is greater than the number of unique couplings. Thus, only 2 intrinsically unique couplings exist using single-nucleotide 3' overhangs as shown.



**PANEL C.** Likewise, 4 unique-single nucleotide 5' overhangs are possible (i.e., A, C, G, & T). For each of these there is a complementary 5' overhang with which it can pair (i.e., T, G, C, & A, respectively), as shown.



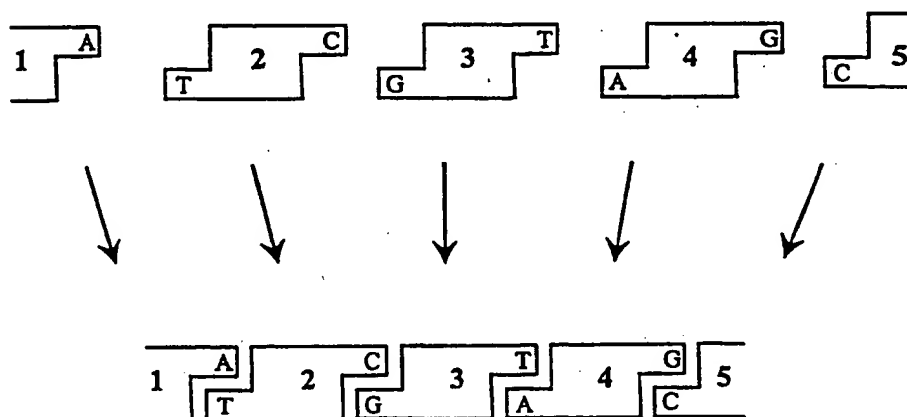
**PANEL D.** However, the number of unique single-nucleotide 5' overhangs is greater than the number of unique couplings. Thus, only 2 intrinsically unique couplings exist using single-nucleotide 5' overhangs as shown.



**FIGURE 4. Unique Overall Assembly Order Achieved by Sequentially Coupling the Building Blocks**

Awareness of the degeneracy (between the number of unique overhangs and the number of unique couplings) is important in order to avoid the production of degeneracy in the overall assembly order of the finalized nucleic acid. However, a unique overall assembly order can also be achieved - despite the use of non-unique couplings - by using building blocks having distinct combinations of couplings, and/or by stepping the assembly of the building blocks in a deliberately chosen sequence.

**PANEL A.** For example, one could attempt to assemble the following nucleic acid product using the 5 nucleic acid building blocks as shown.

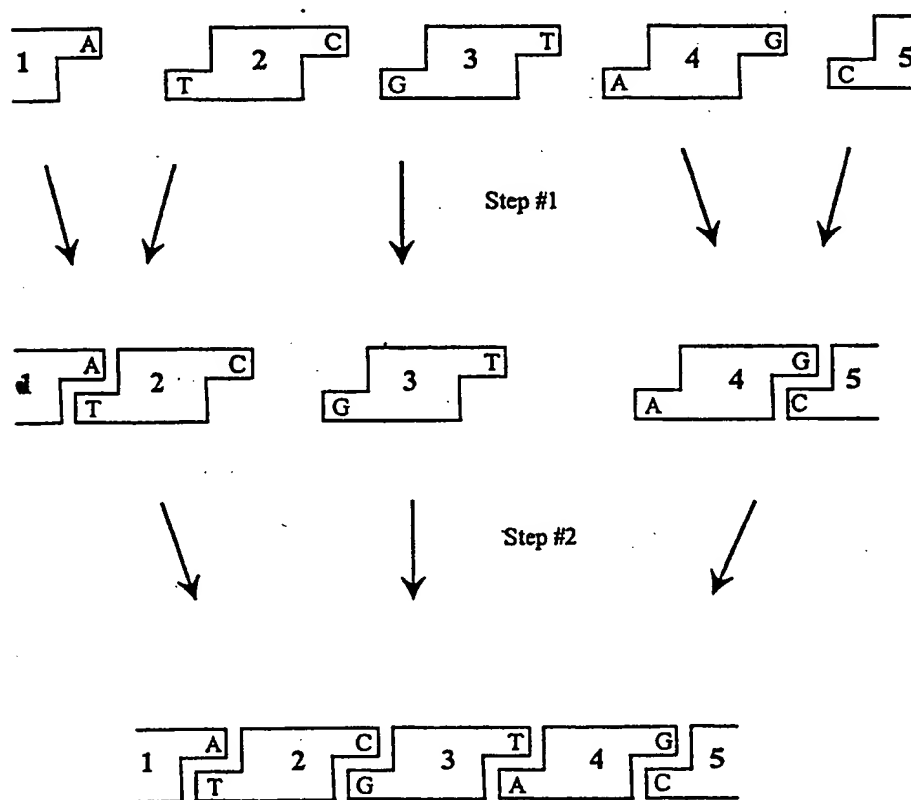


**PANEL B.** However, degeneracy in the overall assembly order of the 5 nucleic acid building blocks would be present if the assembly process were carried out in one step. For example, building block #2 and building block #3 could both couple to building block #1 as shown.



FIGURE 4 cont.

PANEL C. However, a unique overall assembly order could be achieved by sequentially coupling the building blocks in 2 steps (rather than all at once) as shown.



**Figure 5. Unique Couplings Available Using a Two-Nucleotide 3' Overhang.**

16 unique 3' overhangs can be formed using two-nucleotides. However, use of these 16 unique overhangs allows for the formation of only 6 unique couplings. Another 6 unique couplings are provided by the use 5' overhangs formed using two-nucleotides. Thus, a total of 12 unique couplings are provided by the combined use of 3' and 5' two-nucleotide overhangs. "Twin" couplings are marked in the same shading.

		TOP STRAND 2 <sup>ND</sup> Overhanging Nucleotide (counting from 5' to 3')					
		A	C	G	T		
TOP STRAND 1 <sup>ST</sup> Overhanging Nucleotide (counting from 5' to 3')	A					BOTTOM STRAND 2 <sup>ND</sup> Overhanging Nucleotide (counting from 5' to 3')	T
	C						G
	G						C
	T						A
		T	G	C	A		
		BOTTOM STRAND 1 <sup>ST</sup> Overhanging Nucleotide (counting from 5' to 3')					

Figure 6. Generation of an Exhaustive Set of Chimeric Combinations by Synthetic Ligation Reassembly.

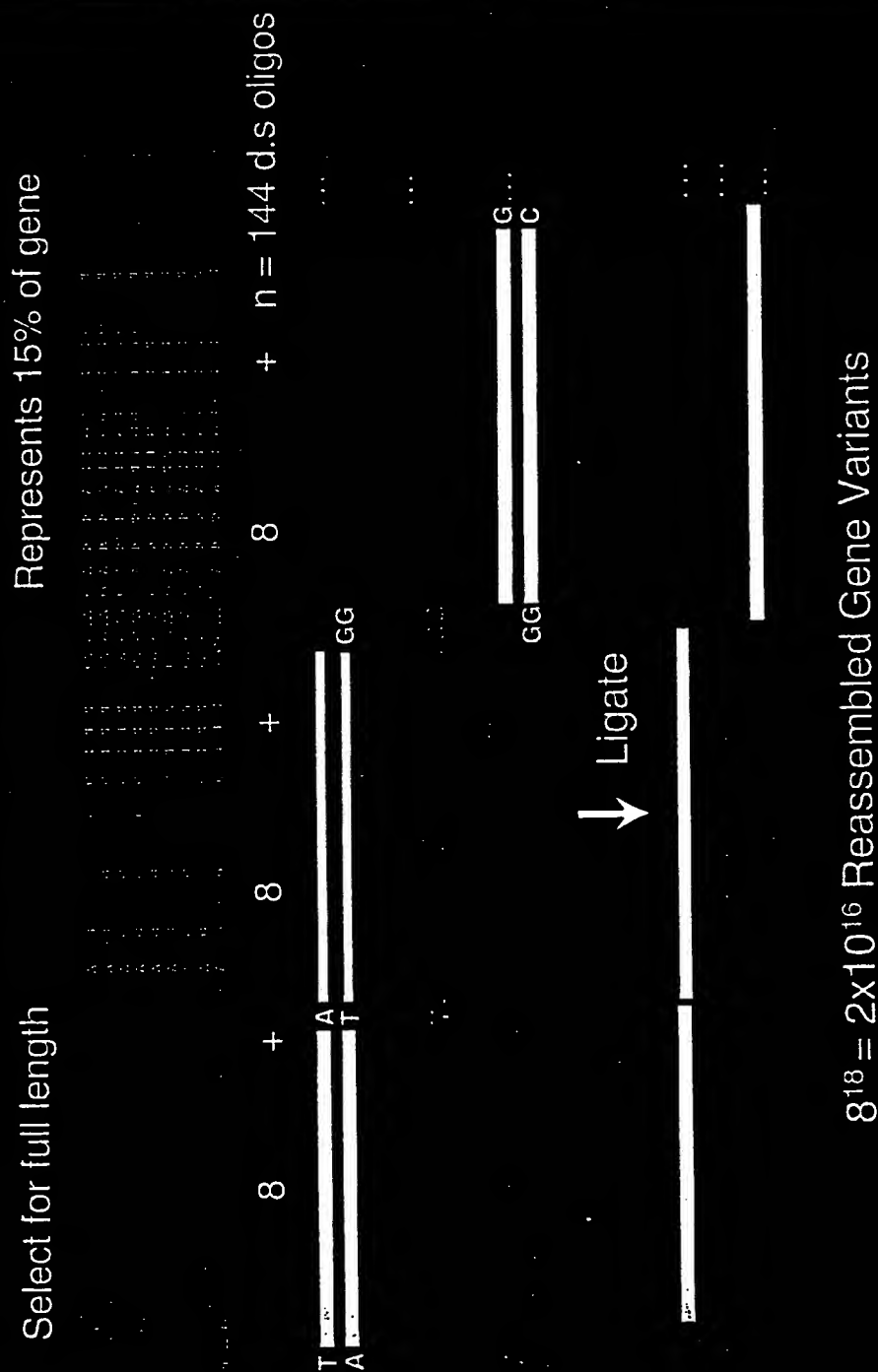


Figure 7. Synthetic genes from oligos.

	NcoI					CCGT
150am13_00	c	ATGATGCACG	GCGATATTTT	ATCGAGCAAT	GACACGGTCG	GCGTTGCCGT
150AM7_001	c	ATGCATCACG	GCGACATTTT	ATCGAGCAAT	GACACGGTCG	GCGTTGCCGT
431am7_002	c	ATGAGACACG	GAGATATCTC	CAGCAGCAAC	GATTGCGTGG	GCGTGGCCGT
					GAG GT	
150am13_00		CGTGAACCTAC	AAGATGCCCTC	GCCTTCATAC	CAAGGCGGAG	GTTTTAGCGA
150AM7_001		CGTGAACCTAC	AAGATGCCGC	GGCTTCACAC	CAAGGCTGAG	GTGCTGGCCA
431am7_002		CGTGAACCTAC	AAGATGCCGC	GGCTGCATAC	CCGCGCGGAG	GTGATGGAGA
					CGG	
150am13_00		ACGCCAGAAA	GATCGGCGAG	ATGATCGTCG	GCATGAAGAC	CGGCTGCCC
150AM7_001		ACTGCCGCAA	GATCGCCGAC	ATGCTGGTCG	GCATGAAGAG	CGGCTGCCC
431am7_002		ACGCCCGCAA	GATCGCCGAC	ATGGTCGTGG	GCATGAAGCG	CGGCTGCCC
					CCACG	
150am13_00		GGAATGGATC	TGGTGATCTT	CCCAGGAATAT	TCGACCCACG	GCATCATGTA
150AM7_001		GGAATGGATC	TGGTGATCTT	CCCAGGAATAT	TCCACCCACG	GCATCATGTA
431am7_002		GGCATGGACC	TGGTCATCTT	CCCCGAGTAC	TCCACCCACG	GCATCATGTA
					CCC GG	
150am13_00		CGACTCCAAG	GAAATGTACG	ATACCGCGTC	CGTCGTGCC	GGCGAGGAGA
150AM7_001		CGACTCCAAG	GAGATGTACG	ACACGGCGTC	GACGGTGCCG	GGTGAAGAGA
431am7_002		CGACGCCAAG	GAAATGTACG	AAACCGCTTC	GGCCATGCCG	GGCGAAGAGA
					G GGG	
150am13_00		CCGAGATTTT	TGCCGAAGCC	TGCCGCAAGG	CGAAAGTCTG	GGGCGTGTTT
150AM7_001		CCGAGATTTT	CGCCGAGGCC	TGCCGCAAGG	CCAAGGTCIG	GGGCGTGTTT
431am7_002		CTGCTGTGTT	CGCCGACGCC	TGCCGCAAGG	CCAACGTATG	GGGCGTGTTT
					AAAG C	
150am13_00		TCGCTCACCG	GCGAACGTCA	CGAGGAACAT	CCGAAGAAAGG	CGCCCTACAA
150AM7_001		TCGCTGACCG	GCGAGCGCCA	CGAGGAGCAT	CCCAATAAAG	CGCCGTACAA
431am7_002		TCGCTGACCG	GCGAGCGCCA	CGAAGAGCAC	CCGAACAAAGG	CGCCGTACAA
					CAG AA	
150am13_00		CACGCTGATC	CTGATGAACG	ACAAGGGCGA	GGTGGTCAG	AAATACCGCA
150AM7_001		CACCTGATC	CTGATGAACG	ACAAGGGTGA	AGTCGTTAG	AAATATCGCA
431am7_002		CACGCTCATC	CTGATGAACA	ACAAGGGCGA	GATCGTCAG	AAATACCGCA
					GGTA	
150am13_00		AGATCATGCC	GTGGGTTCGG	ATCGAGGGCT	GGTATCCCGG	CAACTGCACC
150AM7_001		AGATCATGCC	GTGGGTGCCG	ATCGAAGGCT	GGTATCCCGG	CAACTGCACG
431am7_002		AGATCATGCC	CTGGGTGCCG	ATCGAAGGCT	GGTATCCGGG	CGATTGCACC
					TGAAG	
150am13_00		TACGTCTCCG	ACGGGCCGAA	GGGCACTGAAG	GTTTCGCTGA	TCATCTGCCA
150AM7_001		TACGTCTCCG	AAGGCCCGAA	GGGCACTGAAG	ATGTCGCTGA	TCATCTGCCA
431am7_002		TATGTGTCGG	AAGGCCCCAA	GGGACTGAAG	ATCAGCCTCA	TCATCTGCCA
					TCTGGCG	
150am13_00		TGACGGCAAC	TATCCGGAAA	TCTGGCGCGA	CTGCGCCATG	AAGGGCGCCG
150AM7_001		CGACGGCAAC	TATCCGGAAA	TCTGGCGTGA	CTGCGCGATG	AAGGGCGCCG
431am7_002		CGACGGCAAT	TATCCCGAGA	TCTGGCGCGA	TTGCGCCATG	CGCGGCGCCG

Figure 7 cont.

		CCAG			
150am13_00	AGCTGATCGT	GCGCTG	CCAG	GGCTACATGT	ATCCGGCCAA GGACCAGCAG
150AM7_001	AACTGATCAT	CCGCTG	CCAG	GGCTACATGT	ATCCCGCCAA GGATCAGCAG
431am7_002	AGCTGATCGT	GCGTTG	CCAG	GGATACATGT	ACCCGGCCAA GGACCAGCAG
		GC			
150am13_00	GTCATCATGG	CGAAGG	GCAT	GGCGTGGGCG	AATAATTGTT ACGTGCGGGT
150AM7_001	GTGCTGATGG	CGAAAG	CAAT	GGCCTGGGCC	AACAACGTTT ATGTGCGGGT
431am7_002	GTCATGGTGT	CCAAGG	CCAT	GGCGTGGATG	AACAACGTCT ACGTGGCGGT
		GGGCTTCG			
150am13_00	TTCCAATGCC	GCGGGCTTCG		ATGGCGTCTA	TTCGTATTTC GGCCACTCGG
150AM7_001	CGCCAATGCC	TGCGGGCTTCG		ACGGCGTCTA	CTCGTATTTC GGCCATTTCG
431am7_002	GGCCAATGCC	GCGGGCTTCG		ACGGCGTCTA	TTCCTACTTC GGCCATTTCG
		TTCGA			
150am13_00	CGATCATCGG	CTTCGA	TGGC	CGCACGCTCG	GCGAATGCGG CGAGGAAGAA
150AM7_001	CGATCATCGG	CTTCGA	CGGC	CGTACCCCTCG	GCGAATGCGG CGAGGAGGAT
431am7_002	CCATCATCGG	CTTCGA	CGGC	CGCACGCTGG	GCGAATGCGG TGAAGAAGAC
		C AGTA			
150am13_00	TACGGCATCC	AGTA	TGCCCA	GCTTTTCAAG	ATGCTGATCC GCGACGCCCC
150AM7_001	TATGGCATCC	AGTA	TGCCGC	CATCTCCAAG	TCGCTGATCC GCGACGCGCG
431am7_002	ATGGGCGTGC	AGTA	TCGCCA	GCTCTCCACC	AGCCTGATCC GCGACGCGCG
		CAATC			
150am13_00	CCGCACCGGA	CAATC	CGAAA	ACCATCTCTT	CAAGCTGGTG CATCGTGGCT
150AM7_001	CCGCACCGGC	CAATC	CGAAA	ACCATCTCTT	CAAGCTGGTG CACCGTGGCT
431am7_002	CAAGAACATG	CAGTC	CGACA	ACCACTTGTT	CAAGCTGGTG CACCGCGGCT
		GATCAA			
150am13_00	ACACCGGGTT	GATCAA	TCC	GGCGAGGGCG	ACCGCGGTCT CGCGGCCCTGT
150AM7_001	ACACCGGCAT	GATCAA	TCC	GGCGAGGGCG	ACCGCGGTGT CGCGGCTTGC
431am7_002	ACACCGGCAA	GATCAA	TCC	GGCGAAGAGG	CCACCGGCGT CGCGGCATGC
		TTA			
150am13_00	CGTTATGAGT	TCTACA	ACAA	ATGGATCGCC	GATCCGGAAG GCACCCGCGA
150AM7_001	CGTTATGATT	TCTATT	CGAA	ATGGATCGCC	GATCCCGAGG GTACACGCGA
431am7_002	CGTTACAAC	TCTACG	CCAA	CTGGATCAAC	GATCCGGAAG GCACGCGCAA
		ATGGT			
150am13_00	AATGGT	CGAG		TCCTTTACCC	GGCCGACGGT GGGAACCGAT GAAGCGCCCA
150AM7_001	GATGGT	GGAA		TCCTTACGC	GTCCGACGGT GGGTGTGGAG GAATGCCCGA
431am7_002	GATGGT	CGAA		TCCTTACCC	GGTCCACCGT GGGCACGCCG GAGTGCCCA
		TCGAG			
150am13_00	TCGAAGGCAT	CCCGAACAAG		GTCGCGGTGC	ACCGCTGA aagct
150AM7_001	TCGAGGGCAT	TCCGAACAAG		GCCACCACGC	ACCGCTGA aagct
431am7_002	TGGACGGCAT	CCCGAACAAG		GACGCCAAGC	ACCGCTAG aagct
					HindIII



Figure 8. Nucleic acid building blocks for synthetic ligation gene reassembly.

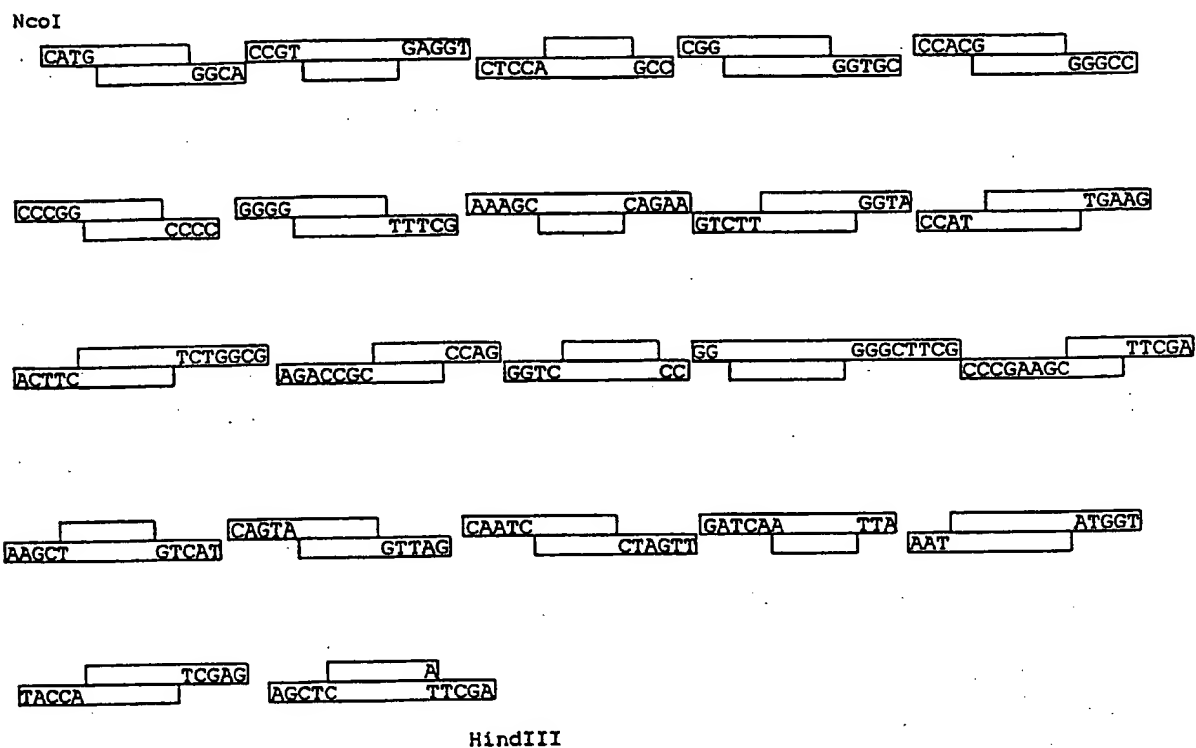
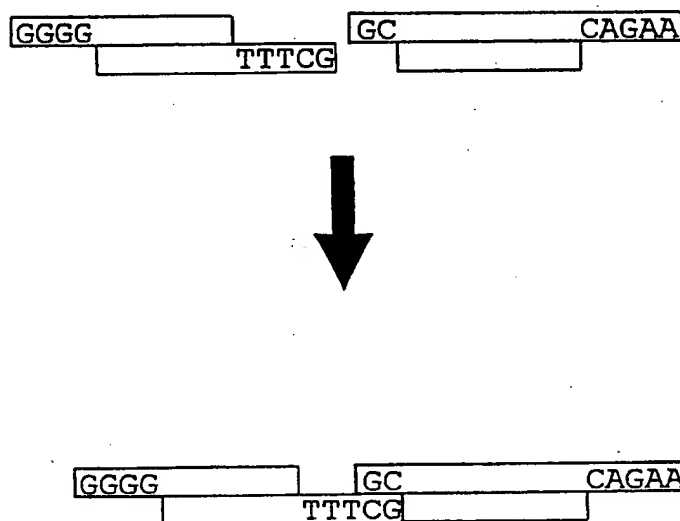


Figure 9. Addition of Introns by Synthetic Ligation Reassembly.



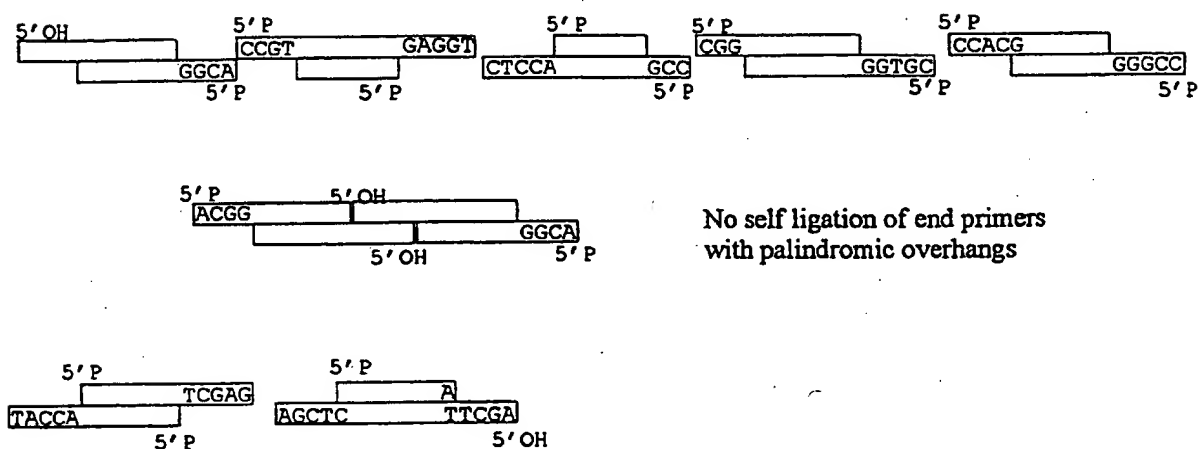
**Figure 10. Ligation Reassembly Using Fewer Than All The Nucleotides Of An Overhang.**

## Gap Ligation



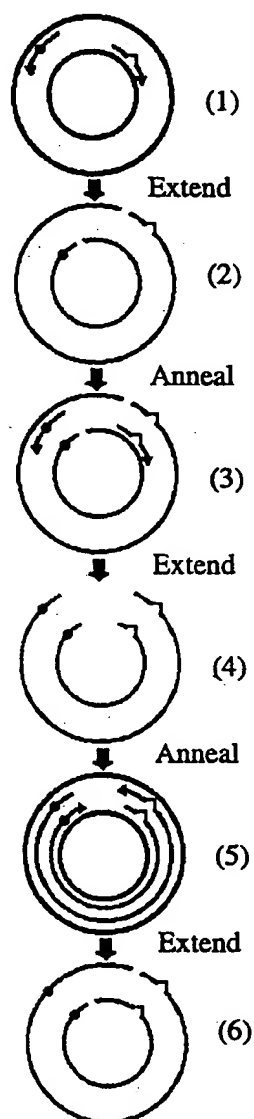
Ligation of one strand only;  
gap in second strand can be repaired in vivo

Figure 11. Avoidance of unwanted self-ligation in palindromic couplings.



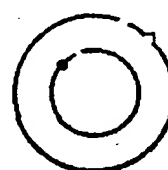
**Figure 12**  
**Site-Directed Mutagenesis by Polymerase-based Extension**

**Panel A**

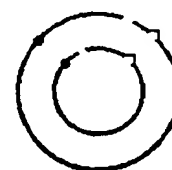


**Panel B**

Amplification products are comprised of the following molecular structures:



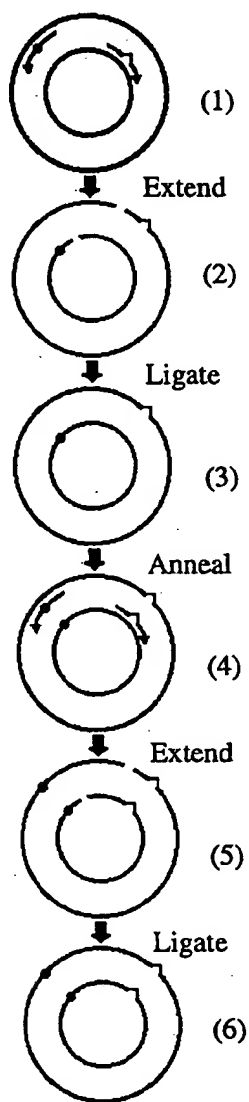
**Molecule (A)**



**Molecule (B)**

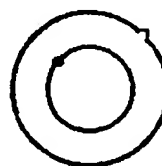
**Figure 13**  
**Site-Directed Mutagenesis By Polymerase-based Extension**  
**and Ligase-based Ligation**

**Panel A**

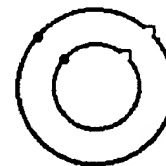


**Panel B**

Amplification products are comprised of  
the following molecular structures:



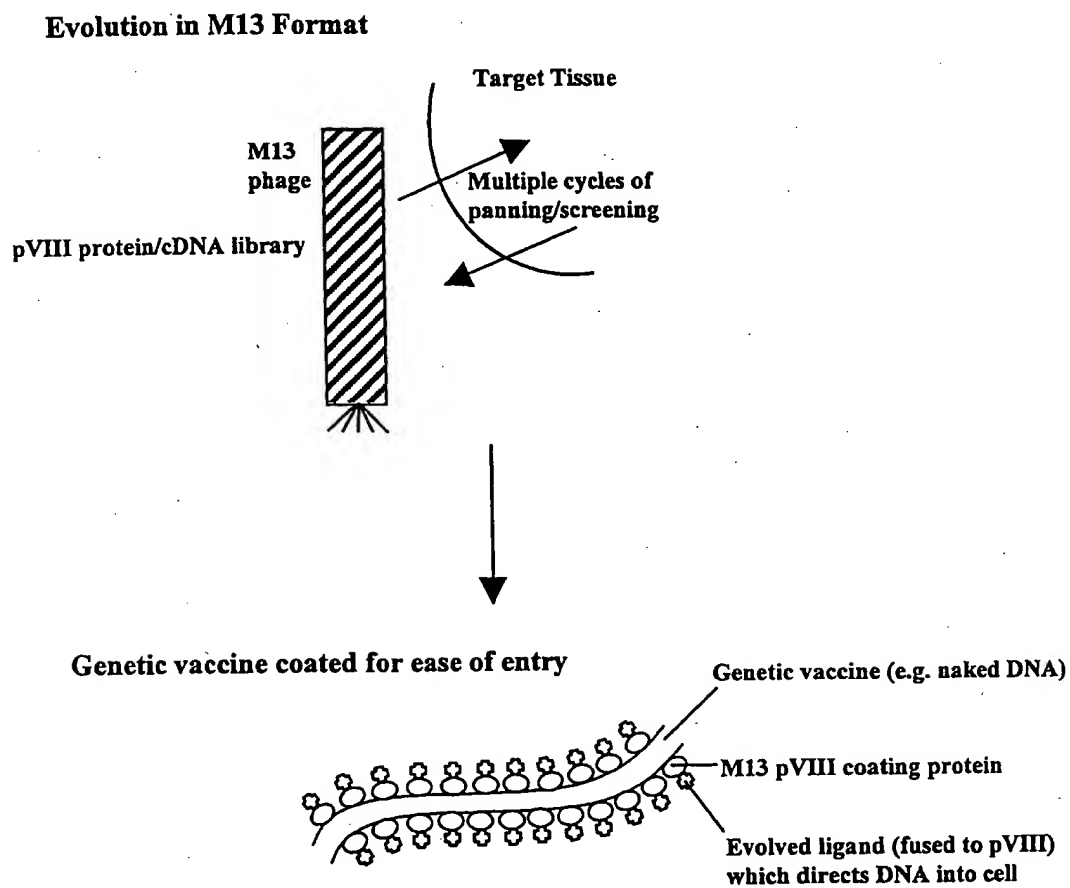
**Molecule (A)**



**Molecule (B)**

**Figure 14**

**Strategy for obtaining and using nucleic acid binding proteins that facilitate entry of genetic vaccines.**



**Figure 15**

**A schematic representation of a method for evolving a chimeric, multivalent antigen that has immunogenic regions from multiple antigens.**

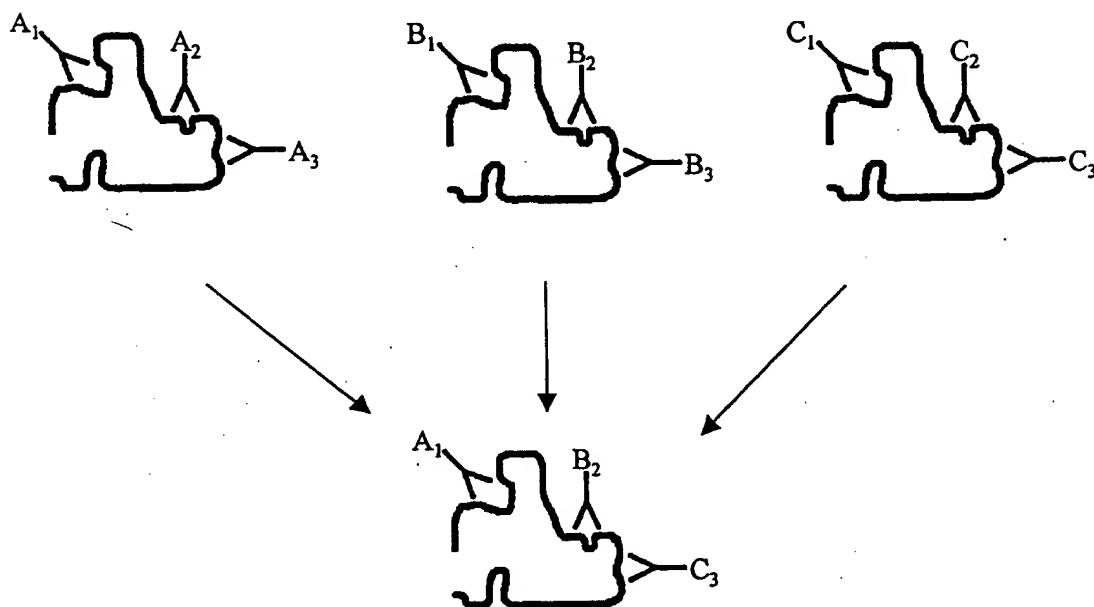




Figure 16

**Method for Obtaining Non-Stochastically Generated Polypeptides that can Induce a Broad-Spectrum Immune Response.**

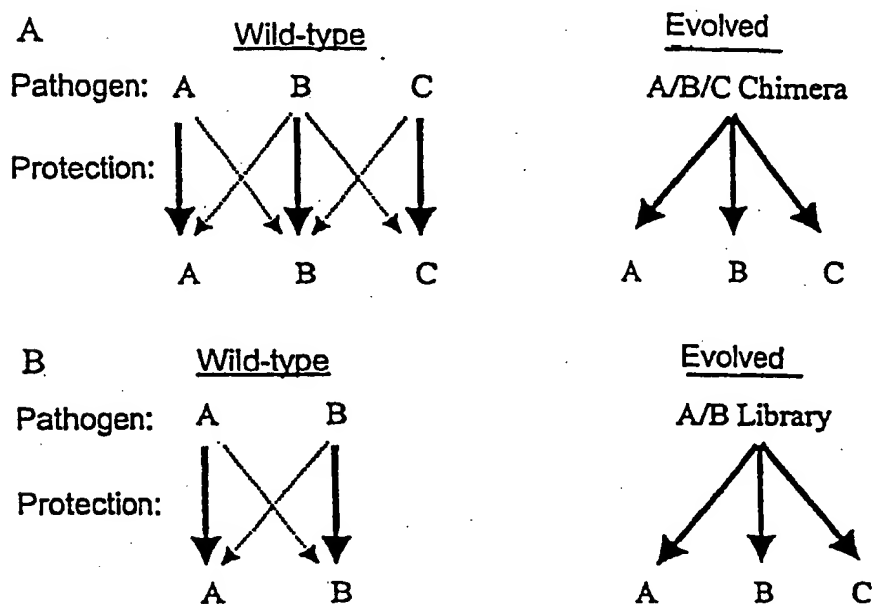


Figure 17

**Possible factors for determining whether a particular polynucleotide encodes an immunogenic polypeptide having a desired property.**

Manufacturing	DNA - mRNA - Protein - Processing	Transcription	+-++-+-+--++-++-++-++	Pool of related antigen genes
		mRNA Stability	++-++-+-+--++-++-++-++	
		Translation	++++-+-+--++-++-++-++	
		Codon Usage	-+-++-+-+--++-++-++-++	
		Protein Folding	+-++-+-+--++-++-++-++	
		Protein Stability	-++-+-+--++-++-++-++	
		B-cell Epitopes	+-++-+-+--++-++-++-++	
		T-cell Epitopes	-+-++-+-+--++-++-++-++	
		Ag Processing	++++-+-+--++-++-++-++	
		TAP Binding	-+-++-+-+--++-++-++-++	
		HSP Binding	++++-+-+--++-++-++-++	
		Pept-ER Transport	+-++-+-+--++-++-++-++	
		Pept-MHC Binding	+-++-+-+--++-++-++-++	
			↓ Screen	
			++++++-++-++-++-++-++	

Figure 18

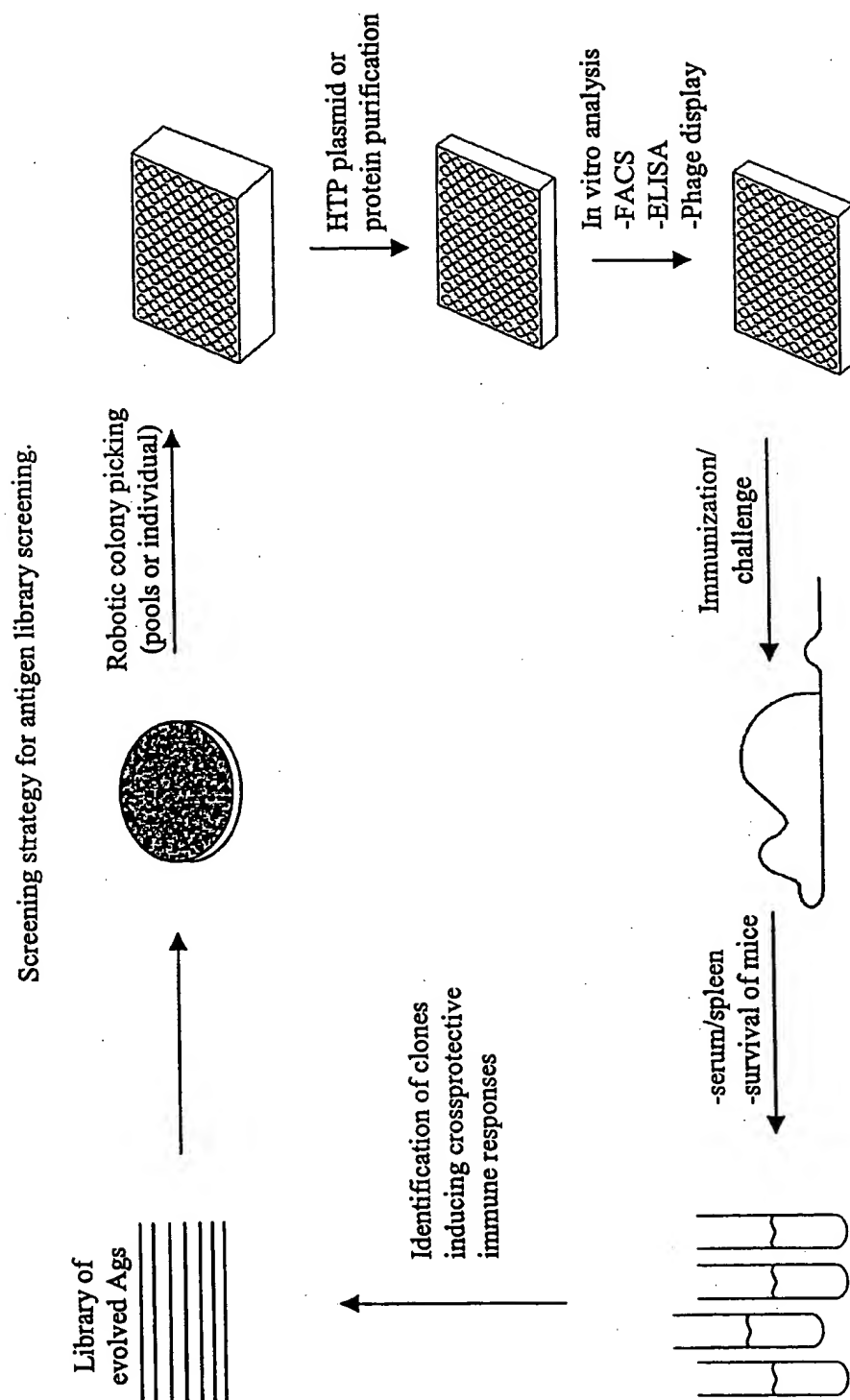


Figure 19  
Strategy for pooling and deconvolution as used in antigen library screening

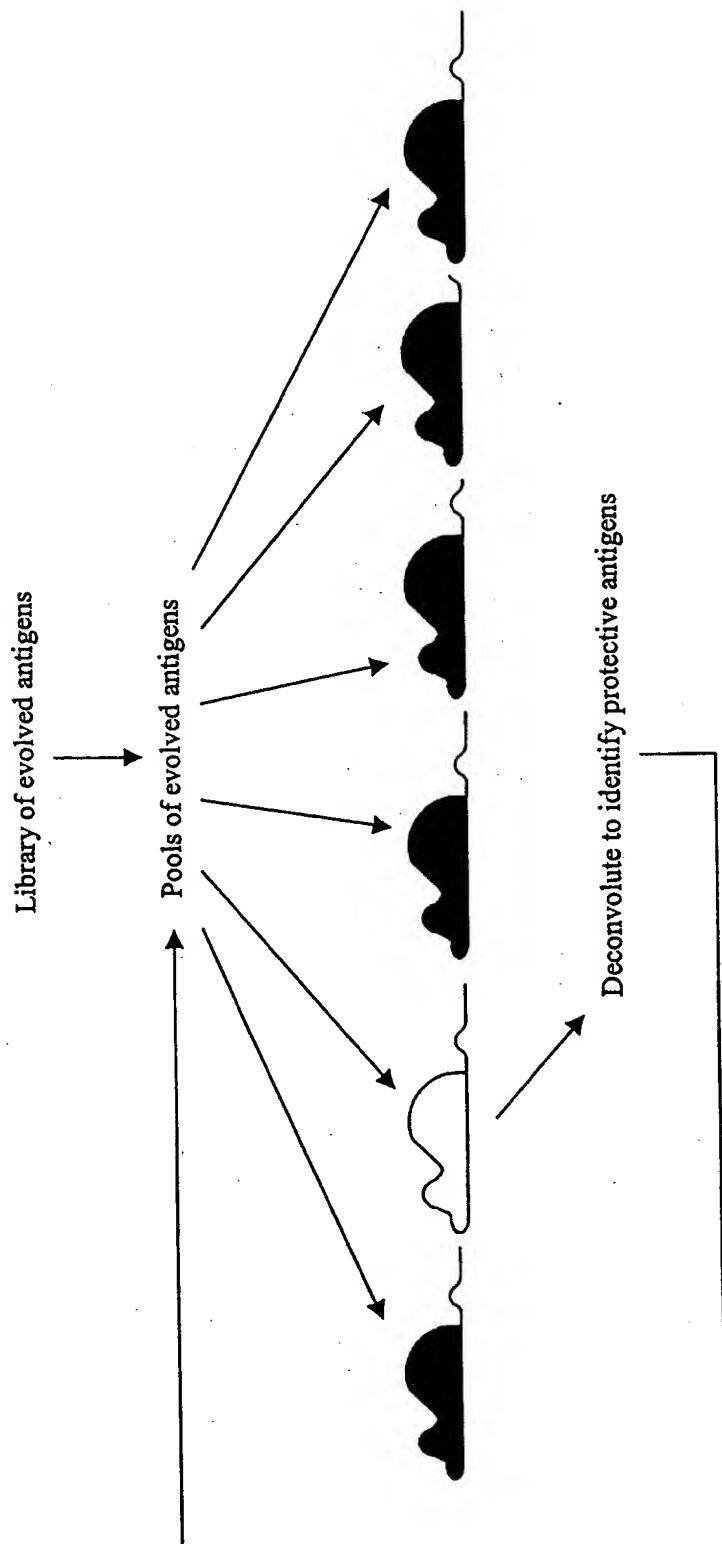
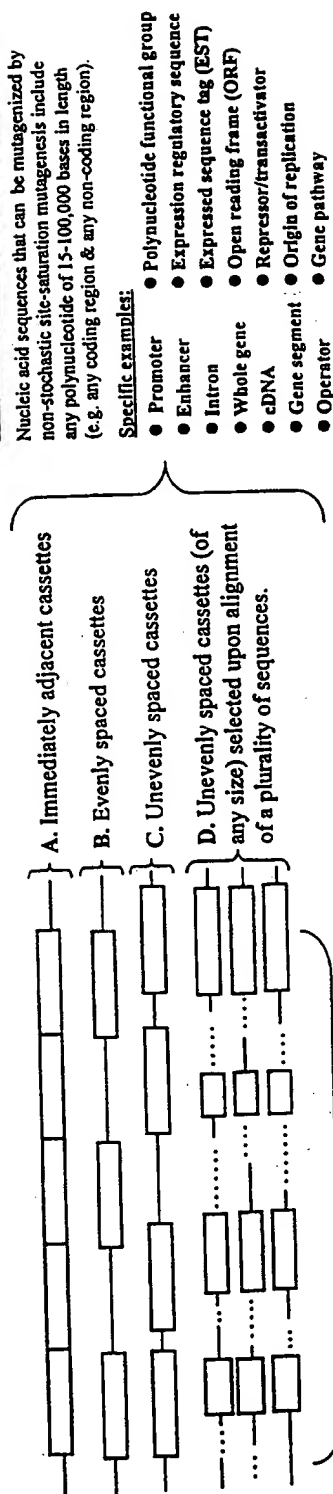


Figure 20. Preferred embodiments of site-saturation mutagenesis

**I. SEQUENCES TO BE MUTAGENIZED**

Nucleic acid sequences that can be mutagenized by non-stochastic site-saturation mutagenesis include any polynucleotide of 15-100,000 bases in length (e.g. any coding region & any non-coding region).

**Specific examples:**

- Promoter
- Enhancer
- Intron
- Whole gene
- cDNA
- Gene segment
- Operator
- Polynucleotide functional group
- Expression regulatory sequence
- Expressed sequence tag (EST)
- Open reading frame (ORF)
- Repressor/transactivator
- Origin of replication
- Gene pathway

**II. MUTAGENIC CASSETTES WITHIN SEQUENCE TO BE MUTAGENIZED ( )**

Mutagenic cassettes that can be mutagenized by non-stochastic site-saturation mutagenesis include any polynucleotide cassette of 1-500 bases in length. Site-saturation mutagenesis is serviceable for mutagenizing a complete set of cassettes contained within a polynucleotide sequence to be mutagenized. As shown, cassettes can be spaced along each polynucleotide differently (i.e. immediately adjacent, evenly spaced, or unevenly spaced) and of any size. In a preferred but non-limiting exemplification a set of mutagenic cassettes is a set of contiguous codons within a sequence of defined length. Alternatively, in another preferred but non-limiting example, a set of mutagenic cassettes is a set of nucleotide cassettes that are not shared by aligned related polynucleotides.

**III. TYPES OF MUTATIONS THAT CAN BE INTRODUCED INTO MUTAGENIC CASSETTES**

The type of mutations to be introduced in a set of mutagenic cassettes can be of the same type or of different types within each round of polynucleotide site-saturation mutagenesis. Each mutagenic cassette (within the nucleic acid sequence to be mutagenized) preferably is usually mutagenized by the use of a corresponding oligo (including by a degenerate oligo). Examples of degenerate mutations provided by this invention include:

- Codons for all 20 amino acids (e.g. N,N,N or N,N,G/T or N,N,G/C)

All degenerate codons that do not change the amino acid sequence of the parental template (i.e. codons for the same amino acid that is present in the parental template)

Codons (all or selected) for amino acids within the same grouping according to the selected amino acid grouping scheme\*.

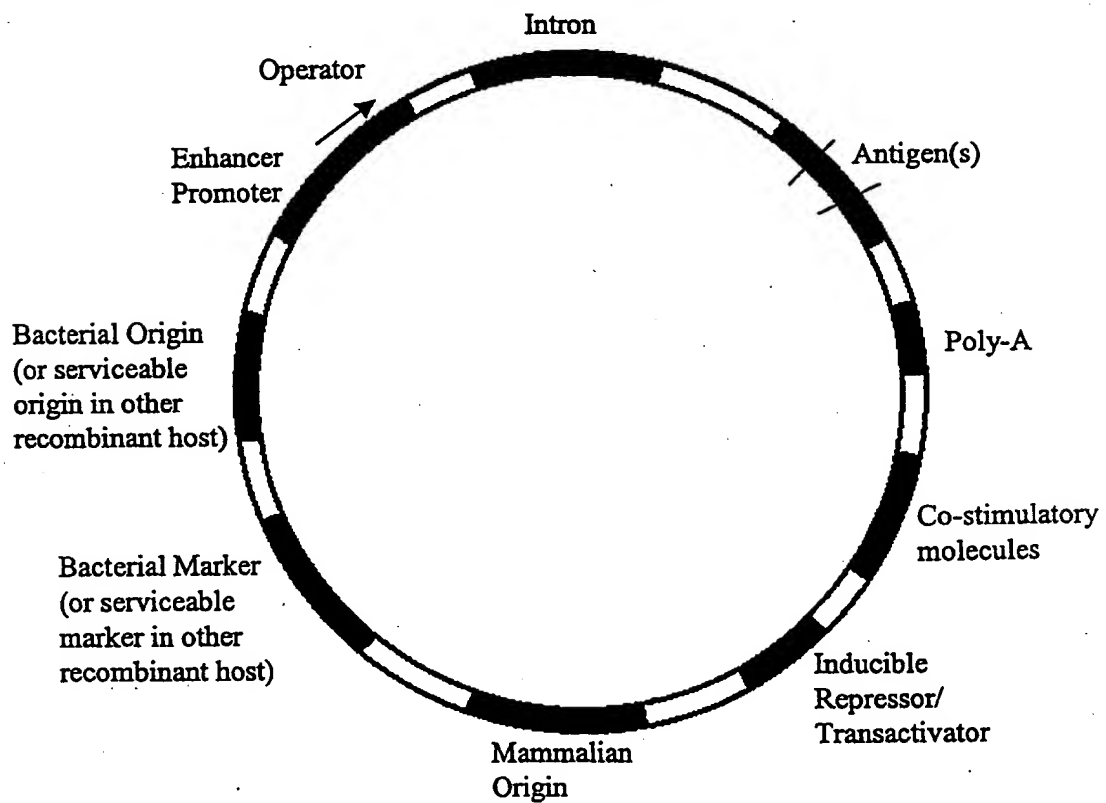
- Codons for at least 1 amino acid in each amino acids group\*.

\*Exemplary amino acid grouping schemes (notes, some groups overlap each other):

- Aromatic (Phe, Trp, Tyr) • Acidic (Asp, Glu, Asn, Gln) • Polar (Ser, Thr, Cys, Asn, Gln, Tyr)
- Aliphatic (Gly, Ala, Val, Leu, Ile) • Basic (Lys, Arg, His) • Non-polar (Gly, Ala, Val, Leu, Ile, Met, Phe, Trp, Pro)
- OH-containing (Ser, Tyr, Thr) • Sulfur-containing (Met, Cys)

**Figure 21**

**Schematic representation of a multimodule genetic vaccine vector  
(relative sizes of functional units are not drawn to scale)**



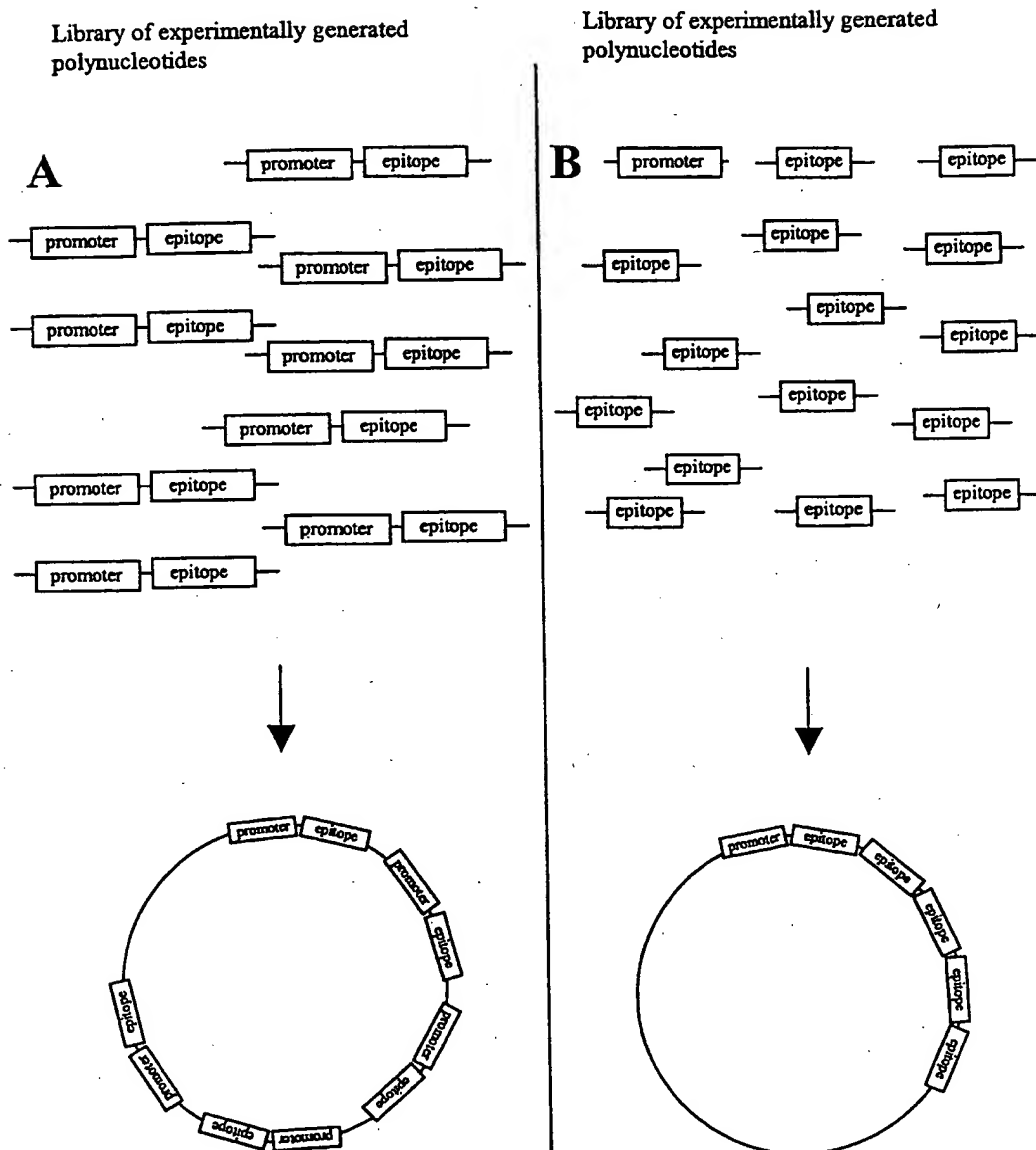
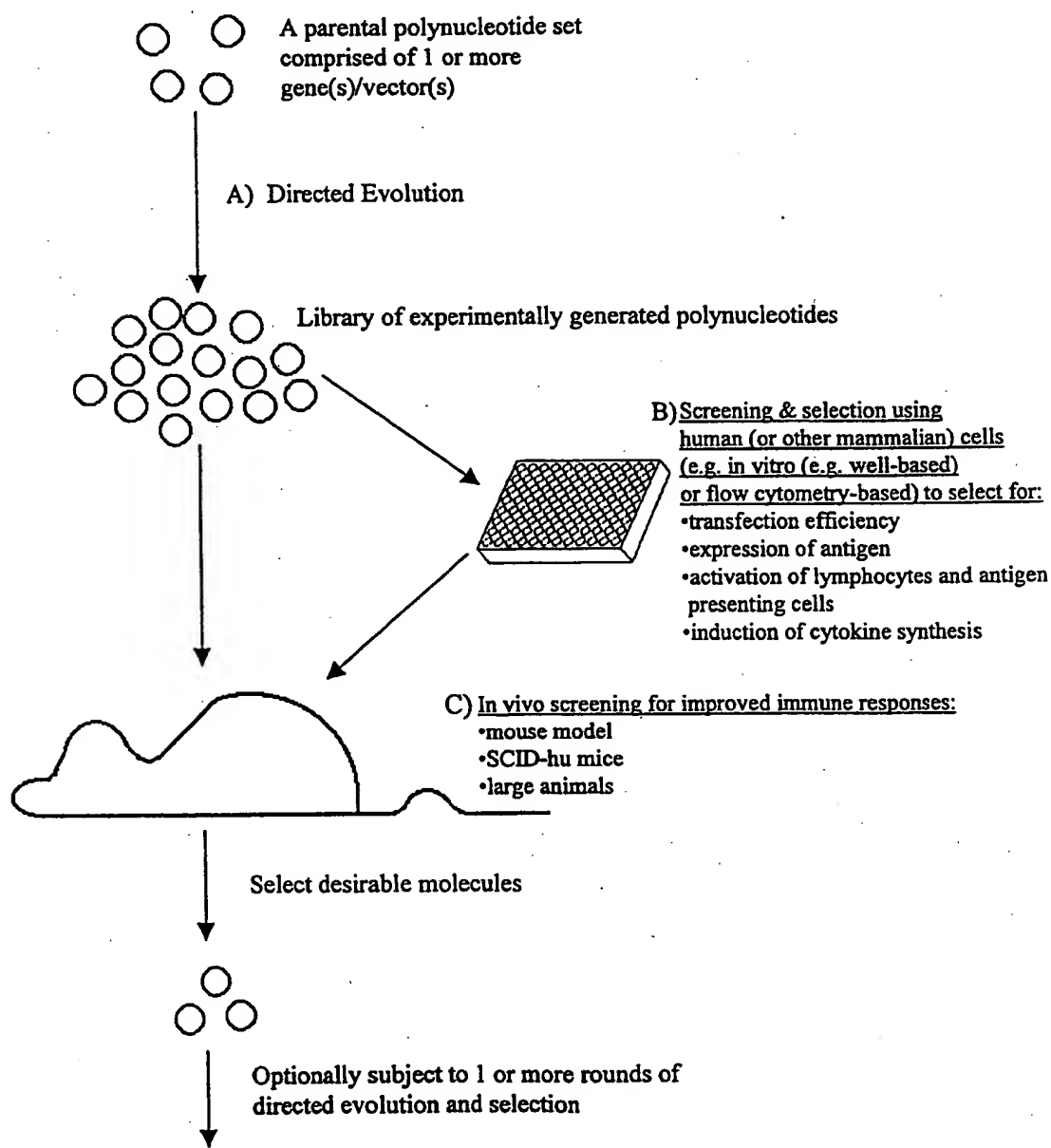
**Figure 22A and 22B****Generation of vectors with multiple T cell epitopes.**

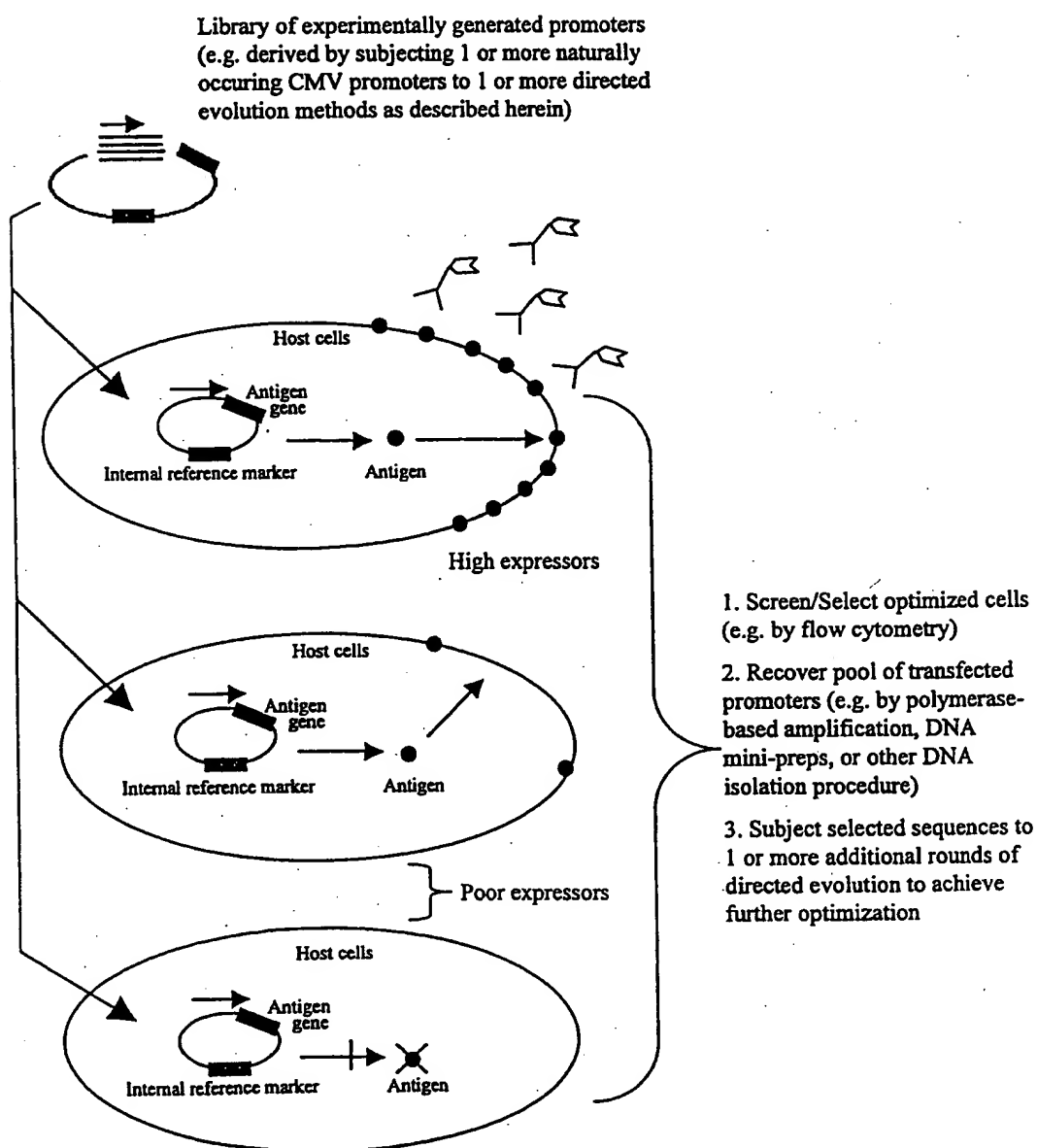
Figure 23

## Generation of optimized genetic vaccines by directed evolution



**Figure 24**

**Recursive application of directed evolution and selection of evolved promoter sequences as an example of flow cytometry-based screening methods.**





**Figure 25**

**An apparatus for microinjections of skin and muscle.**

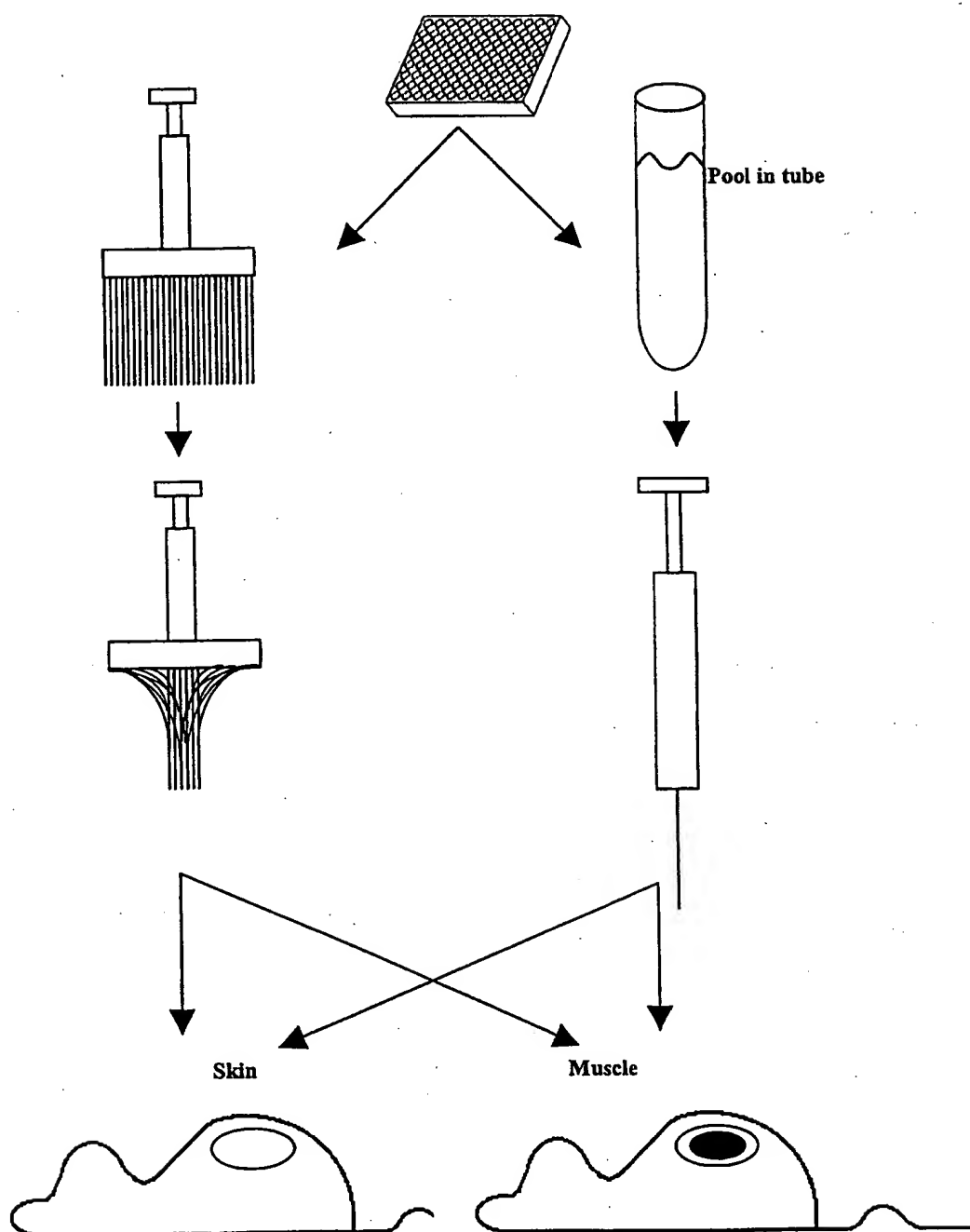
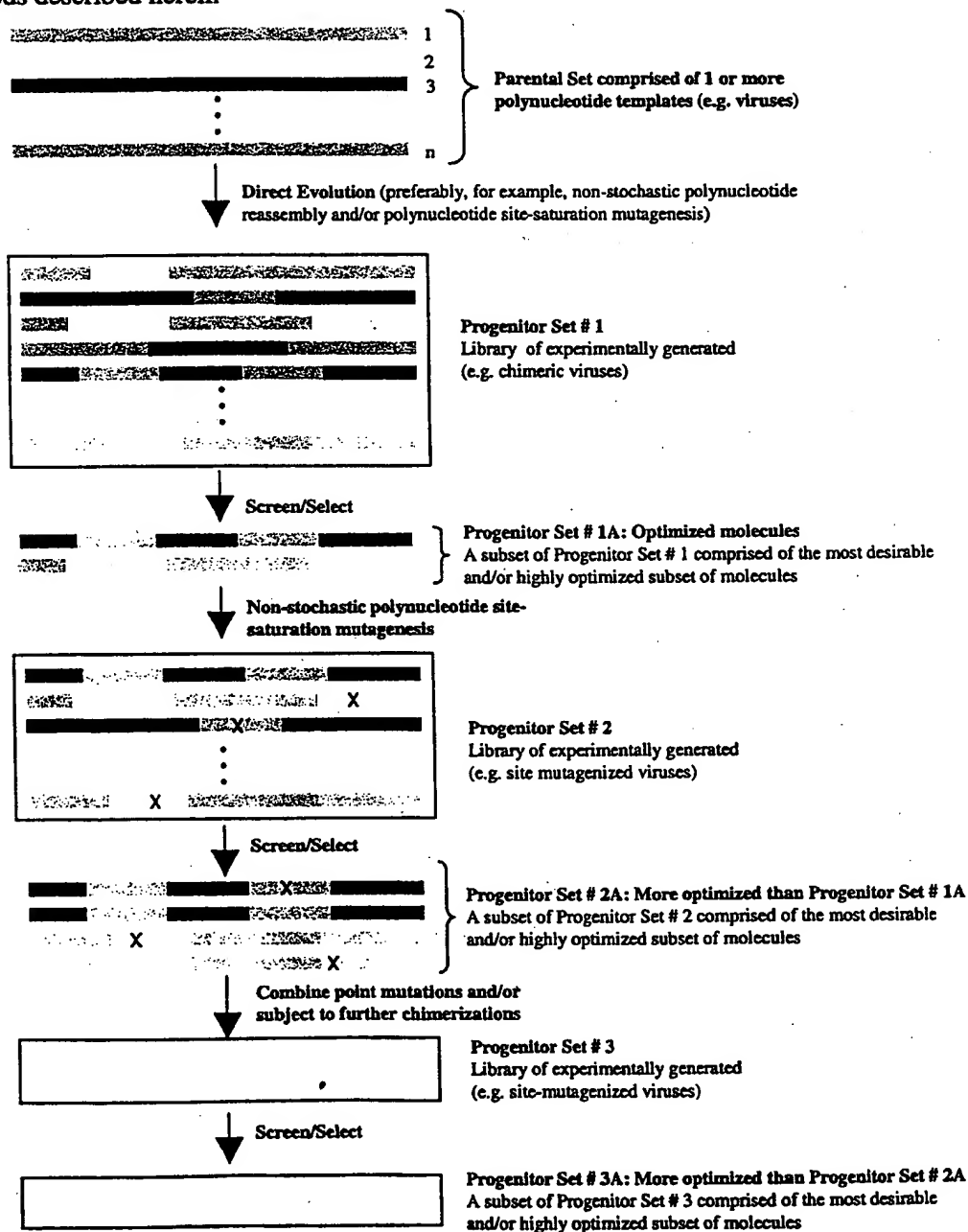


Figure 26 Panel A

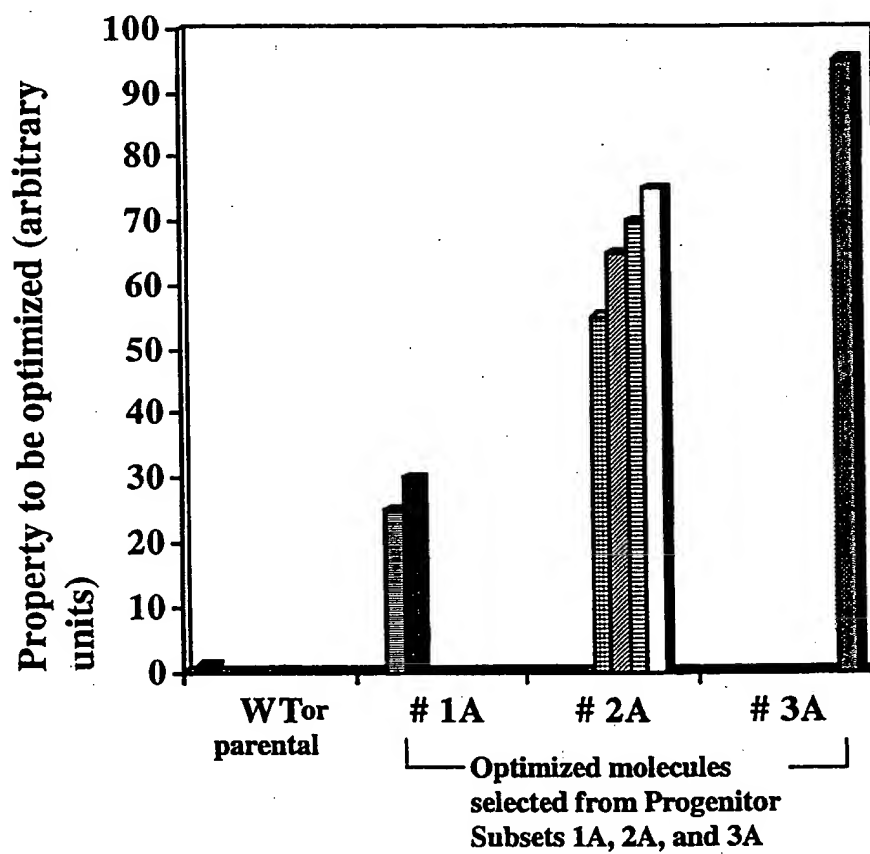
**Non-stochastic polynucleotide reassembly in combination with non-stochastic polynucleotide site-saturation mutagenesis.**

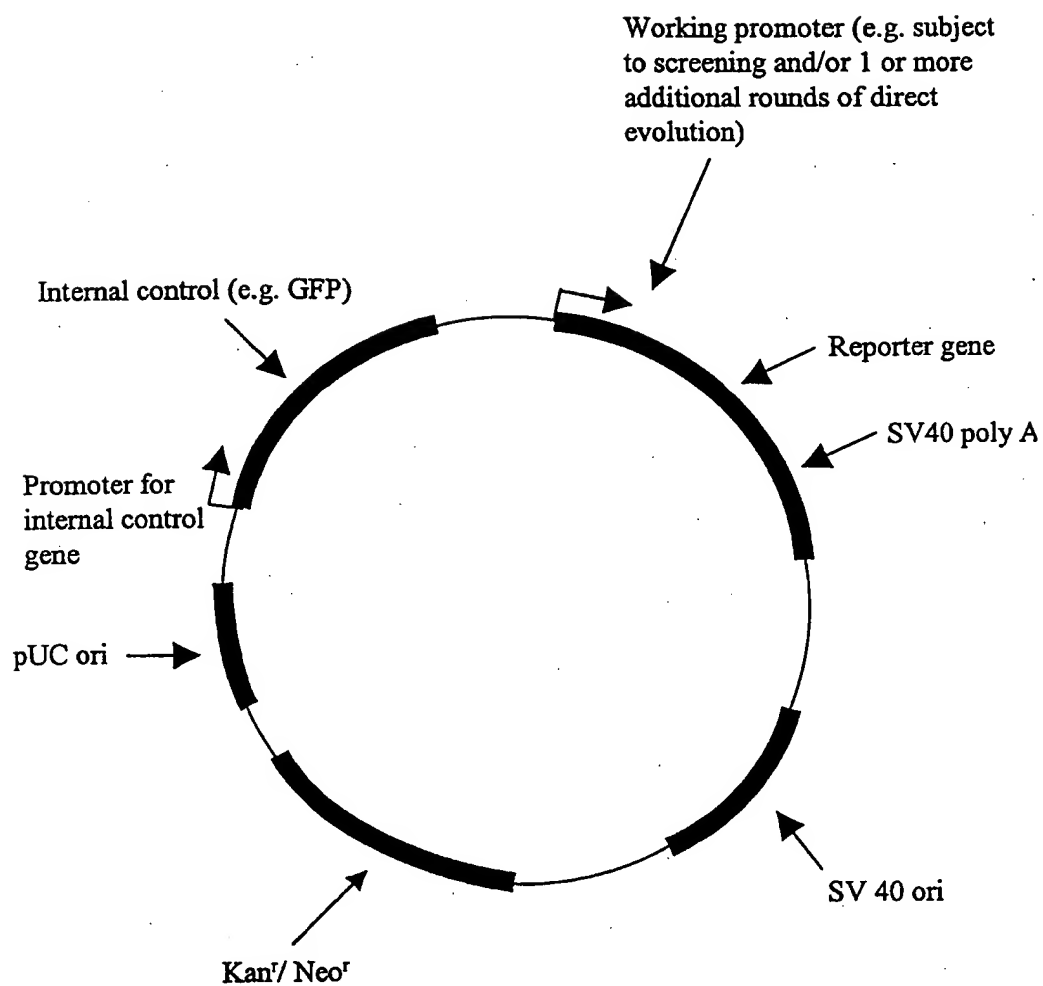
Shown below is a non-limiting example of a permutation of the directed evolution methods described herein



**Figure 26 (continued) Panel B**

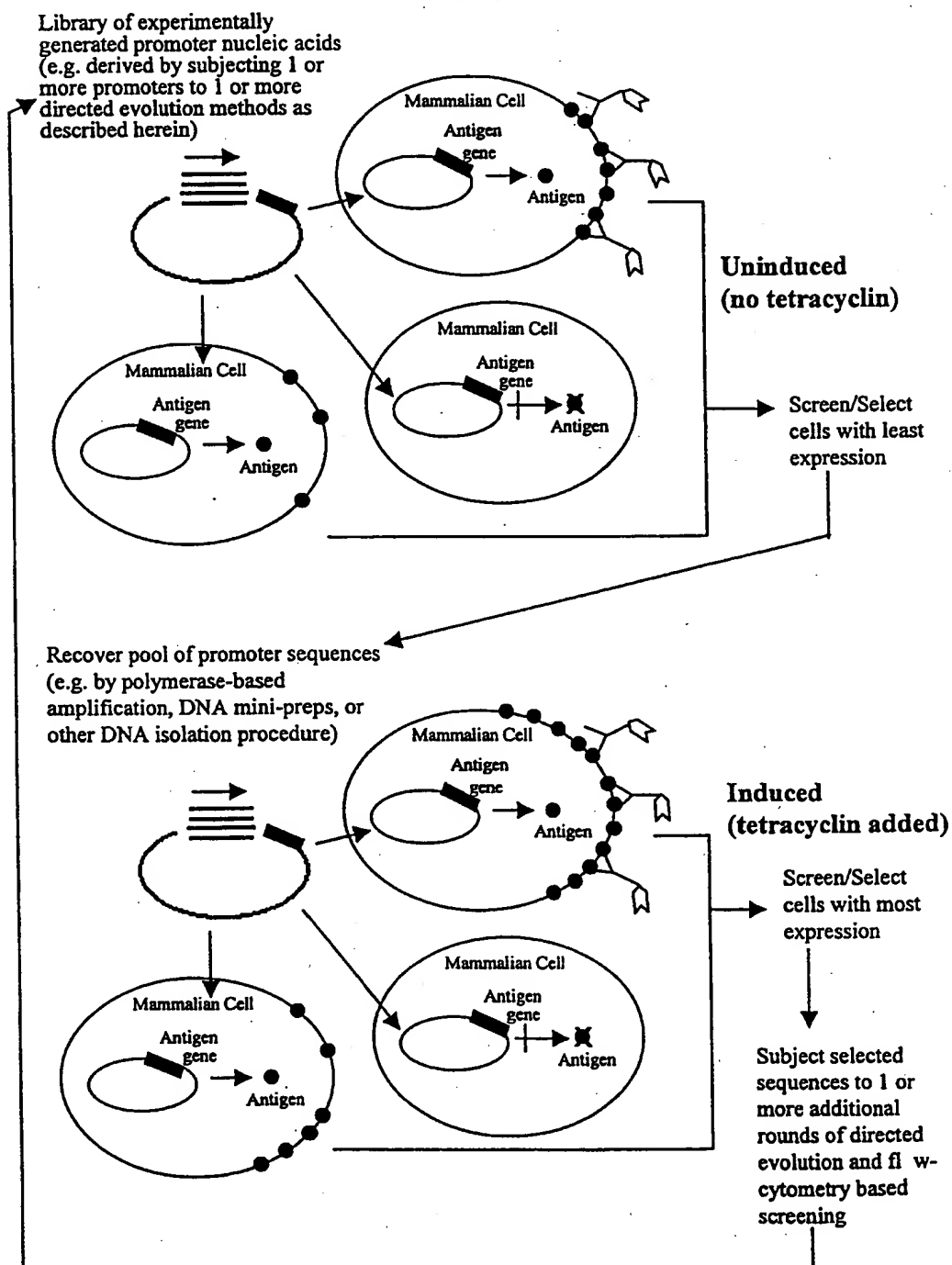
**Screening of experimentally generated molecules produced by non-stochastic polynucleotide reassembly in combination with non-stochastic polynucleotide site-saturation mutagenesis**



**Figure 27****Vector for promoter evolution**

**Figure 28**

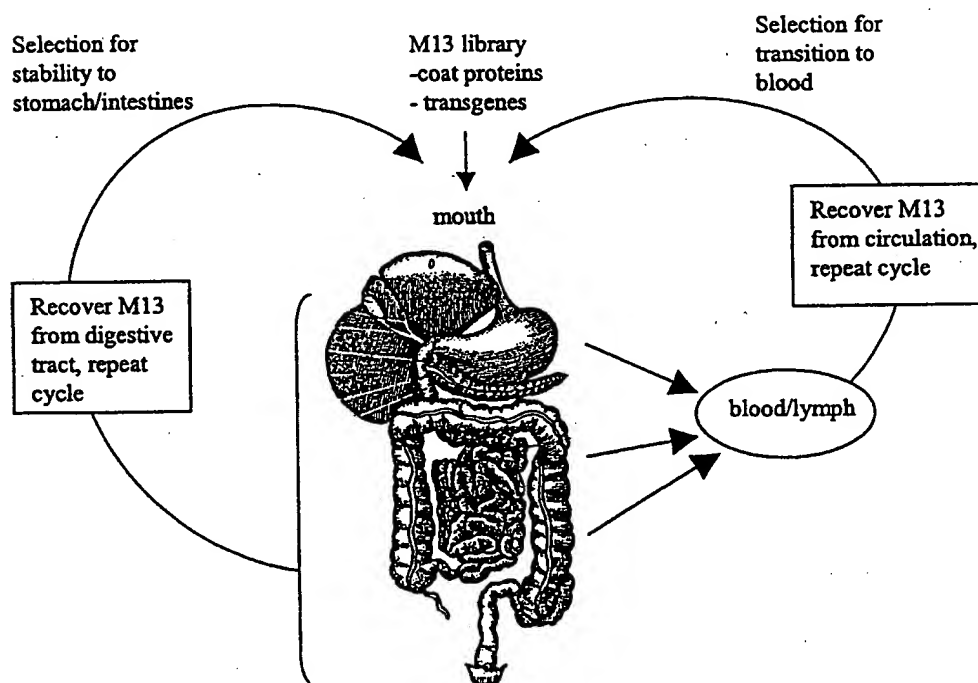
**Iterative evolution of inducible promoters using directed evolution and flow cytometry-based selection.**



**Figure 29**

The present invention provides that a genetic vaccine can be subjected to directed evolution in order to achieve improved effectiveness upon administration by oral, intravenous, intramuscular, intradermal, anal, vaginal, or topical delivery methods.

The figure below shows an example of the directed evolution of a genetic vaccine, comprised of an M13 phage-based vaccine, to achieve optimization for oral delivery.



**An alignment of the nucleotide sequences of two human CMV strains and one monkey strain.**

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[illegible]



[illegible]

Figure 30 continued

		1751		1800
AF026939 CMV	(1649)	C	---	TG
AF047524 hum UL104	(1644)	G	---	CC
AF078102 Rhesus	(1632)	T	---	CA
		1801		1850
AF026939 CMV	(1696)	T	---	TT
AF047524 hum UL104	(1686)	C	---	CC
AF078102 Rhesus	(1676)	A	---	TT
		1851		1900
AF026939 CMV	(1744)	T	---	CA
AF047524 hum UL104	(1736)	A	---	TC
AF078102 Rhesus	(1718)	T	---	GG
		1901		1950
AF026939 CMV	(1793)	T	---	GA
AF047524 hum UL104	(1786)	T	---	TC
AF078102 Rhesus	(1760)	T	---	GA
		1951		2000
AF026939 CMV	(1843)	A	---	AA
AF047524 hum UL104	(1836)	G	---	CT
AF078102 Rhesus	(1809)	T	---	TC
		2001		2050
AF026939 CMV	(1893)	A	---	GC
AF047524 hum UL104	(1882)	C	---	CA
AF078102 Rhesus	(1853)	T	---	GA
		2051		2100
AF026939 CMV	(1940)	T	---	GC
AF047524 hum UL104	(1931)	A	---	CG
AF078102 Rhesus	(1892)	A	---	GC
		2101		2150
AF026939 CMV	(1984)	A	---	GA
AF047524 hum UL104	(1981)	T	---	TC
AF078102 Rhesus	(1932)	G	---	GC
		2151		2200
AF026939 CMV	(2032)	G	---	GC
AF047524 hum UL104	(2031)	T	---	GC
AF078102 Rhesus	(1979)	T	---	GC
		2201		2214
AF026939 CMV	(2057)		---	
AF047524 hum UL104	(2081)	T	---	GC
AF078102 Rhesus	(1999)		---	

Figure 31

An alignment of IL-4 nucleotide sequences from 3 species  
(human, primate, and canine).

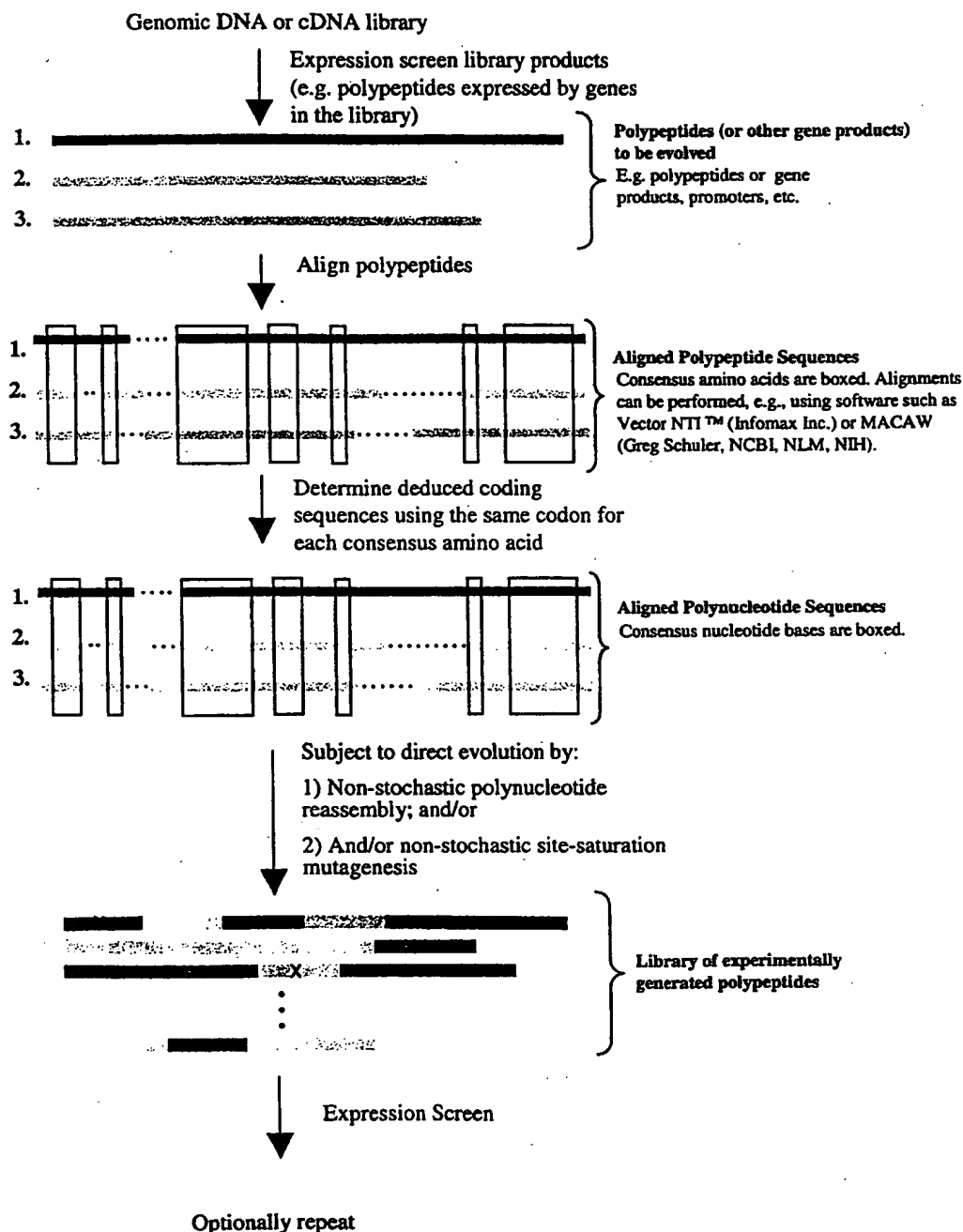
AF187322 Canis IL-4	(1)	1	50
NM_000589 Homo sapien IL-4	(1)		
U19838 Cercopithecus IL-4	(1)		
AF187322 Canis IL-4	(51)	51	100
NM_000589 Homo sapien IL-4	(50)		
U19838 Cercopithecus IL-4	(1)		
AF187322 Canis IL-4	(101)	101	150
NM_000589 Homo sapien IL-4	(100)		
U19838 Cercopithecus IL-4	(35)		
AF187322 Canis IL-4	(151)	151	200
NM_000589 Homo sapien IL-4	(150)		
U19838 Cercopithecus IL-4	(85)		
AF187322 Canis IL-4	(201)	201	250
NM_000589 Homo sapien IL-4	(199)		
U19838 Cercopithecus IL-4	(134)		
AF187322 Canis IL-4	(250)	251	300
NM_000589 Homo sapien IL-4	(249)		
U19838 Cercopithecus IL-4	(184)		
AF187322 Canis IL-4	(300)	301	350
NM_000589 Homo sapien IL-4	(299)		
U19838 Cercopithecus IL-4	(234)		
AF187322 Canis IL-4	(319)	351	400
NM_000589 Homo sapien IL-4	(349)		
U19838 Cercopithecus IL-4	(284)		
AF187322 Canis IL-4	(346)	401	450
NM_000589 Homo sapien IL-4	(399)		
U19838 Cercopithecus IL-4	(334)		
AF187322 Canis IL-4	(393)	451	500
NM_000589 Homo sapien IL-4	(449)		
U19838 Cercopithecus IL-4	(384)		
AF187322 Canis IL-4	(443)	501	550
NM_000589 Homo sapien IL-4	(499)		
U19838 Cercopithecus IL-4	(434)		

Figure 31 continued

			551		600
AF187322 Canis IL-4	(493)		AC	AA	TT
NM_000589 Homo sapien IL-4	(549)		AG	TT	ACTCAT
U19838 Cercocebus IL-4	(464)				
-----					
			601		637
AF187322 Canis IL-4	(536)			TATAAAAAAAAAAAAA	
NM_000589 Homo sapien IL-4	(598)		AG		
U19838 Cercocebus IL-4	(464)				
-----					

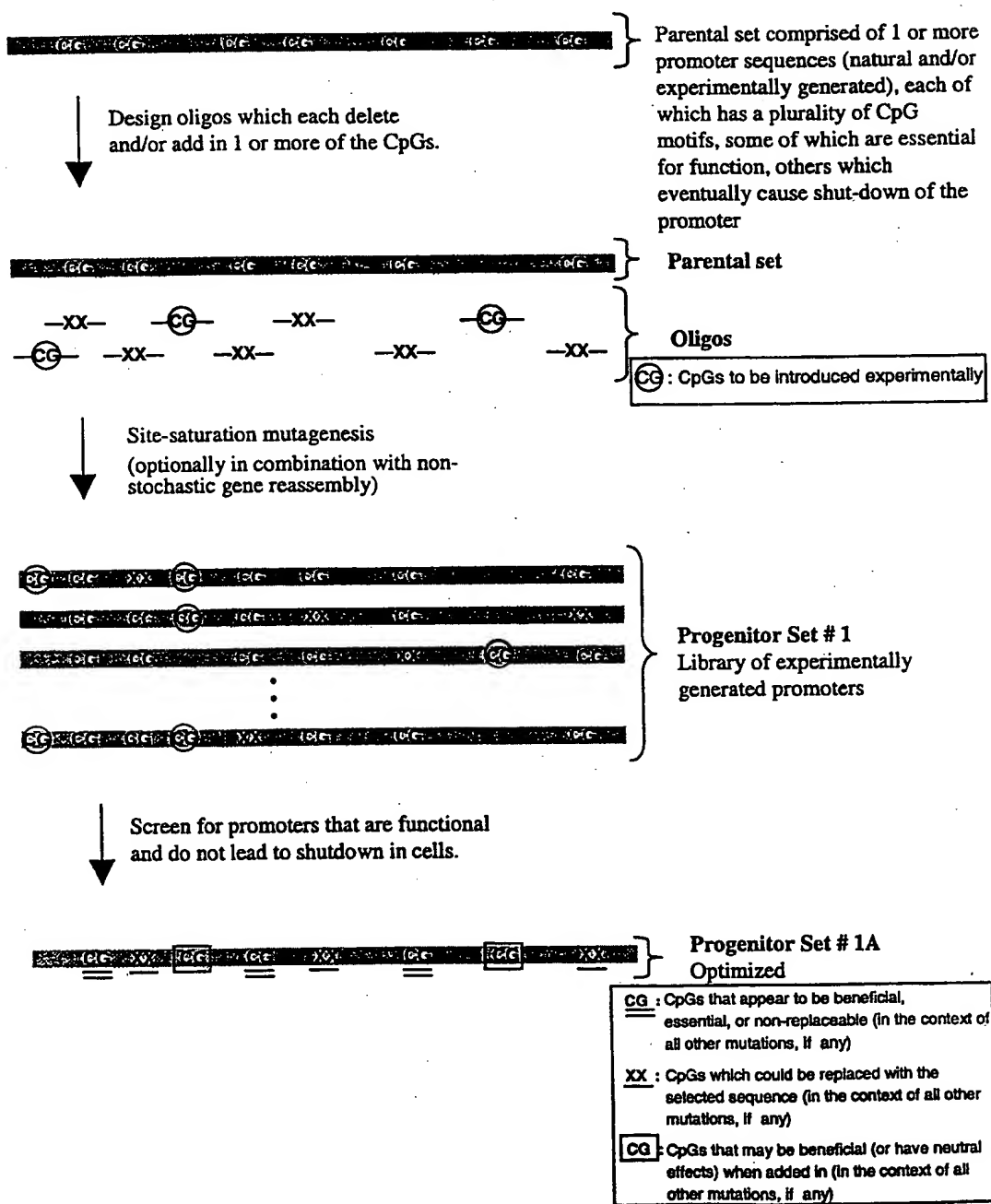
**Figure 32**

**Evolution of polypeptides by synthesizing (in vivo or in vitro) corresponding deduced polynucleotides and subjecting the deduced polynucleotides to directed evolution and expression screening subsequently expressed polypeptides.**



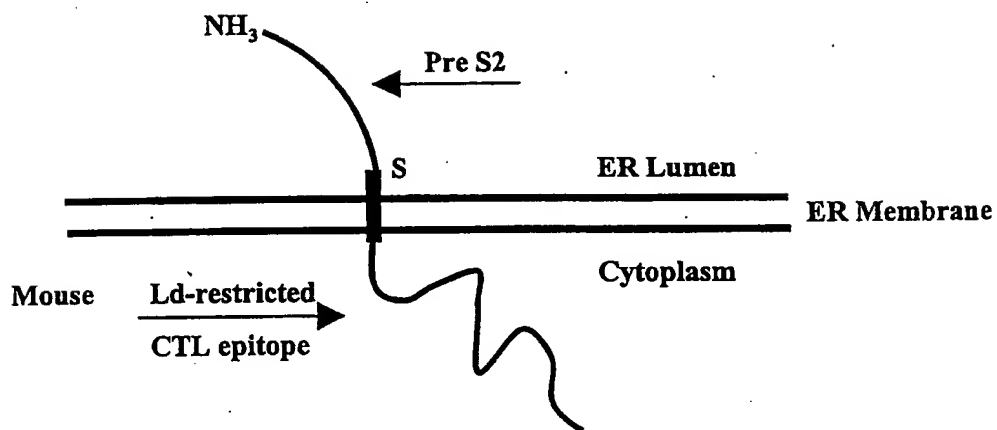
**Figure 33****Directed evolution of polynucleotides (e.g. promoter sequences)**

This figure shows an example of the application of non-stochastic site-saturation mutagenesis in combination with non-stochastic reassembly (e.g. oligo-directed CpG deletion(s) and/or addition(s))



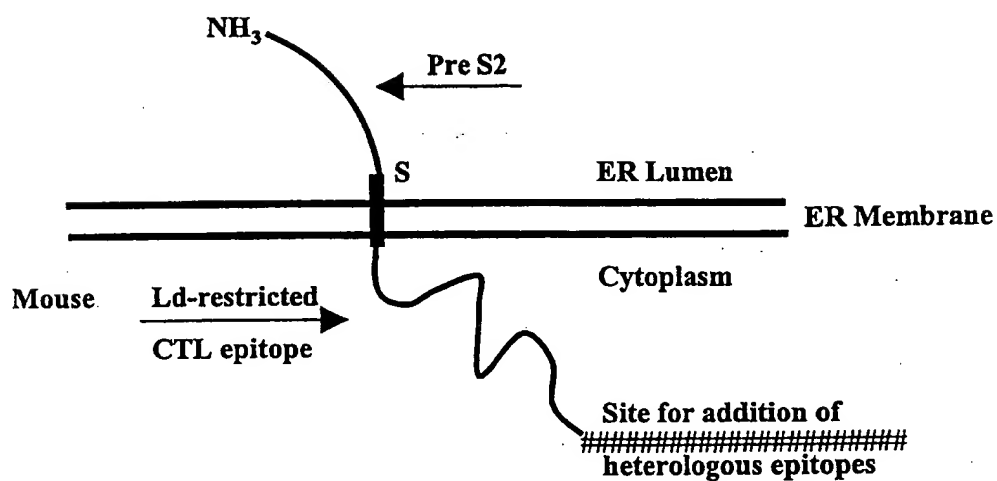
**Figure 34**

An example of a CTIS obtained from HbsAg polypeptide (PreS2 plus S regions).

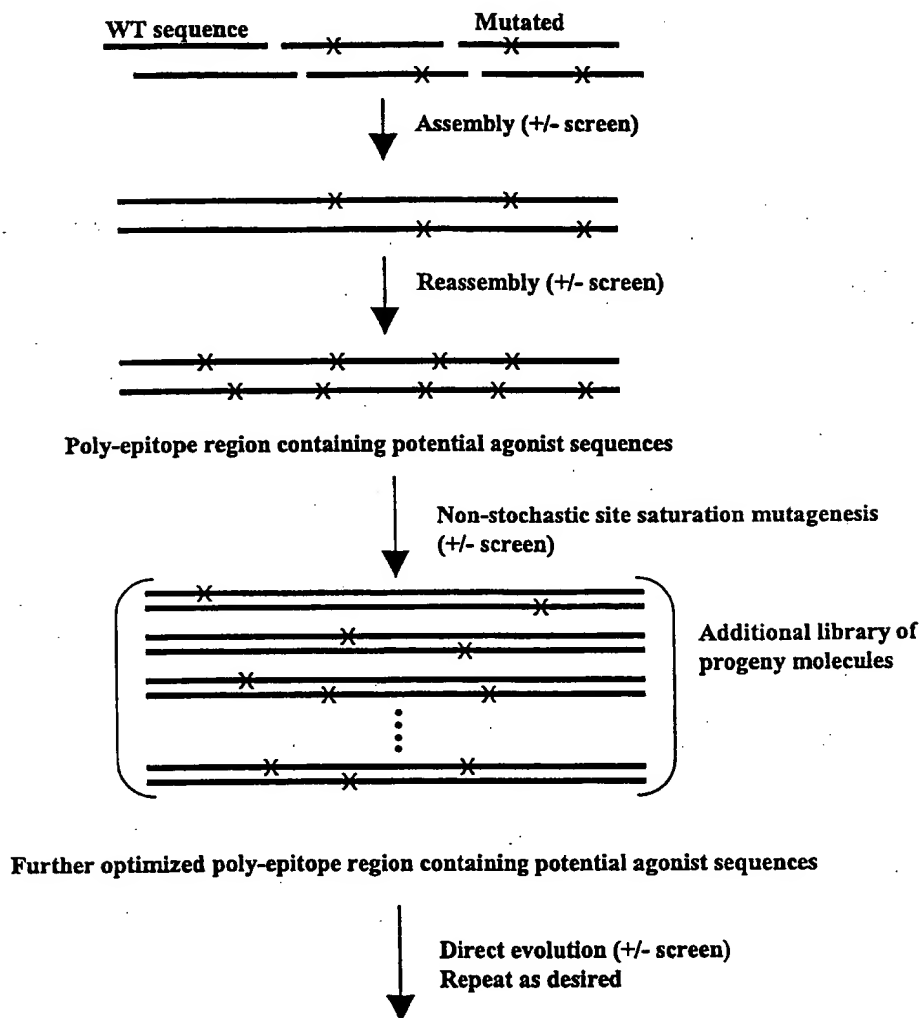


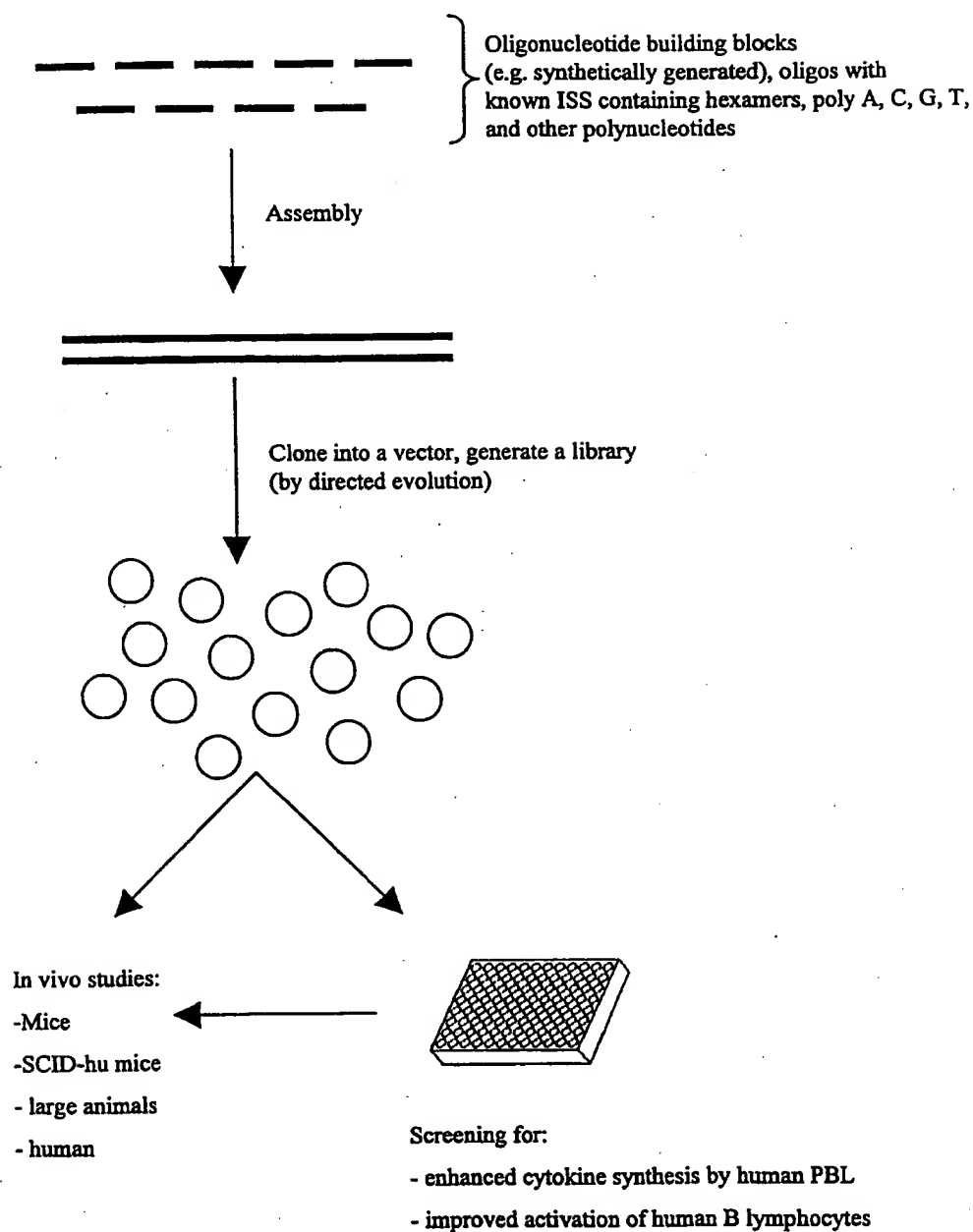
**Figure 35**

An example of a CTIS having heterologous epitopes attached to the cytoplasmic portion.





**Figure 36****Method for preparing immunogenic agonist sequences (IAS).**

**Figure 37****Improving Immunostimulatory Sequences (ISS) Using Directed Evolution.**

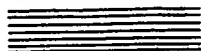
**Figure 38**

**Screening to identify IL-12 genes that encode recombinant IL-12 having an increased ability to induce T Cell proliferation.**

Working Progenitor templates

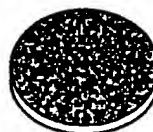
Library of IL-12 genes  
(p35/p40 fusions)

1) Directed Evolution

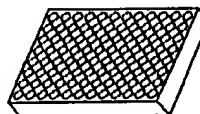


2) Express in bacterial host

Bacterial colonies

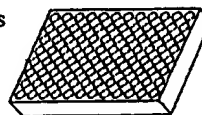


3) Robotic colony picking  
(one colony/well)

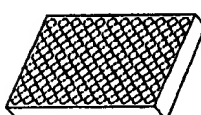


96 wells X 50

4) High throughput plasmid purification,  
(e.g. PERFECT prep-96 kit)



5) Transfection to CHO cells



6) Transfer of supernatants  
to human T cell cultures



7) Identification and selection  
of clones inducing most potent  
T cell proliferation

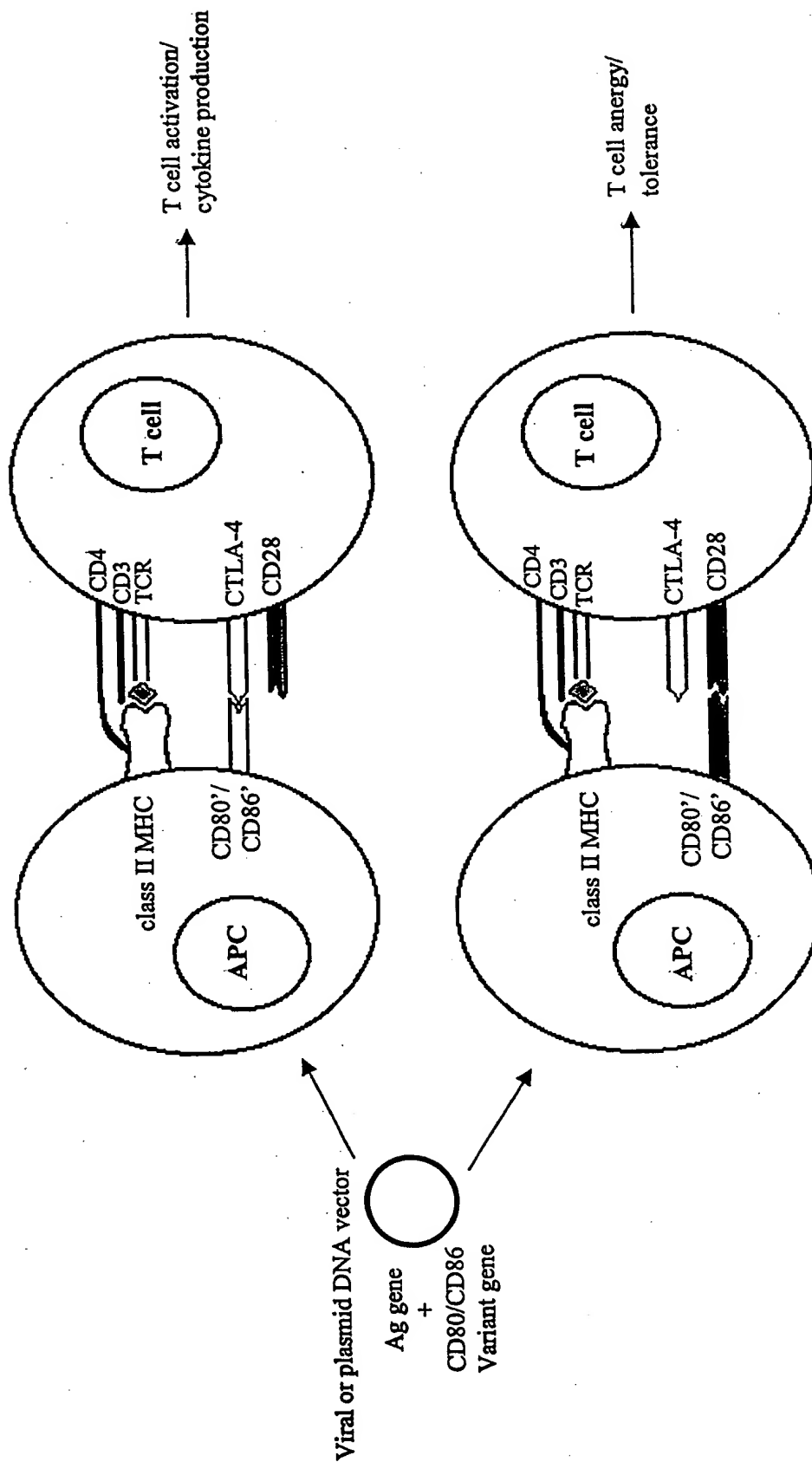


8) Optionally repeat steps 1-7



Figure 39

Model of induction of T cell activation or anergy by genetic vaccine vectors encoding different CD80 and/or CD86 variants.



**Figure 40**

**Screening to identify CD80/CD86 chimeric genes having an improved capacity to induce T Cell activation or anergy.**

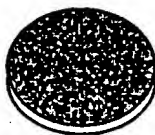
**1) Directed Evolution**

Library of Working  
Progenitor templates of  
CD80 &/or CD86 genes

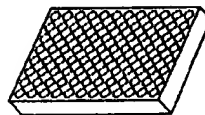


2) Express in bacterial host

Bacterial colonies

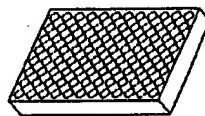


3) Robotic colony picking  
(one colony/well)

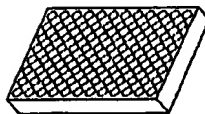


96 wells X 50

4) High throughput vector purification,  
(e.g. PERFECT prep-96 kit)



5) Transfection to dendritic cells/  
U937 cells



6) Co-culture with  
T cell cultures



7) Identification and selection  
of clones inducing most potent  
T cell activation or anergy

8) Optionally repeat steps 1-7



**Figure 41. An alignment of two CMV-derived nucleotide sequences from human and primate species.**

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Figure 41 continued

	701	750
AF078102 Rhesus	(682) A A A C C C C A G A A A G T T T T G G A G T T C T A G T A G A A A A C C	
M67443 Towne	(620) C C C C A G T G A T T G T G A C T G T A G T C T G T G T A C C T G G T G T T T	
	751	800
AF078102 Rhesus	(731) G G C C A T T G A G G G A T C C T T T C C C C G C C A C T T C A A A A G	
M67443 Towne	(668) C C A A G A G T T C C T C C G G A A A A T A A A C A C C A C C T G G C T C	
	801	850
AF078102 Rhesus	(779) C T C C C A T T G T A T C T T T C A A A A T A G C T T A T A A T A G A A	
M67443 Towne	(717) T G A C G G G A G A G G A T G A C A A G C C C C A C C C C A C C C C A G C	
	851	900
AF078102 Rhesus	(829) T T T T T A A G T A T T T A A C A C C A T G A T T G C G C C C C C C C	
M67443 Towne	(767) G C C C C C G C G C G C A C G G C T T T G T G T A G T C A A A A T A G A	
	901	950
AF078102 Rhesus	(871) C C G T T T T G T T T G C C C A A T T T G A A A T G C C G	
M67443 Towne	(817) A T A A C G C A A G T T C A A A C T C C G G A G A C T A C C C	
	951	1000
AF078102 Rhesus	(917) C C T T T G A A A A C T T T G A C A A G G G C C T T G T A T T T C C	
M67443 Towne	(867) A A C A C C T T T T G G C C C T T T C C A A A C A C C C C G C C C	
	1001	1050
AF078102 Rhesus	(966) A A T G A C A A G G G A C C G T T T C C T C A G C C A A A A A A A A A T T	
M67443 Towne	(914) C C A T C A G T A C T A T T A T G A A G G G C A A A A T T T T G T G A G	
	1051	1100
AF078102 Rhesus	(1014) T T C A G T T G C T T T T A A C T C C C C C C T A A C C G T T T G C C G C	
M67443 Towne	(962) T C C C C A A A A A G G C G A A C C C C A C C C C C C C C C C C C C	
	1101	1150
AF078102 Rhesus	(1064) G A T T G C C C G A G C C C G C C C C C C C C C C C C C C C C C C	
M67443 Towne	(1000) C	
	1151	1200
AF078102 Rhesus	(1109) A A G T G C C G T A G C A A G T T T T T T G G A G T T T G T T A C C A T	
M67443 Towne	(1048) G G C C G C T A G T C A G C A A A C C C A C C T A A C C C C G T A C C	
	1201	1250
AF078102 Rhesus	(1159) T A G C C C A A G C T C T T G T G C T A G A A A C C T C C G C C G T A T	
M67443 Towne	(1096) A T G A C C C A G C T A G T A C C A C A C C A C C C C C C C C C C C	
	1251	1300
AF078102 Rhesus	(1208) C C C C T A T G A T A A A T A C T A C C G T G T T T T G G A G C C A T G A T T	
M67443 Towne	(1142) A G G T G C G C C C C G G G C G A G G C G C C T G A C C A C G G A C C G A C C	
	1301	1350
AF078102 Rhesus	(1258) T T C C T C C G G A C C T T G C A A A A A T C A A T T G G T G T C C G T G A	
M67443 Towne	(1192) T C A G G A A T C C C A C C C C A C C G C A A C C G C C C C C G T A C C G C G	
	1351	1400
AF078102 Rhesus	(1308) A A T T T C C T T C T A A A G C C C C A A A C G T C T T G C C C C C C	
M67443 Towne	(1241) C	

AF078102 Rhesus M67443 Towne	(1355)	1401	1450
	(1286)		
AF078102 Rhesus M67443 Towne	(1405)	1451	1500
	(1335)		
AF078102 Rhesus M67443 Towne	(1454)	1501	1550
	(1381)		
AF078102 Rhesus M67443 Towne	(1504)	1551	1600
	(1425)		
AF078102 Rhesus M67443 Towne	(1554)	1601	1650
	(1475)		
AF078102 Rhesus M67443 Towne	(1600)	1651	1700
	(1525)		
AF078102 Rhesus M67443 Towne	(1648)	1701	1750
	(1571)		
AF078102 Rhesus M67443 Towne	(1689)	1751	1800
	(1620)		
AF078102 Rhesus M67443 Towne	(1735)	1801	1850
	(1670)		
AF078102 Rhesus M67443 Towne	(1779)	1851	1900
	(1720)		
AF078102 Rhesus M67443 Towne	(1827)	1901	1950
	(1767)		
AF078102 Rhesus M67443 Towne	(1877)	1951	2000
	(1814)		
AF078102 Rhesus M67443 Towne	(1926)	2001	2050
	(1860)		
AF078102 Rhesus M67443 Towne	(1975)	2051	2077
	(1907)		



**Figure 42: An alignment of the IFN-gamma nucleotide sequences from human, cat, rodent species.**

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